AO-10T
Rev. 01/2023

# FINANCIAL DISCLOSURE
# PERIODIC TRANSACTION REPORT

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| *1. First Name | *2. Middle Initial | *3. Last Name | 4. Suffix |
|---|---|---|---|
| Philip | M. | Halpern | |
| *5. Title | *6. District/Court/Unit | | *7. Circuit |
| District Judge | NEW YORK SOUTHERN | | 02 |
| 8. Report Type | 9. Calendar Year | *10. Date Filed | 11. Check box if amending prior report and enter date of prior submission. |
| Periodic Transaction Report | 2024 | 05/02/2024 | |
| *12. Date of First Transaction on this Report | | *13. Date of Last Transaction on this Report | |
| 04/01/2024 | | 04/29/2024 | |

## TRANSACTIONS

*Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

| A. Description of Assets | B. Transaction Type | C. Date of Transaction | D. Date Notified of Transaction | E. Amount of Transaction |
|---|---|---|---|---|
| 1. DELL TECHNOLOGIES INC REG SHS CL C | Buy (add'l) | 04/01/2024 | 05/01/2024 | $1,000 - $15,000 |
| 2. AMAZON COM INC | Buy (add'l) | 04/02/2024 | 05/01/2024 | $1,000 - $15,000 |
| 3. MCDONALDS CORP | Sold | 04/02/2024 | 05/01/2024 | $15,001 - $50,000 |
| 4. BECTON DICKINSON | Sold | 04/02/2024 | 05/01/2024 | $1,000 - $15,000 |
| 5. PINNACLE WEST CAP CORP | Buy | 04/02/2024 | 05/01/2024 | $1,000 - $15,000 |
| 6. ALPHABET INC SHS CL A | Sold (Part) | 04/05/2024 | 05/01/2024 | $1,000 - $15,000 |
| 7. ZOETIS INC | Buy (add'l) | 04/05/2024 | 05/01/2024 | $1,000 - $15,000 |
| 8. AMAZON COM INC | Buy (add'l) | 04/05/2024 | 05/01/2024 | $1,000 - $15,000 |
| 9. UNITEDHEALTH GROUP INC | Sold (Part) | 04/05/2024 | 05/01/2024 | $1,000 - $15,000 |
| 10. UNITEDHEALTH GROUP INC | Sold | 04/08/2024 | 05/01/2024 | $1,000 - $15,000 |
| 11. ALPHABET INC SHS CL A | Sold (Part) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |
| 12. NVIDIA | Buy (add'l) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |
| 13. SALESFORCE INC | Sold (Part) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |
| 14. ELI LILLY & CO | Buy (add'l) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |
| 15. WSTN DIGITAL CORP | Sold (Part) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |

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| Philip | M. | Halpern | |

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|---|---|---|---|---|
| 16. TJX COS INC | Sold (Part) | 04/15/2024 | 05/01/2024 | $1,000 - $15,000 |
| 17. AUTODESK INC | Sold | 04/18/2024 | 05/01/2024 | $1,000 - $15,000 |
| 18. TESLA INC | Buy (add'l) | 04/23/2024 | 05/01/2024 | $1,000 - $15,000 |
| 19. META PLATFORMS INC CLASS A | Buy (add'l) | 04/23/2024 | 05/01/2024 | $1,000 - $15,000 |
| 20. MICRON TECHNOLOGY | Sold (Part) | 04/23/2024 | 05/01/2024 | $1,000 - $15,000 |
| 21. ASTRAZENECA PLC SPND ADR | Buy (add'l) | 04/23/2024 | 05/01/2024 | $1,000 - $15,000 |
| 22. APPLOVIN CORP | Buy (add'l) | 04/24/2024 | 05/01/2024 | $1,000 - $15,000 |
| 23. PINNACLE WEST CAP CORP | Buy (add'l) | 04/26/2024 | 05/01/2024 | $1,000 - $15,000 |
| 24. VULCAN MATERIALS | Buy | 04/26/2024 | 05/01/2024 | $1,000 - $15,000 |
| 25. DISNEY (WALT) CO COM | Sold | 04/26/2024 | 05/01/2024 | $15,001 - $50,000 |
| 26. UnitedHealth Group Inc (UNH) - Stock | Sold (Part) | 04/12/2024 | 05/02/2024 | $1,000 - $15,000 |
| 27. Nike Inc Class B (NKE) - Stock | Buy | 04/29/2024 | 05/02/2024 | $15,001 - $50,000 |
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| First Name Philip | Middle Initial M. | Last name Halpern | Suffix |
|---|---|---|---|

## ADDITIONAL INFORMATION OR EXPLANATIONS

*CERTIFICATION.*
*I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.*

*NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)*

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544