AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Hardiman, Thomas M. | U.S. Court of Appeals | 02/05/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. Circuit Judge-Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| 2270 Joseph F. Weis Jr. U.S. Courthouse<br>700 Grant Street<br>Pittsburgh, PA 15219 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director (Emeritus) | Big Brothers Big Sisters of Greater Pittsburgh, Inc. |
| 2. | Adjunct Law Professor | Duquesne Law School |
| 3. | Member | Georgetown Law, Board of Visitors |
| 4. | Adjunct Law Professor | Georgetown Law |
| 5. | Council Member | American Law Institute |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2022 | Duquesne Law School (teaching-Adjunct Spring Semester) | $2,666.70 |
| 2. | 1/2022 | Georgetown Law (teaching-Adjunct) | $10,000.00 |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | 2022 | The First City Company |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | George Mason University | May 12-13, 2022 | Arlington, VA | Antonin Scalia Forum (Judicial Education) | Lodging/Transportation/Parking/Meals |
| 2. | Georgetown University | June 9-12, 2022 | Sarasota, FL | Originalism Seminar | Lodging/Transportation/Parking/Meals |
| 3. | George Mason University | June 19-24, 2022 | Bar Harbor, ME | Law & Ecoonic Analysis Seminar (Judicial Education) | Lodging/Transportation/Parking/Meals |
| 4. | George Mason University | September 25-October 1, 2022 | Pray, MT | Law & Economics/Public Lands Seminar (Judicial Education) | Lodging/Transportation/Parking/Meals |

| | | | | | |
|---|---|---|---|---|---|
| 5. | Catholic Bar Association | October 13-15, 2022 | Dallas, TX | Annual Bar Convention Speech | Lodging/Transportation/Parking/Meals |
| 6. | American Law Institute | October 19-21, 2022 | New York, NY | Council Meeting | Lodging/Transporation/Parking/Meals |
| 7. | Federalist Society | November 10-12, 2022 | Washington, DC | Annual Lawyers Convention | Lodging/Transporation/Parking/Meals |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. PNC Checking Account | A | Interest | K | T | | | | | |
| 2. PNC Money Market Account | A | Interest | M | T | | | | | |
| 3. Vanguard Prmpcp Mut. Fund (MF) | E | Dividend | N | T | Buy (add'l) | 01/20/22 | J | | |
| 4. | | | | | Buy (add'l) | 02/07/22 | J | | |
| 5. | | | | | Buy (add'l) | 02/18/22 | J | | |
| 6. | | | | | Buy (add'l) | 02/22/22 | J | | |
| 7. | | | | | Buy (add'l) | 03/02/22 | J | | |
| 8. | | | | | Buy (add'l) | 03/08/22 | J | | |
| 9. Vanguard Prime Money Market | D | Interest | M | T | | | | | |
| 10. Vanguard Info Technology EFT | A | Dividend | J | T | Buy | 04/22/22 | J | | |
| 11. | | | | | Buy (add'l) | 04/28/22 | J | | |
| 12. | | | | | Buy (add'l) | 05/26/22 | J | | |
| 13. Fulton Financial Stk (CS) | A | Dividend | K | T | | | | | |
| 14. Wells Fargo Acct (H) | | | | | | | | | |
| 15. -Wells Fargo MM Account | B | Interest | M | T | | | | | |
| 16. -Alphabet Inc. Class A | | None | M | T | | | | | |
| 17. -Alphabet Inc. Class C | | None | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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|---|---|---|---|---|---|---|---|---|---|
| 18. -Bank New York Mellon CS | B | Dividend | L | T | | | | | |
| 19. -Berkshire Hathaway | | None | M | T | | | | | |
| 20. -Citigroup | C | Dividend | L | T | | | | | |
| 21. -Duke Energy PF | A | Dividend | K | T | | | | | |
| 22. -JP Morgan Chase CS | C | Dividend | M | T | | | | | |
| 23. -T. Mobile | | None | M | T | | | | | |
| 24. -Disney Walt (CLA) | | None | L | T | Donated (part) | | | | |
| 25. -US Bancorp CS | B | Dividend | K | T | | | | | |
| 26. -Eaton Vance Gr. India MF | | Dividend | K | T | | | | | |
| 27. -Investment Co. of America MF | C | Dividend | M | T | | | | | |
| 28. -ENBRIDGE (f/k/a Spectra Energy Corp) | A | Dividend | J | T | | | | | |
| 29. -Garnet Valley PA School District Bond | A | Interest | K | T | | | | | |
| 30. -Washington Mutual Invest. MF | C | Dividend | M | T | | | | | |
| 31. -Capital World Income MF | A | Dividend | K | T | | | | | |
| 32. -Europacific Growth MF | A | Dividend | K | T | | | | | |
| 33. -New Perspective FDA MF | A | Dividend | K | T | | | | | |
| 34. -Lord Abbett Muni Income | D | Dividend | | | Sold | 05/02/22 | N | A | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. -Lord Abbett Secs Trust Growth Leaders Fund | | None | L | T | | | | | |
| 36. -Flaherty & Crumrin | C | Dividend | K | T | | | | | |
| 37. -MFS Global Total Rtn Fund Class A | C | Dividend | | | Sold | 08/01/22 | M | A | |
| 38. -MFS Growth Class A | | None | N | T | | | | | |
| 39. -MFS Mid Cap Value | D | Dividend | O | T | | | | | |
| 40. -MFS Municipal Limited Maturity Fund | B | Dividend | | | Sold | 08/01/22 | M | A | |
| 41. -MFS SER TR.1 New Discovery FD CLA | | None | M | T | | | | | |
| 42. -MFS Utilities Fund | D | Dividend | N | T | | | | | |
| 43. -MFS Global Equity Fund Class A | B | Dividend | N | T | | | | | |
| 44. -MFS International Growth Fund | B | Dividend | M | T | | | | | |
| 45. -MFS International Value Fund | B | Dividend | M | T | | | | | |
| 46. -AMCAP Fund | | None | L | T | | | | | |
| 47. -Fundamental Investors | B | Dividend | L | T | | | | | |
| 48. -Growth Fund of America | A | Dividend | M | T | | | | | |
| 49. -AB Muni Inc FD National Portfolio | D | Dividend | | | Sold | 05/02/22 | O | A | |
| 50. -American Funds Developing World Growth Income | B | Dividend | | | Sold | 08/01/22 | M | A | |
| 51. -Small Cap World | | None | M | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. -New World Fund Class | B | Dividend | M | T | | | | | |
| 53. -ABBD Fund Municipal Bond Inflation | D | Dividend | | | Sold | 11/07/22 | N | A | |
| 54. -Lord Abbett US Govt MM | C | Interest | N | T | Buy | 05/02/22 | N | | |
| 55. -Federated Hermes Price Cash Obligations | E | Interest | P1 | T | Buy | 08/03/22 | P1 | | |
| 56. -Federated Hermes Treasury Obligations | D | Interest | N | T | Buy | 11/10/22 | N | | |
| 57. -Allspring MM | D | Interest | N | T | Buy | 11/22/22 | N | | |
| 58. 401(k) Account #1 (H) | | | | | | | | | |
| 59. -Lg. Value Equity MF (Dodge & Cox) | A | Dividend | M | T | | | | | |
| 60. -Lg. Value Growth (T. Rowe Price) | A | Dividend | L | T | | | | | |
| 61. -Janus Henderson Mid Cap Value | A | Dividend | | | Merged (with line 62) | 06/30/22 | L | | |
| 62. -American Century Mid Cap | A | Dividend | L | T | Open | 06/30/22 | L | | |
| 63. -International Value II (Dodge & Cox) | A | Dividend | K | T | | | | | |
| 64. -Clearbridge International Growth | A | Dividend | K | T | | | | | |
| 65. 401(k) Account #2 (H) | | | | | | | | | |
| 66. -Merrill Lynch Account | A | Interest | J | T | | | | | |
| 67. -Century Link | C | Dividend | K | T | | | | | |
| 68. -Citigroup CS | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. -General Electric CS | A | Dividend | J | T | | | | | |
| 70. -Sirius XM | A | Dividend | K | T | | | | | |
| 71. TRUST #2 (H) | | | | | | | | | |
| 72. -Wells Fargo Account | A | Interest | K | T | | | | | |
| 73. -AMCAP MF | | None | M | T | | | | | |
| 74. -Capital World Gr. & Income MF | B | Dividend | M | T | | | | | |
| 75. -Europacific Growth MF | B | Dividend | L | T | | | | | |
| 76. -Fundamental Inv. MF | B | Dividend | M | T | | | | | |
| 77. -Growth Fund of America MF | A | Dividend | M | T | | | | | |
| 78. -Investment Co. of America MF | B | Dividend | M | T | | | | | |
| 79. -New Perspective MF | B | Dividend | M | T | | | | | |
| 80. -Washington Mutual Inv. MF | B | Dividend | M | T | | | | | |
| 81. -Short Term Bd Fund America Class A | A | Interest | J | T | | | | | |
| 82. -I Shares Core ETF Small Cap | A | Dividend | K | T | Buy (add'l) | 01/18/22 | K | | |
| 83. -Federated Hermes Prime Cash Obligations | B | Dividend | L | T | Buy | 08/01/22 | L | | |
| 84. TRUST #3 (H) | | | | | | | | | |
| 85. -I Shares Core S & P Small Cap ETF | A | Dividend | K | T | Buy | 01/18/22 | K | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. -Wells Fargo Account | A | Interest | K | T | | | | | |
| 87. -AMCAP MF | | None | M | T | | | | | |
| 88. -Capital World Gr. & Income MF | B | Dividend | M | T | | | | | |
| 89. -Europacific Growth MF | B | Dividend | L | T | | | | | |
| 90. -Fundamental Inv. MF | B | Dividend | M | T | | | | | |
| 91. -Growth Fund of America MF | A | Dividend | M | T | | | | | |
| 92. -Investment Co. of America MF | B | Dividend | M | T | | | | | |
| 93. -New Perspective MF | B | Dividend | M | T | | | | | |
| 94. -Washington Mutual Inv. MF | B | Dividend | M | T | | | | | |
| 95. -Short Term Bd Fund America Class A | A | Interest | J | T | | | | | |
| 96. -Federated Hermes Prima Cap Obligations | C | Interest | M | T | Buy (add'l) | 08/01/22 | L | | |
| 97. TRUST #4 (H) | | | | | | | | | |
| 98. -Wells Fargo Account | A | Interest | K | T | | | | | |
| 99. -AMCAP MF | | None | M | T | | | | | |
| 100. -Fundamental Inv. MF | C | Dividend | M | T | | | | | |
| 101. -Growth Fund of America MF | A | Dividend | M | T | | | | | |
| 102. -Investment Co. of America MF | B | Dividend | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. -New Perspective MF | B | Dividend | M | T | | | | | |
| 104. -Washington Mutual Inv. MF | C | Dividend | M | T | | | | | |
| 105. -Federated Hermes Prime Cash | C | Interest | M | T | Buy | 08/01/22 | M | | |
| 106. TRUST #5 (H) | | | | | | | | | |
| 107. -Wells Fargo Bank Account | A | Interest | K | T | | | | | |
| 108. -AMCAP MF | | None | L | T | | | | | |
| 109. -Capital Income Builder MF | B | Dividend | K | T | | | | | |
| 110. -Capital World Gr. & Income MF | A | Dividend | K | T | | | | | |
| 111. -Eaton Vance Gr. India MF | | None | L | T | | | | | |
| 112. -INTL Growth & Income MF | A | Dividend | K | T | | | | | |
| 113. -Fundamental INVS Inc. MF | B | Dividend | L | T | | | | | |
| 114. -Growth Fund of America MF | A | Dividend | L | T | | | | | |
| 115. -Investment Co. of America MF | B | Dividend | L | T | | | | | |
| 116. -Lord Abbett Inv. Trust | C | Dividend | L | T | Buy | 01/18/22 | L | | |
| 117. -Federated Hermes Prime Cash | B | Interest | L | T | Buy | 08/01/22 | L | | |
| 118. -MFS Municipal Limited Maturity Fund Class A | C | Dividend | M | T | | | | | |
| 119. -Washington Mutual Investors | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. -Small Cap World | | None | L | T | | | | | |
| 121. -JJ Lorick LLC | F | Distribution | P1 | T | | | | | |
| 122. -New Perspectives FD Inc. MF | A | Dividend | L | T | | | | | |
| 123. -Short Term Bd Fund America Class A | A | Dividend | J | T | | | | | |
| 124. -Alphabet Inc. Class A | | None | L | T | | | | | |
| 125. -Alphabet Inc. Class C | | None | L | T | | | | | |
| 126. -Bank of NY Mellon | A | Dividend | K | T | | | | | |
| 127. -Berkshire Hathaway | | None | L | T | | | | | |
| 128. -Citigroup | B | Dividend | K | T | | | | | |
| 129. -JPMorgan Chase CS | B | Dividend | L | T | | | | | |
| 130. -US Bancorp CS | A | Distribution | J | T | | | | | |
| 131. -I Share Core S & P Small Cap ETF | A | Dividend | K | T | | | | | |
| 132. REAL ESTATE PARTNERSHIP (H) | | | | | | | | | |
| 133. -West Moon Twp. Assoc. | D | Distribution | L | U | | | | | |
| 134. -McIntyre Square Assoc. | D | Distribution | M | U | | | | | |
| 135. WELLS FARGO ACCOUNT #1 REVOCABLE TRUST (H) | | | | | | | | | |
| 136. -Wells Fargo MM | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
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| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. -AMCAP MF | | None | L | T | | | | | |
| 138. -Capital World Growth & Income Fd | B | Dividend | M | T | | | | | |
| 139. -Fundamental Inv. MF | C | Dividend | N | T | | | | | |
| 140. -Growth Fund America | A | Dividend | N | T | | | | | |
| 141. -MFS Municipal Limited Maturity Fund | D | Dividend | O | T | Sold (part) | 02/10/22 | L | A | |
| 142. | | | | | Sold (part) | 02/24/22 | L | A | |
| 143. | | | | | Sold (part) | 05/02/22 | O | A | |
| 144. -New Perspective Fd | B | Dividend | M | T | | | | | |
| 145. -Smallcap World | | None | M | T | | | | | |
| 146. -AB Municipal Income National Portfolio | D | Dividend | | | Sold | 05/12/22 | O | A | |
| 147. -Wash Mut Invs Fd | C | Dividend | N | T | | | | | |
| 148. -Allspring Funds MM-Premier (f/k/a WF Funds MM Premier) | A | Interest | | | Sold (part) | 01/03/22 | K | A | |
| 149. | | | | | Sold | 01/07/22 | P1 | A | |
| 150. -MFS SER TR IV US Govt MM | D | Interest | O | T | Buy | 05/02/22 | O | | |
| 151. -Federated Hermes Prime Cash Obligations | D | Interest | M | T | Buy | 08/02/22 | M | | |
| 152. | | | | | Sold (part) | 10/31/22 | L | A | |
| 153. | | | | | Sold (part) | 11/14/22 | L | A | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. | | | | | Sold (part) | 12/13/22 | L | A | |
| 155. WELLS FARGO ACCOUNT #2 REVOCABLE TRUST (H) | | | | | | | | | |
| 156. -Wells Fargo Account | A | Interest | L | T | | | | | |
| 157. -Goldman Sachs Bank Account (X) | B | Interest | M | T | | | | | |
| 158. -Invesco TR S & P 500 Equal Weight | C | Dividend | N | T | Buy (add'l) | 01/05/22 | J | | |
| 159. | | | | | Buy (add'l) | 02/04/22 | J | | |
| 160. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 161. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 162. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 163. | | | | | Buy (add'l) | 09/23/22 | K | | |
| 164. | | | | | Buy (add'l) | 11/09/22 | K | | |
| 165. -Energy Select SPDR | B | Dividend | L | T | Buy | 05/10/22 | K | | |
| 166. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 167. | | | | | Buy (add'l) | 08/19/22 | K | | |
| 168. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 169. -I Shares MSCI Emerging Mkts Ex China ETF | C | Dividend | L | T | Buy | 01/18/22 | L | | |
| 170. | | | | | Buy (add'l) | 02/08/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 171. | | | | | Buy (add'l) | 02/24/22 | J | | |
| 172. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 173. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 174. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 175. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 176. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 177. -SPDR Healthcare Sector ETF | B | Dividend | M | T | Buy | 01/24/22 | K | | |
| 178. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 179. | | | | | Buy (add'l) | 04/18/22 | J | | |
| 180. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 181. | | | | | Buy (add'l) | 07/11/22 | K | | |
| 182. | | | | | Buy (add'l) | 08/19/22 | K | | |
| 183. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 184. -Ishares Core S & P Mid ETF | B | Dividend | M | T | Buy (add'l) | 01/05/22 | J | | |
| 185. | | | | | Buy (add'l) | 02/24/22 | J | | |
| 186. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 187. | | | | | Sold (part) | 04/08/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 188. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 189. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 190. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 191. -Select SPDR Commun Services ETC | B | Dividend | L | T | Buy | 07/11/22 | L | | |
| 192. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 193. -Vangaurd Value | D | Dividend | N | T | Buy | 05/05/22 | L | | |
| 194. | | | | | Buy (add'l) | 05/10/22 | L | | |
| 195. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 196. | | | | | Buy (add'l) | 07/11/22 | K | | |
| 197. | | | | | Buy (add'l) | 09/23/22 | K | | |
| 198. | | | | | Buy (add'l) | 11/09/22 | K | | |
| 199. | | | | | Buy (add'l) | 12/15/22 | K | | |
| 200. -Fidelity Tax Exempt Portfolio Class I | B | Dividend | K | T | Buy | 05/20/22 | M | | |
| 201. | | | | | Buy (add'l) | 08/19/22 | J | | |
| 202. | | | | | Sold (part) | 08/23/22 | J | A | |
| 203. | | | | | Sold (part) | 09/23/22 | M | A | |
| 204. -Ishares Core S&P Small Cap | B | Dividend | L | T | Buy (add'l) | 01/05/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 205. | | | | | Buy (add'l) | 01/18/22 | K | | |
| 206. | | | | | Buy (add'l) | 01/24/22 | J | | |
| 207. | | | | | Buy (add'l) | 02/08/22 | J | | |
| 208. | | | | | Buy (add'l) | 02/24/22 | J | | |
| 209. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 210. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 211. | | | | | Sold (part) | 05/05/22 | L | A | |
| 212. | | | | | Buy (add'l) | 06/20/22 | J | | |
| 213. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 214. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 215. | | | | | Buy (add'l) | 12/15/22 | K | | |
| 216. -Ishares Nasdaq Biotechnology | A | Dividend | | | Buy (add'l) | 01/05/22 | J | | |
| 217. | | | | | Buy (add'l) | 01/19/22 | J | | |
| 218. | | | | | Sold (part) | 01/24/22 | K | A | |
| 219. | | | | | Sold | 05/05/22 | K | A | |
| 220. -SPDR Tech Select Sector | C | Dividend | N | T | Buy (add'l) | 01/05/22 | J | | |
| 221. | | | | | Buy (add'l) | 02/24/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 222. | | | | | Buy (add'l) | 03/04/22 | K | | |
| 223. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 224. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 225. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 226. | | | | | Buy (add'l) | 07/11/22 | K | | |
| 227. | | | | | Buy (add'l) | 08/09/22 | K | | |
| 228. | | | | | Buy (add'l) | 09/23/22 | K | | |
| 229. | | | | | Buy (add'l) | 11/09/22 | K | | |
| 230. -Select Sector SPDRTR Financial | A | Dividend | K | T | Buy (add'l) | 01/05/22 | J | | |
| 231. | | | | | Buy (add'l) | 02/08/22 | J | | |
| 232. | | | | | Buy (add'l) | 03/22/22 | J | | |
| 233. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 234. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 235. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 236. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 237. -Vanguard Consumer Discretionary | A | Dividend | L | T | Buy (add'l) | 01/05/22 | J | | |
| 238. | | | | | Buy (add'l) | 02/24/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 239. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 240. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 241. | | | | | Buy (add'l) | 06/10/22 | J | | |
| 242. | | | | | Buy (add'l) | 09/23/22 | J | | |
| 243. | | | | | Buy (add'l) | 11/09/22 | J | | |
| 244. -Vanguard FTSE Developed Markets ETF | B | Dividend | K | T | Buy (add'l) | 01/05/22 | J | | |
| 245. | | | | | | | | | |
| 246. | | | | | | | | | |
| 247. | | | | | | | | | |
| 248. -Vanguard Int'l Equity Emerging Markets ETF | B | Dividend | | | Sold | 01/18/22 | L | A | |
| 249. -Vanguard Communication Services | A | Dividend | | | Buy (add'l) | 01/05/22 | J | | |
| 250. | | | | | Buy (add'l) | 02/08/22 | J | | |
| 251. | | | | | Buy (add'l) | 02/24/22 | J | | |
| 252. | | | | | Buy (add'l) | 03/23/22 | J | | |
| 253. | | | | | Buy (add'l) | 04/08/22 | J | | |
| 254. | | | | | Buy (add'l) | 05/05/22 | J | | |
| 255. | | | | | Buy (add'l) | 06/10/22 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 256. | | | | | Sold | 07/11/22 | L | A | |
| 257. WELLS FARGO ACCOUNT #3 REVOCABLE TRUST (H) | | | | | | | | | |
| 258. -American Mun PWR OH Rev RFDG BDS Fremont b/E Energy CTR Proj2021 ACPN | C | Interest | K | T | Buy | 03/02/22 | K | | |
| 259. -California St Forprevous Issues See13063C RFDG Var. PURP GYO CPN | C | Interest | | | Buy | 02/17/22 | L | | |
| 260. | | | | | Sold | 11/01/22 | L | A | |
| 261. -Central FL EXPWY Autlien Rev BDS 2021 | C | Interest | K | T | Buy | 02/18/22 | K | | |
| 262. -Chichster SCH Dist Go BDS 2022 G/O UNLTDB/E AGMCPN | B | Interest | K | T | Buy | 03/02/22 | K | | |
| 263. -Chicago IL OHARE INARPT Rev SR Lien Rev BDSF B/E BAM OIDCPN | C | Interest | K | T | Buy | 02/16/22 | K | | |
| 264. -Connecticut ST SER Fsoical BDS G/O UNLTDCPN | C | Interest | L | T | Buy | 12/02/22 | L | | |
| 265. -Cobb Cnty GA KENNESTHSP Auth Rev Rev ANTICCTF Wellstar Health Sys CPN | C | Interest | L | T | Buy | 08/10/22 | L | | |
| 266. -Connecticut ST SPL TOBLIG Rev Transsn Infrapurpcpn | C | Interest | L | T | Buy | 10/19/22 | L | | |
| 267. -Duneland In SCH BldgCorp Advalorem PPTYTax 1st Mtg BDS2022 Rev B/ECPN | C | Interest | K | T | Buy | 02/25/22 | K | | |
| 268. -Florida ST Mun PWR AREV RFDG All REQ PWRSUPPLY REF SER A B/ECNP | D | Interest | L | T | Buy | 08/19/22 | L | | |
| 269. -Franklin Cnty OH RevRFDG BDS Trinity HLTH CRGRP OH 2019 A B/ECPN | C | Interest | K | T | Buy | 03/01/22 | K | | |
| 270. -Hudson YDS INFRA COR2ND INDENT URE REV RFDGSER A B/ECPN | C | Interest | L | T | Buy | 03/09/22 | L | | |
| 271. -Illinois Fin Auth RERFDG UNIV OF CHICAGOSER A B/ECPN | C | Interest | L | T | Buy | 10/14/22 | L | | |
| 272. -Jefferson Parish LAWTRWKS DIST 002 WTR REVRFDG BDS 2022 B/E BAMCPN | C | Interest | K | T | Buy | 03/09/22 | K | | |

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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| Name of Person Reporting | Date of Report |
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| **Hardiman, Thomas M.** | 02/05/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 273. -Lehigh Cnty PA Gen PAUTH HSP Rev RFDG LEHIGHVL HLTH NTWRK B/ ECPN | C | Interest | L | T | Buy | 02/22/22 | L | | |
| 274. -Lower CO Riv Auth TXTransmission ContractRev RFDG BDS LCRACPN | C | Interest | K | T | Buy | 03/04/22 | K | | |
| 275. -Los Angeles CA Wastewater Sys Rev SUBBDS 2022 C B/ECPN | C | Interest | K | T | Buy | 03/16/22 | K | | |
| 276. -New York NY CityTransitional Fin Authrev for Issues Dated CPN | C | Interest | | | Buy | 03/04/22 | L | | |
| 277. | | | | | Sold | 09/28/22 | L | A | |
| 278. -New York ST Dorm Aut Pers Income Tax Rev GenPurp BDS 2020 A B/ECPN | C | Interest | K | T | Buy | 02/28/22 | K | | |
| 279. -New York ST Dorm Autsales Tax Rev ST Supported SER A B/ECPN | D | Interest | M | T | Buy | 09/09/22 | M | | |
| 280. -North TX TWY Auth RESYS 1st Tier RFDG BDS 2020A B/ECPN | C | Interest | K | T | Buy | 02/25/22 | K | | |
| 281. -Pennsylvania ST TPKCommn Rev MTRLicense FD Enhanced SUBCPN | C | Interest | L | T | Buy | 08/02/22 | L | | |
| 282. -San Antonio TX E&G RfOR Issues DTD PRIOR 8/1/92SEE 796252 SYS B/ECPN | C | Interest | | | Buy | 03/16/22 | L | | |
| 283. | | | | | Sold | 09/20/22 | L | A | |
| 284. -Washington Cnty OR S48J Beaverton Conv Defer Int SER D CAP APR OIDCPN | D | Interest | L | T | Buy | 08/25/22 | L | | |
| 285. TRUST #6 (H) | | | | | | | | | |
| 286. -Growth Fund America | A | Dividend | L | T | | | | | |
| 287. -Fundamental Invs. Inc. Class A | A | Dividend | L | T | | | | | |
| 288. -AMCAP Fund Inc. Class A | | None | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 289. -Wells Fargo MM | A | Dividend | K | T | | | | | |
| 290. -Federated Hermes Prime Cash Obligations | A | Dividend | K | T | Buy | 08/01/22 | K | | |
| 291. -Wells Fargo Deposit Account | A | Interest | J | T | | | | | |
| 292. ANNUITIES (H): (Y) | | | | | | | | | |
| 293. | | | | | | | | | |
| 294. | | | | | | | | | |
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| 305. | | | | | | | | | |

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A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 306. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 02/05/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Thomas M. Hardiman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544