AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hanlon, James P.	U.S. District Court, Southern District of Indiana	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
46 E. Ohio Street Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Hussey Mayfield Memorial Public Library Foundation
2.	Trustee	Trust #1 (no reportable assets)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2012	Faegre Baker Daniels LLP Cash Balance Pension Plan
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] (salary)
2.	2021	[redacted] (salary)
3.	2021	[redacted] (wages)
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University	March 10-15 2021	Destin, FL	Seminar - American Law and the Political Economy of Economic Freedom	Travel, Meals, Lodging
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Hanlon, James P.	05/09/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Hanlon, James P.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. UBS Bank (cash)	A	Interest	L	T					
3. Huntington Bank (cash)	A	Interest	J	T					
4. Genuine Parts Co. (GPC)	D	Dividend	M	T					
5. Account #1 (H)									
6. iShares Core S&P Small Cap (IJR)	A	Dividend	J	T					
7. iShares Core MSCI EAFE ETF De (IEFA)	B	Dividend	K	T					
8. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T					
9. Vangaurd Value ETF (VTV)	A	Dividend	K	T					
10. Vanguard MidCap ETF (VO)	A	Dividend	K	T					
11. Vanguard Growth ETF (VUG)	A	Dividend	K	T					
12. iShares Broad USD Invt Grade (USIG)	A	Dividend	J	T					
13. iShares JPMorgan USD Emerging Markets Bond ETF (EMB)	A	Dividend	J	T					
14. PIMCO 15+ Yr US Tip Index (LTPZ)	A	Dividend	J	T					
15. SPDR Ser TR SPDR Bloomberg Barclays 1-3 (BIL)		None	J	T					
16. Vanguard Extd Duration Treas ETF (EDV)	A	Dividend	J	T					
17. Account #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Hanlon, James P.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Charles Schwab Bank (Cash)	A	Interest	K	T	Open	04/22/21	M		
19.	Schwab Markettrack Growth (SWHGX)	D	Dividend	M	T	Buy	09/20/21	M		
20.	Account #3 (H)									
21.	iShares Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T					
22.	iShares Core MSCI Emerging (IEMG)	A	Dividend	J	T					
23.	Vangaurd Large Cap ETF (VV)	A	Dividend	J	T					
24.	Vangaurd Mid-Cap ETF (VO)	A	Dividend	J	T					
25.	Account #4 (H)									
26.	Fidelity Growth Compy Commingled		None	M	T					
27.	Fidelity Total Market Index (FSKAX)		None	N	T					
28.	Baron Small Cap Inst (BSFIX)		None	L	T					
29.	Victory Sycamore Small Company Opp (VSOIX)		None	L	T					
30.	American Funds Europacific Growth R6 (RERGX)		None	M	T					
31.	Cohen & Steers Institutional Realty Shares (CSRIX)		None	M	T					
32.	PIMCO International Bond (USD-Hdg) (PFORX)		None	M	T					
33.	TCW MetWest Total Return Bond C (MWTRX)		None	M	T					
34.	Account #5 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Hanlon, James P.	05/09/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. iShares Core S&P Smallcap EFT (IJR)	A	Dividend	J	T	Sold (part)	11/05/21	J	C	
36. iShares Core MSCI EAFE (IEFA)	A	Dividend	J	T	Sold (part)	06/29/21	K	D	
37.					Sold (part)	11/05/21	J	C	
38. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	J	T	Sold (part)	06/29/21	J	C	
39.					Sold (part)	11/05/21	J	B	
40. Vanguard Value ETF (VTV)	A	Dividend	K	T	Sold (part)	06/29/21	J	A	
41.					Sold (part)	11/05/21	J	B	
42. Vanguard Midcap ETF (VO)	A	Dividend	K	T	Sold (part)	11/05/21	J	C	
43. Vanguard Growth ETF (VUG)	A	Dividend	K	T	Sold (part)	06/29/21	J	C	
44.					Sold (part)	11/05/21	J	C	
45. iShares Broad USD Invt Grade Corp Bond (USIG)	A	Dividend	J	T					
46. iShares NATL Muni Bond ETF (MUB)	A	Dividend	J	T					
47. iShares JPMorgan USD Emerging Mkts (EMB)	A	Dividend	J	T					
48. PIMCO 15+ Yr US Tips Index (LTPZ)	A	Dividend	J	T					
49. SPDR Ser Tr SPDR Bloomberg Barclays 1-3 Month (BIL)		None	J	T					
50. Vanguard Extd Duration Treas (EDV)	A	Dividend	J	T					
51. Account #6 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. College Choice 2024 Enrollment Portfolio		None	M	T					
53. Account #7 (H)									
54. College Choice 2030 Enrollment Portfolio		None	L	T					
55. Account #8 (H)									
56. Vanguard Commencement 529 Portfolio		None	J	T					
57. Vanguard Target Enrollment 2024/2025 529 Portfolio (X)		None	M	T					

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3. Value Method Codes (See Column C2) Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market U =Book Value V =Other W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James P. Hanlon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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