AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hanen, Andrew S.	United States District Court - Texas	5/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 515 Rusk Street, Rm. 9110 Houston, Texas 77002		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Self-Employed Attorney Mediator
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Hanen, Andrew S.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	IRA Account #1 (H)									
2.	- Bank of America RASP	A	Interest	J	T					
3.	- Apple (AAPL)	A	Dividend	M	T					
4.	- iShares Nasdaq Biotechnology ETF (IBB)	A	Dividend	J	T					
5.	- Vanguard Consumer Staples Index Fund (VDC)	A	Dividend	J	T	Sold (part)	01/14/21	J	A	
6.						Sold (part)	03/11/21	J	A	
7.	- Vanguard Materials Index Fund ETF (VAW)	A	Dividend	J	T	Buy (add'l)	01/14/21	J		
8.						Buy (add'l)	07/14/21	J		
9.	- Consumer Discretionary Select Sector SPDR Fund (XLY)	A	Dividend	K	T	Buy (add'l)	01/14/21	J		
10.						Sold (part)	07/14/21	J	B	
11.	- Vanguard Industrials Index Fund ETF (VIS)	A	Dividend	J	T	Buy (add'l)	01/14/21	J		
12.						Sold (part)	10/28/21	J	B	
13.						Sold (part)	11/19/21	J	A	
14.	- Health Care Select Sector SPDR Fund (XLV)	A	Dividend	K	T	Sold (part)	07/14/21	J	B	
15.	- Financial Select Sector SPDR Fund (XLF)	A	Dividend	J	T	Buy (add'l)	01/14/21	J		
16.	- Vanguard Information Technology Index ETF (VGT)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	
17.						Sold (part)	07/14/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18.						Sold (part)	11/19/21	J	B	
19.	- First Trust Dow Jones Internet Index ETF (FDN)		None	K	T					
20.	- First Trust Cloud Computing ETF (SKYY)	A	Dividend	K	T	Sold (part)	01/14/21	J	B	
21.	- Energy Select Sector SPDR Fund (XLE)	A	Dividend	J	T	Buy	03/11/21	J		
22.	- Real Estate Select Sector SPDR Fund (XLRE)	A	Dividend	J	T	Buy	11/19/21	J		
23.	- Communication Services Select Sector SPDR (XLC)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	
24.						Sold (part)	03/11/21	J	A	
25.						Buy (add'l)	10/28/21	J		
26.	- Pacer Benchmark Data & Infra (SRVR)	A	Dividend	J	T	Buy (add'l)	07/14/21	J		
27.	- ProShares Online Retail ETF (ONLN)		None	J	T	Buy (add'l)	01/14/21	J		
28.	- iShares US Regional Banks ETF (IAT)	A	Dividend	J	T	Buy	07/14/21	J		
29.	- Global X US Infrastructure (PAVE)		None	J	T	Buy	07/14/21	J		
30.						Buy (add'l)	10/28/21	J		
31.	- SPDR S&P Transportation ETF (XTN)	A	Dividend	J	T	Buy	10/28/21	J		
32.	Retirement Account #1 (H)									
33.	- Fidelity Government Cash Reserves (FDRXX)	A	Dividend	J	T					
34.	- Fidelity MMKT Premium Class (FZDXX)	A	Dividend			Sold	05/20/21	L	A	

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35. - Fidelity ContraFund (FCNTX)	D	Dividend	L	T					
36. - Fidelity Equity Dividend Income Fund (FEQTX)	D	Dividend	L	T					
37. - Fidelity Dividend Growth Fund (FDGFX)	B	Dividend	K	T					
38. - Fidelity Blue Chip Value Fund (FBCVX)	A	Dividend	K	T					
39. - Fidelity 500 Index Fund (FXAIX)	A	Dividend	L	T	Buy (add'l)	05/20/21	K		
40. - Fidelity Limited Term Bond Fund (FJRLX)	B	Dividend	M	T					
41. - Fidelity Total Bond (FTBFX)	C	Dividend	M	T					
42. - Cadence Bank (CADE) (See Note 1)	A	Dividend	K	T	Buy	05/19/21	K		
43. - Morgan Stanley (MS)	B	Dividend	L	T	Buy	05/19/21	L		
44. - Fidelity Value Fund (FDVLX)	D	Dividend	K	T	Buy	05/20/21	K		
45. Brokerage Account #1 (H)									
46. - Morgan Stanley Private Bank NA Fund	A	Interest	L	T					
47. - JP Morgan Chase FXD 5.3 (CUSIP 46625HKK5)	C	Dividend	L	T					
48. - CitiGroup Inc. FXD 5.95 (CUSIP 172967JZ5)	B	Dividend			Redeemed	05/17/21	L	A	
49. - CitiGroup Inc. FXD 6.125 (CUSIP 172967KD2)	C	Dividend			Redeemed (part)	02/16/21	L	A	
50.					Redeemed	05/17/21	M	A	
51. - Bank of America Corp FXD 6.1 (CUSIP 060505EN0)	D	Dividend	M	T					

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52. - Bank of America Corp FXD 5.125 (CUSIP 060505FP4)	D	Dividend	M	T					
53. - JP Morgan Chase FXD 6.1 (CUSIP 48126HAC4)	D	Dividend	M	T					
54. - Morgan Stanley FXD 5.3 (CUSIP 61745VAB9) (See Note 2)	E	Dividend	N	T					
55. - PGIM Short Dur High Yield (SDHY)	D	Dividend	L	T					
56. - Goldman Sachs Capital FXD 4.0 (CUSIP 381427AA1)	B	Dividend	L	T	Buy	03/26/21	L		
57. - CitiGroup Inx. FXD 5.35 (CUSIP 172967GR6)	B	Dividend	L	T	Buy	05/17/21	L		
58. - Capital One 4.375 (COF.L)	B	Dividend	L	T	Buy	05/17/21	K		
59. - Plains All American FXD 6.125 (CUSIP 726503AE5)	B	Dividend	K	T	Buy	05/17/21	K		
60. - Neuberger Berman Next Gen Fund (NBXG)	B	Dividend	L	T	Buy	05/26/21	L		
61. Brokerage Account #2 (H) (See Note 3)									
62. - Bank of America Money Market Fund	A	Interest			Sold	11/01/21	J	A	
63. Compass/PNC Bank	E	Interest	O	T					
64. Northwestern Mutual EOL Whole Life Insurance [redacted] (See Note 4)	A	Dividend	J	T					
65. Northwestern Mutual EOL Whole Life Insurance [redacted]	A	Dividend	J	T					
66. Northwestern Life Mutual Whole Life Insurance [redacted]	A	Dividend	J	T					
67. Northwestern Mutual Whole Life Insurance [redacted]	C	Dividend	L	T					
68. Northwestern Mutual Whole Life Insurance [redacted]	C	Dividend	L	T					

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69. Northwestern Mutual Whole Life Insurance [redacted]	C	Dividend	K	T					
70. IRA Account #4 (H)									
71. - Capital Income BLDR (CAIBX)	C	Dividend			Sold	12/06/21	M	E	
72. - American FDS Portfolio Growth and Income Fund (GAIOX)	B	Dividend			Sold	12/06/21	N	G	
73. - Apple (AAPL)	A	Dividend	J	T					
74. - Industrial Select Sector SPDR Fund (XLI)	A	Dividend			Sold	12/06/21	J	C	
75. - Consumer Staples Select Sector SPDR (XLP)	A	Dividend			Sold	12/06/21	J	B	
76. - Energy Select Sector SPDR Fund (XLE)	A	Dividend			Sold	12/06/21	J	A	
77. - Consumer Discretionary Select Sector SPDR (XLY)	A	Dividend			Sold	12/06/21	J	D	
78. - SPDR Gold Trust (GLD)		None	J	T					
79. - Vanguard Information Technology Index (VGT)	A	Dividend			Sold	12/06/21	K	E	
80. - WF Sweep	A	Interest	J	T					
81. - American Funds High Income Trust (AHITX)	B	Dividend			Sold	12/06/21	K	A	
82. - Dodge & Cox Fund (DODGX)	A	Dividend	K	T	Buy	12/17/21	K		
83. - The Bond Fund of America (ABNFX)		None	M	T	Buy	12/17/21	M		
84. - Brown Advisory Small-Cap Growth Fund (BAFSX)		None	K	T	Buy	12/17/21	K		
85. - Goldman Sachs Treasury Instruments Fund (FTIXX)	A	Dividend	J	T	Buy	12/17/21	J		

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86. - The Growth Fund of America (GFFFX)		None	L	T	Buy	12/17/21	L		
87. - The Hartford MidCap Fund (HFMIX)	A	Dividend	K	T	Buy	12/17/21	K		
88. - American Funds New World (NFFFX)		None	K	T	Buy	12/17/21	K		
89. - Washington Mutual Investors Fund (WMFFX)		None	M	T	Buy	12/17/21	M		
90. - Janus Henderson Small Cap Value (JSCOX)	A	Dividend	K	T	Buy	12/17/21	K		
91. - Jensen Quality Growth (JENIX)		None	K	T	Buy	12/17/21	K		
92. - John Hancock Disciplined Value (JVMIX)		None	K	T	Buy	12/17/21	K		
93. - MFS Emerging Markets Debt Fund (MEDIX)		None	K	T	Buy	12/17/21	K		
94. - Allspring Emerging Markets Equity Fund (EMGNX)	A	Dividend	K	T	Buy	12/17/21	K		
95. - EuroPacific Growth Fund (AEPFX)		None	L	T	Buy	12/17/21	L		
96. - PIMCO Total Return Fund (PTTRX)	A	Dividend	L	T	Buy	12/17/21	L		
97. - PIMCO CommodityRealReturn Strategy Fund (PCRIX)	A	Dividend	J	T	Buy	12/17/21	J		
98. - PGIM High Yield Fund (PHYZX)	A	Dividend	K	T	Buy	12/17/21	K		
99. IRA Account #5 (H)									
100. - SPDR Gold Trust (GLD)		None	J	T	Buy (add'l)	01/08/21	J		
101.					Buy (add'l)	03/30/21	J		
102.					Buy (add'l)	08/13/21	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103.					Sold (part)	12/06/21	J	A	
104. - WisdomTree Japan Hedged Equity ETF (DXJ)	A	Dividend	J	T	Sold (part)	12/06/21	J	A	
105. - Fidelity Investments MMKT FDS (FNSXX)	A	Dividend			Sold (part)	06/09/21	J	A	
106.					Sold (part)	12/06/21	J	A	
107.					Sold	12/31/21	J	A	
108. - Vanguard Short Term ETF (BSV)	A	Dividend	K	T	Sold (part)	08/13/21	K	A	
109.					Sold (part)	12/06/21	J	A	
110.					Buy (add'l)	12/31/21	J		
111. - iShares MSCI USA Quality Factor ETF (QUAL)	A	Dividend	J	T	Sold (part)	08/13/21	J	A	
112.					Sold (part)	12/06/21	J	A	
113. - First Trust Dow Jones ETF (FDN)		None			Sold	01/04/21	J	A	
114. - Vanguard Consumer Staples ETF (VDC)	A	Dividend			Sold	08/13/21	J	A	
115. - iShares MSCI Int'l Multifactor ETF (INTF)	A	Dividend	J	T	Sold (part)	12/06/21	J	A	
116. - First Trust TCW Opportunistic Fixed Income ETF (FIXD)	A	Dividend	J	T	Buy (add'l)	08/13/21	J		
117.					Sold (part)	12/06/21	J	A	
118. - PIMCO Active Bond ETF (BOND)	A	Dividend	J	T	Buy (add'l)	08/13/21	J		
119.					Sold (part)	12/06/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Hanen, Andrew S.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. - Vanguard Total Int'l Bond ETF (BNDX)	A	Dividend			Sold (part)	12/06/21	J	A	
121.					Sold	12/31/21	J	A	
122. - Xtrackers MSCI Europe Hedged Equity (DBEU)	A	Dividend	J	T	Sold (part)	12/06/21	J	A	
123. - Goldman Sachs ActiveBeta US (GSLC)	A	Dividend	J	T	Buy (add'l)	01/04/21	J		
124.					Sold (part)	12/06/21	J	A	
125. - Wells Fargo Sweep	A	Interest	J	T					
126. - FlexShares MorningStar Global Upstream (GUNR)	A	Dividend	J	T	Buy	01/15/21	J		
127.					Buy (add'l)	08/13/21	J		
128.					Sold (part)	12/06/21	J	A	
129. - Vanguard Malvern FDS Short-Term (VTIP)	A	Dividend	K	T	Buy	06/09/21	J		
130.					Buy (add'l)	08/13/21	J		
131.					Sold (part)	12/06/21	J	A	
132.					Buy (add'l)	12/31/21	J		
133. - KraneShares Quadratic Interest Rate (IVOL)	A	Dividend			Buy	08/13/21	J		
134.					Sold (part)	12/06/21	J	A	
135.					Sold	12/31/21	J	A	
136. - VanEck JP Morgan Local Currency Bond (EMLC)	A	Dividend	J	T	Buy	08/13/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137.					Sold (part)	12/06/21	J	A	
138. IRA Account #6 (H)									
139. - Energy Transfer Equity (ET)	B	Dividend	J	T	Sold (part)	12/07/21	J	A	
140. - Enterprise Products Partners LP (EPD)	A	Dividend	J	T	Sold (part)	12/07/21	J	A	
141. - Kinder Morgan Inc. (KMI)	A	Dividend	J	T	Sold (part)	12/07/21	J	A	
142. - ONEOK Inc. (OKE)	A	Dividend	J	T	Sold (part)	05/13/21	J	A	
143.					Sold (part)	12/07/21	J	A	
144. - Williams Companies Inc. (WMB)	A	Dividend	J	T	Sold (part)	12/07/21	J	A	
145. - First Eagle Overseas Fund Class A (SGOVX)	B	Dividend	K	T	Sold (part)	06/07/21	J	A	
146.					Sold (part)	11/24/21	J	A	
147.					Sold (part)	12/07/21	J	A	
148. - Templeton Emerging Markets Income Fund (TEI)	A	Dividend			Sold	12/07/21	J	A	
149. - Vanguard Prime Money Market Fund (VMMXX)	A	Dividend	K	T	Sold (part)	12/07/21	K	A	
150. - iShares JP Morgan EM Local Currency Bond ETF (LEMB)	A	Dividend	J	T					
151. - iShares S&P 500 Growth ETF (IVW)	A	Dividend	J	T	Sold (part)	11/04/21	J	C	
152.					Sold (part)	12/07/21	J	C	
153. - iShares S&P 500 Value ETF (IVE)	A	Dividend	J	T	Sold (part)	04/16/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
154.					Sold (part)	12/09/21	J	B	
155. - Vanguard Short-Term Bond Index Fund ETF (BSV)	A	Dividend	K	T	Buy (add'l)	09/01/21	J		
156.					Sold (part)	12/07/21	J	A	
157. - Pershing Gov. Acct.	A	Dividend	K	T					
158. - MFS International Diversification Fund (MDIJX)	A	Dividend	K	T	Sold (part)	12/07/21	J	C	
159. - JPMorgan Emerging Markets (JFAMX)	A	Dividend	J	T	Sold (part)	12/07/21	J	B	
160. - Eaton Vance Emerging Markets (EEIAX)	B	Dividend	J	T					
161. - PGIM Global Total Return Fund (PZTRX)	A	Dividend	K	T	Buy (add'l)	06/01/21	J		
162.					Sold (part)	12/07/21	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The Cadence stock was originally the purchase of BankCorp South stock, but that bank subsequently merged on October 29, 2021 with Cadence Bank and it now operates under that name.
2. Previously labeled E*Trade 5.3. Morgan Stanley bought E*Trade in October 2020 and the FXD was renamed Morgan Stanley 5.3. The CUSIP changed from 269246BR4 to 61745VAB9.
3. With the sales reflected in Part VII, Brokerage Account #2 was closed.
4. All life insurance policies (Part VII, Lines 64-69) are either whole life or what is called extraordinary life (EOL), which are essentially whole life policies. Any dividends that are generated are used to pay the premium or to buy more coverage. The undersigned does not have any investment authority over these policies, nor does he invest any funds associated with these policies.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andrew S. Hanen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544