AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	11/03/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
10/06/2025		10/29/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. NVIDIA	Sold (Part)	10/06/2025	11/03/2025	$1,000 - $15,000
2. SAP SE SHS	Sold (Part)	10/06/2025	11/03/2025	$1,000 - $15,000
3. T-MOBILE US INC	Sold	10/06/2025	11/03/2025	$1,000 - $15,000
4. SHOPIFY INC CL A	Buy	10/06/2025	11/03/2025	$1,000 - $15,000
5. DELL TECHNOLOGIES INC	Buy	10/06/2025	11/03/2025	$1,000 - $15,000
6. DELL TECHNOLOGIES INC	Buy (add'l)	10/07/2025	11/03/2025	$1,000 - $15,000
7. FIGURE TECH SOLUTIONS INC CL A	Buy	10/07/2025	11/03/2025	$1,000 - $15,000
8. CORNING INC	Buy (add'l)	10/07/2025	11/03/2025	$1,000 - $15,000
9. GE VERNOVA INC	Sold	10/08/2025	11/03/2025	$1,000 - $15,000
10. DELL TECHNOLOGIES INC	Buy (add'l)	10/10/2025	11/03/2025	$1,000 - $15,000
11. META PLATFORMS INC CLASS A	Buy (add'l)	10/27/2025	11/03/2025	$1,000 - $15,000
12. MICROSOFT CORP	Sold (Part)	10/27/2025	11/03/2025	$1,000 - $15,000
13. APPLE INC	Sold (Part)	10/27/2025	11/03/2025	$15,001 - $50,000
14. APPLE INC	Sold (Part)	10/27/2025	11/03/2025	$1,000 - $15,000
15. SPROUTS FARMERS MARKETS INC	Buy (add'l)	10/27/2025	11/03/2025	$1,000 - $15,000

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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. LAM RESH CORP	Buy	10/27/2025	11/03/2025	$1,000 - $15,000
17. WELLTOWER INC	Buy (add'l)	10/27/2025	11/03/2025	$1,000 - $15,000
18. WELLTOWER INC	Buy (add'l)	10/28/2025	11/03/2025	$1,000 - $15,000
19. LAM RESH CORP	Buy (add'l)	10/28/2025	11/03/2025	$1,000 - $15,000
20. SPROUTS FARMERS MARKETS INC	Buy (add'l)	10/29/2025	11/03/2025	$1,000 - $15,000
21. Bristol Myers Squibb Co (BMY) - Stock	Sold	10/20/2025	11/03/2025	$50,001 - $100,000
22. Tri Continental Corp (TY)	Sold (Part)	10/24/2025	11/03/2025	$100,001 - $250,000
23. Tri Continental Corp (TY)	Sold	10/27/2025	11/03/2025	$500,001 - $1,000,000
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	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544