AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	12/01/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
11/06/2023		11/30/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Ferrari NV (RACE) - Stock	Sold (Part)	11/06/2023	12/01/2023	$1,000 - $15,000
2. Broadcom Inc (AVGO) - Stock	Sold (Part)	11/08/2023	12/01/2023	$1,000 - $15,000
3. Broadcom Inc (AVGO) - Stock	Sold (Part)	11/09/2023	12/01/2023	$1,000 - $15,000
4. Ferrari NV (RACE) - Stock	Sold (Part)	11/10/2023	12/01/2023	$1,000 - $15,000
5. Ferrari NV (RACE) - Stock	Sold (Part)	11/13/2023	12/01/2023	$1,000 - $15,000
6. Ferrari NV (RACE) - Stock	Sold (Part)	11/14/2023	12/01/2023	$1,000 - $15,000
7.				
8. Walmart Inc (WMT) - Stock	Buy	11/17/2023	12/01/2023	$50,001 - $100,000
9. Ferrari NV (RACE) - Stock	Sold	11/20/2023	12/01/2023	$1,000 - $15,000
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11.				
12. Starbucks Corp (SBUX)	Sold (Part)	11/30/2023	12/01/2023	$15,001 - $50,000
13.				
14. PALANTIR TECHNOLOGIES INC	Buy	11/07/2023	12/01/2023	$1,000 - $15,000
15. NOVO NORDISK A S ADR	Buy	11/07/2023	12/01/2023	$1,000 - $15,000

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First Name	Middle Initial	Last Name	Suffix
Philip	M.	Halpern	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. MICROSOFT CORP	Sold (Part)	11/15/2023	12/01/2023	$1,000 - $15,000
17. TESLA INC	Buy (add'l)	11/15/2023	12/01/2023	$1,000 - $15,000
18. ASTRAZENECA PLC SPND ADR	Sold (Part)	11/15/2023	12/01/2023	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544