AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Philip	M.	Halpern	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	12/02/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
11/07/2025		11/25/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. ELI LILLY & CO	Sold (Part)	11/07/2025	12/01/2025	$1,000 - $15,000
2. META PLATFORMS INC CLASS A	Sold (Part)	11/07/2025	12/01/2025	$1,000 - $15,000
3. ALPHABET INC SHS CL A	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
4. PROGRESSIVE CRP OHIO	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
5. COCA COLA COM	Buy	11/07/2025	12/01/2025	$1,000 - $15,000
6. SPROUTS FARMERS MARKETS INC	Sold (Part)	11/07/2025	12/01/2025	$1,000 - $15,000
7. SPROUTS FARMERS MARKETS INC	Sold (Part)	11/10/2025	12/01/2025	$1,000 - $15,000
8. COCA COLA COM	Buy (add'l)	11/10/2025	12/01/2025	$1,000 - $15,000
9. COCA COLA COM	Buy (add'l)	11/11/2025	12/01/2025	$1,000 - $15,000
10. SPROUTS FARMERS MARKETS INC	Sold	11/12/2025	12/01/2025	$1,000 - $15,000
11. COCA COLA COM	Buy (add'l)	11/12/2025	12/01/2025	$1,000 - $15,000
12. MASTERCARD INC	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000
13. COSTCO WHOLESALE CRP	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000
14. ORACLE CORP	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000
15. FERRARI NV	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000

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First Name Philip	Middle Initial M.	Last Name Halpern	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. SAP SE SHS	Sold	11/13/2025	12/01/2025	$1,000 - $15,000
17. SHOPIFY INC CL A	Sold	11/13/2025	12/01/2025	$1,000 - $15,000
18. ISHARES RUSSELL 1000 GROWTH	Buy	11/13/2025	12/01/2025	$15,001 - $50,000
19. LINDE PLC	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000
20. DELL TECHNOLOGIES INC REG SHS	Sold (Part)	11/13/2025	12/01/2025	$1,000 - $15,000
21. EATON VANCE BALANCED FUND CL	Sold (Part)	11/20/2025	12/01/2025	$15,001 - $50,000
22. ORACLE CORP	Sold (Part)	11/25/2025	12/01/2025	$1,000 - $15,000
23. ALPHABET INC SHS CL A	Sold (Part)	11/25/2025	12/01/2025	$1,000 - $15,000
24. BROADCOM INC	Buy (add'l)	11/25/2025	12/01/2025	$1,000 - $15,000
25. NVIDIA	Sold (Part)	11/25/2025	12/01/2025	$1,000 - $15,000
26. WORKDAY INC CL A	Buy (add'l)	11/25/2025	12/01/2025	$1,000 - $15,000
27. Alphabet Inc Class A (GOOGL) - Stock	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
28. Apple Inc (AAPL) - Stock	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
29. Microsoft Corp (MSFT) - Stock	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
30. Walmart Inc (WMT) - Stock	Buy (add'l)	11/07/2025	12/01/2025	$1,000 - $15,000
31. The Walt Disney Company (DIS) - Stoc	Sold (Part)	11/13/2025	12/01/2025	$15,001 - $50,000
32. Exxon Mobil Corp (XOM) - Stock	Sold (Part)	11/17/2025	12/01/2025	$250,000 - $500,000
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	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Philip	Middle Initial M.	Last name Halpern	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Philip M. Halpern

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544