AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Hardiman, Thomas M. | U.S. Court of Appeals | 09/03/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. Circuit Judge-Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| 2270 Joseph F. Weis Jr. U.S. Courthouse<br>700 Grant Street<br>Pittsburgh, PA 15219 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director (Emeritus) | Big Brothers Big Sisters of Greater Pittsburgh, Inc. |
| 2. | Adjunct Law Professor | Duquesne Law School |
| 3. | Adjunct Law Professor | Georgetown Law |
| 4. | Executive Committee Member | American Law Institute |
| 5. | Member | Duquesne University Grefenstette Advisory Board |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2023 | Duquesne Law School (teaching-Adjunct Spring Semester) | $2,666.70 |
| 2. | 1/2023 | Georgetown Law (teaching-Adjunct) | $10,000.00 |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2023 | The First City Company |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | American Law Institute | January 19-20, 2023 | Philadelphia, PA | Council Meeting | Lodging/Transporation/Parking/Meals |
| 2. | Federalist Society | March 29, 2023 | New Haven, CT | Presentation to Students | Lodging/Transporation/Parking/Tolls/ Meals |
| 3. | Princetonians for Free Speech | April 12-13, 2023 | Princeton, NJ | Presentation to Students | Lodging/Transportation/Parking |
| 4. | American Law Institute | May 20-23, 2023 | Washington, DC | Membership Meeting | Lodging/Transporatation/Parking/Tolls/ Meals |
| 5. | Hertog Foundation | July 30-31, 2023 | Washington, DC | Presentation to Students | Lodging/Transporation/Parking/Meals |
| 6. | Federalist Society | October 18, 2023 | Cambridge, MA | Presentation to Students | Transportation/Parking/Tolls |

| | | | | | |
|---|---|---|---|---|---|
| 7. | American Law Institute | October 18-20, 2023 | New York, NY | Council Meeting | Lodging/Transporation/Meals |
| 8. | Federalist Society | November 8-11, 2023 | Washington, DC | Presentation/Annual Lawyers Convention | Lodging/Transporation/Parking/Tolls/ Meals |
| 9. | Opperman Foundation | October 28-29, 2022 (Reimbursed 11/2023) | Washington, DC | Award Dinner | Lodging/Trasportation/Parking/Meals |

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 09/03/2025 |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | Ralph Crowley | Flight for Personal Trip/Lodging | $3,002.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. PNC Checking Account | A | Interest | L | T | | | | | |
| 2. PNC Money Market Account | A | Interest | L | T | | | | | |
| 3. Vanguard Prmpcp Mut. Fund (MF) | E | Dividend | N | T | Buy (add'l) | 01/19/23 | J | | |
| 4. | | | | | Buy (add'l) | 02/21/23 | J | | |
| 5. Vanguard Prime Money Market | D | Interest | M | T | | | | | |
| 6. Vanguard Info Technology EFT | A | Dividend | J | T | | | | | |
| 7. Fulton Financial Stk (CS) | A | Dividend | K | T | | | | | |
| 8. Wells Fargo Acct (H) | | | | | | | | | |
| 9. -Wells Fargo Money Market Account | B | Interest | J | T | | | | | |
| 10. -Alphabet Inc. Class A | | None | N | T | | | | | |
| 11. -Alphabet Inc. Class C | | None | M | T | | | | | |
| 12. -Bank New York Mellon CS | B | Dividend | L | T | | | | | |
| 13. -Berkshire Hathaway | | None | N | T | | | | | |
| 14. -Citigroup | C | Dividend | L | T | | | | | |
| 15. -Duke Energy PF | A | Dividend | K | T | | | | | |
| 16. -JP Morgan Chase CS | D | Dividend | M | T | | | | | |
| 17. -T. Mobile | B | Dividend | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Hardiman, Thomas M.** | 09/03/2025 |

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|---|---|---|---|---|---|---|---|---|---|
| 18. -Disney Walt (CLA) | A | Dividend | K | T | Donated (part) | | | | |
| 19. -US Bancorp CS | B | Dividend | K | T | | | | | |
| 20. -Eaton Vance Gr. India MF | | Dividend | K | T | Sold (part) | 11/29/23 | J | A | |
| 21. -Investment Co. of America MF | C | Dividend | M | T | | | | | |
| 22. -ENBRIDGE (f/k/a Spectra Energy Corp) | A | Dividend | J | T | | | | | |
| 23. -Garnet Valley PA School District Bond | A | Interest | K | T | | | | | |
| 24. -Washington Mutual Invest. MF | C | Dividend | M | T | | | | | |
| 25. -Capital World Income MF | A | Dividend | K | T | | | | | |
| 26. -Europacific Growth MF | A | Dividend | K | T | Sold (part) | 11/29/23 | J | A | |
| 27. -New Perspective FDA MF | A | Dividend | L | T | | | | | |
| 28. -Lord Abbett Secs Trust Growth Leaders Fund | | None | M | T | | | | | |
| 29. -Flaherty & Crumrin Dynamic Preferred & Income Fund | C | Dividend | | | Sold | 05/16/23 | K | A | |
| 30. -MFS Growth Class A | | None | O | T | | | | | |
| 31. -MFS Mid Cap Value | D | Dividend | O | T | | | | | |
| 32. -MFS SER TR.1 New Discovery FD CLA | | None | L | T | Sold (part) | 11/29/23 | K | B | |
| 33. -MFS Utilities Fund | C | Dividend | M | T | Sold (part) | 11/29/23 | M | A | |
| 34. -MFS Global Equity Fund Class A | B | Dividend | N | T | Sold (part) | 11/29/23 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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|---|---|---|---|---|---|---|---|---|---|
| 35. -MFS International Growth Fund | C | Dividend | M | T | | | | | |
| 36. -MFS International Value Fund | C | Dividend | M | T | Sold (part) | 11/29/23 | K | A | |
| 37. -AMCAP Fund | A | Dividend | M | T | | | | | |
| 38. -Fundamental Investors | B | Dividend | M | T | | | | | |
| 39. -Fidelity Tax Exempt Portfolio Class 1 | E | Dividend | O | T | Buy | 04/17/23 | O | | |
| 40. | | | | | Buy (add'l) | 06/26/23 | K | | |
| 41. | | | | | Buy (add'l) | 09/29/23 | J | | |
| 42. | | | | | Buy (add'l) | 12/28/23 | M | | |
| 43. -Growth Fund of America | A | Dividend | M | T | | | | | |
| 44. -Small Cap World | | None | M | T | | | | | |
| 45. -New World Fund Class | B | Dividend | M | T | | | | | |
| 46. -Lord Abbett US Govt MM | E | Interest | N | T | | | | | |
| 47. -Federated Hermes Price Cash Obligations | E | Interest | | | Sold | 03/13/23 | P1 | A | |
| 48. -Federated Hermes Tax Free Obligations | E | Interest | O | T | Buy | 04/17/23 | O | | |
| 49. | | | | | Buy (add'l) | 12/01/23 | M | | |
| 50. -Federated Hermes Treasury Obligations | E | Interest | O | T | Buy (add'l) | 01/20/23 | M | | |
| 51. | | | | | Buy (add'l) | 05/16/23 | L | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. -Allspring MM | D | Interest | | | Sold | 03/13/23 | N | A | |
| 53. -Allspring Tax Free MM | E | Interest | N | T | Buy | 04/17/23 | O | | |
| 54. | | | | | Buy (add'l) | 08/18/23 | K | | |
| 55. | | | | | Buy (add'l) | 11/17/23 | K | | |
| 56. | | | | | Buy (add'l) | 12/01/23 | M | | |
| 57. 401(k) Account #1 (H) | | | | | | | | | |
| 58. -Lg. Value Equity MF (Dodge & Cox) | A | Dividend | M | T | | | | | |
| 59. -Lg. Value Growth (T. Rowe Price) | A | Dividend | | | Merged (with line 60) | 09/01/23 | M | | |
| 60. -JP Morgan Lg Cap | A | Dividend | M | T | Open | 09/01/23 | M | | |
| 61. -American Century Mid Cap | A | Dividend | L | T | | | | | |
| 62. -International Value II (Dodge & Cox) | A | Dividend | L | T | | | | | |
| 63. -Clearbridge International Growth | A | Dividend | L | T | | | | | |
| 64. 401(k) Account #2 (H) | | | | | | | | | |
| 65. -Merrill Lynch Account | A | Interest | J | T | | | | | |
| 66. -Century Link | C | Dividend | K | T | | | | | |
| 67. -Citigroup CS | A | Dividend | J | T | | | | | |
| 68. -General Electric CS | A | Dividend | J | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. -Sirius XM | A | Dividend | K | T | | | | | |
| 70. TRUST #2 (H): Y | | | | | | | | | |
| 71. TRUST #3 (H): Y | | | | | | | | | |
| 72. TRUST #4 (H) | | | | | | | | | |
| 73. -Wells Fargo Account | A | Interest | J | T | | | | | |
| 74. -AMCAP MF | B | Dividend | N | T | Sold (part) | 11/30/23 | J | A | |
| 75. -Fundamental Inv. MF | C | Dividend | N | T | | | | | |
| 76. -Growth Fund of America MF | B | Dividend | N | T | Sold (part) | 11/30/23 | J | A | |
| 77. -Investment Co. of America MF | B | Dividend | M | T | | | | | |
| 78. -New Perspective MF | B | Dividend | M | T | Sold (part) | 11/30/23 | J | A | |
| 79. -Washington Mutual Inv. MF | C | Dividend | M | T | | | | | |
| 80. -Federated Hermes Prime Cash | D | Interest | M | T | Buy (add'l) | 01/19/23 | K | | |
| 81. | | | | | Sold (part) | 02/28/23 | J | A | |
| 82. | | | | | Buy (add'l) | 05/16/23 | J | | |
| 83. | | | | | Buy (add'l) | 06/26/23 | J | | |
| 84. | | | | | Buy (add'l) | 12/28/23 | L | | |
| 85. TRUST #5 (H) | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 86. -Wells Fargo Bank Account | A | Interest | J | T | | | | | |
| 87. -AMCAP MF | A | Dividend | M | T | | | | | |
| 88. -Capital Income Builder MF | B | Dividend | K | T | | | | | |
| 89. -Capital World Gr. & Income MF | A | Dividend | L | T | | | | | |
| 90. -Eaton Vance Gr. India MF | | None | L | T | | | | | |
| 91. -INTL Growth & Income MF | A | Dividend | K | T | | | | | |
| 92. -Fundamental INVS Inc. MF | B | Dividend | M | T | | | | | |
| 93. -Growth Fund of America MF | A | Dividend | L | T | | | | | |
| 94. -Investment Co. of America MF | B | Dividend | M | T | | | | | |
| 95. -Lord Abbett Inv. Trust | C | Dividend | L | T | | | | | |
| 96. -Federated Hermes Prime Cash | D | Interest | M | T | Buy (add'l) | 01/19/23 | K | | |
| 97. | | | | | Buy (add'l) | 05/16/23 | J | | |
| 98. | | | | | Buy (add'l) | 06/26/23 | J | | |
| 99. | | | | | Buy (add'l) | 08/18/23 | J | | |
| 100. | | | | | Buy (add'l) | 12/28/23 | K | | |
| 101. -MFS Municipal Limited Maturity Fund Class A | C | Dividend | M | T | | | | | |
| 102. -Washington Mutual Investors | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Hardiman, Thomas M.** | 09/03/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. -Small Cap World | A | Dividend | L | T | | | | | |
| 104. -JJ Lorick LLC | F | Distribution | P1 | T | | | | | |
| 105. -New Perspectives FD Inc. MF | A | Dividend | L | T | | | | | |
| 106. -Short Term Bd Fund America Class A | A | Dividend | J | T | | | | | |
| 107. -Alphabet Inc. Class A | | None | L | T | | | | | |
| 108. -Alphabet Inc. Class C | | None | L | T | | | | | |
| 109. -Bank of NY Mellon | A | Dividend | K | T | | | | | |
| 110. -Berkshire Hathaway | | None | L | T | | | | | |
| 111. -Citigroup | B | Dividend | K | T | | | | | |
| 112. -JPMorgan Chase CS | B | Dividend | L | T | | | | | |
| 113. -US Bancorp CS | A | Dividend | J | T | | | | | |
| 114. -I Share Core S & P Small Cap ETF | A | Dividend | K | T | | | | | |
| 115. REAL ESTATE PARTNERSHIP (H) | | | | | | | | | |
| 116. -West Moon Twp. Assoc. | D | Distribution | L | U | | | | | |
| 117. -McIntyre Square Assoc. | F | Distribution | M | U | | | | | |
| 118. WELLS FARGO ACCOUNT #1 REVOCABLE TRUST (H) | | | | | | | | | |
| 119. -Wells Fargo Money Market Account | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| **Hardiman, Thomas M.** | 09/03/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. -AMCAP MF | B | Dividend | N | T | | | | | |
| 121. -Capital World Growth & Income Fd | B | Dividend | M | T | | | | | |
| 122. -Fundamental Inv. MF | C | Dividend | N | T | | | | | |
| 123. -Growth Fund America | B | Dividend | N | T | | | | | |
| 124. -New Perspective Fd | B | Dividend | M | T | | | | | |
| 125. -Smallcap World | A | Dividend | M | T | | | | | |
| 126. -Wash Mut Invs Fd | C | Dividend | N | T | | | | | |
| 127. -MFS SER TR IV US Govt MM | E | Interest | O | T | Sold (part) | 11/27/23 | J | A | |
| 128. -Federated Hermes Prime Cash Obligations | E | Interest | O | T | Buy (add'l) | 01/20/23 | K | | |
| 129. | | | | | Buy (add'l) | 01/23/23 | M | | |
| 130. | | | | | Buy (add'l) | 05/16/23 | J | | |
| 131. | | | | | Buy (add'l) | 08/18/23 | K | | |
| 132. | | | | | Sold (part) | 08/22/23 | J | A | |
| 133. | | | | | Buy (add'l) | 09/29/23 | J | | |
| 134. | | | | | Buy (add'l) | 12/28/23 | L | | |
| 135. WELLS FARGO ACCOUNT #2 REVOCABLE TRUST (H) | | | | | | | | | |
| 136. -Wells Fargo Account | A | Interest | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. -Goldman Sachs Bank Account (X) | A | Interest | J | T | | | | | |
| 138. -Industrial Select Sector SPDR | A | Dividend | K | T | Buy | 09/20/23 | K | | |
| 139. -Invesco TR S & P 500 Equal Weight | C | Dividend | N | T | Buy (add'l) | 05/16/23 | J | | |
| 140. -Allspring Tax Free MM | C | Interest | M | T | Buy | 09/29/23 | K | | |
| 141. | | | | | Sold (part) | 10/17/23 | J | | |
| 142. | | | | | Buy (add'l) | 12/28/23 | L | | |
| 143. -Energy Select SPDR | A | Dividend | K | T | Sold (part) | 09/29/23 | K | C | |
| 144. -I Shares MSCI Emerging Mkts Ex China ETF | A | Dividend | K | T | Sold (part) | 12/27/23 | L | A | |
| 145. -SPDR Healthcare Sector ETF | B | Dividend | M | T | Buy (add'l) | 06/27/23 | K | | |
| 146. -Ishares Core S & P Mid ETF | B | Dividend | M | T | | | | | |
| 147. -Select SPDR Commun Services ETF | B | Dividend | M | T | | | | | |
| 148. -Vangaurd Value | D | Dividend | N | T | | | | | |
| 149. -Fidelity Tax Exempt Portfolio Class I | B | Dividend | | | Sold | 01/18/23 | K | | |
| 150. -Ishares Core S&P Small Cap | B | Dividend | M | T | | | | | |
| 151. -SPDR Tech Select Sector | C | Dividend | N | T | Buy (add'l) | 02/10/23 | K | | |
| 152. | | | | | Sold (part) | 06/27/23 | K | B | |
| 153. | | | | | Sold (part) | 09/29/23 | K | B | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 154. -Select Sector SPDRTR Financial | A | Dividend | K | T | Sold (part) | 02/10/23 | K | A | |
| 155. -Vanguard Consumer Discretionary | A | Dividend | L | T | | | | | |
| 156. -Vanguard Consumer Staples ETF | A | Dividend | K | T | Buy | 06/27/23 | K | | |
| 157. -Vanguard FTSE Developed Markets ETF | B | Dividend | L | T | Buy (add'l) | 06/26/23 | J | | |
| 158. -Federated Hermes Prime Cash Obligations | E | Interest | | | Buy | 01/19/23 | M | | |
| 159. | | | | | Sold | 01/23/23 | M | A | |
| 160. WELLS FARGO ACCOUNT #3 REVOCABLE TRUST (H) | | | | | | | | | |
| 161. -American Mun PWR OH Rev RFDG BDS Fremont b/E Energy CTR Proj2021 ACPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 162. -Central FL EXPWY Autlien Rev BDS 2021 | C | Interest | | | Sold | 10/27/23 | L | A | |
| 163. -Chichster SCH Dist Go BDS 2022 G/O UNLTDB/E AGMCPN | B | Interest | | | Sold | 10/27/23 | K | A | |
| 164. -Chicago IL OHARE INARPT Rev SR Lien Rev BDSF B/E BAM OIDCPN | C | Interest | | | Sold | 10/31/23 | K | | |
| 165. -Connecticut ST SER Fsoical BDS G/O UNLTDCPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 166. -Cobb Cnty GA KENNESTHSP Auth Rev Rev ANTICCTF Wellstar Health Sys CPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 167. -Connecticut ST SPL TOBLIG Rev Transsn Infrapurpcpn | C | Interest | L | T | | | | | |
| 168. -Duneland In SCH BldgCorp Advalorem PPTYTax 1st Mtg BDS2022 Rev B/ECPN | C | Interest | | | Sold | 07/24/23 | L | A | |
| 169. -Florida ST Mun PWR AREV RFDG All REQ PWRSUPPLY REF SER A B/ECNP | D | Interest | | | Sold | 10/27/23 | M | A | |
| 170. -Franklin Cnty OH RevRFDG BDS Trinity HLTH CRGRP OH 2019 A B/ECPN | C | Interest | | | Sold | 10/27/23 | L | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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|---|---|---|---|---|---|---|---|---|---|
| 171. -Hudson YDS INFRA COR2ND INDENTURE REV RFDGSER A B/ECPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 172. -Illinois Fin Auth RERFDG UNIV OF CHICAGOSER A B/ECPN | C | Interest | L | T | | | | | |
| 173. -Jefferson Parish LAWTRWKS DIST 002 WTR REVRFDG BDS 2022 B/E BAMCPN | C | Interest | | | Sold | 10/27/23 | K | A | |
| 174. -Lehigh Cnty PA Gen PAUTH HSP Rev RFDG LEHIGHVL HLTH NTWRK B/ ECPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 175. -Lower CO Riv Auth TXTransmission ContractRev RFDG BDS LCRACPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 176. -Los Angeles CA Wastewater Sys Rev SUBBDS 2022 C B/ECPN | C | Interest | | | Sold | 04/19/23 | L | A | |
| 177. -New York ST Dorm Aut Pers Income Tax Rev GenPurp BDS 2020 A B/ECPN | C | Interest | | | Sold | 10/27/23 | K | A | |
| 178. -New York ST Dorm Autsales Tax Rev ST Supported SER A B/ECPN | D | Interest | | | Sold | 10/27/23 | M | A | |
| 179. -North TX TWY Auth RESYS 1st Tier RFDG BDS 2020A B/ECPN | C | Interest | | | Sold | 10/27/23 | K | A | |
| 180. -Pennsylvania ST TPKCommn Rev MTRLicense FD Enhanced SUBCPN | C | Interest | | | Sold | 10/27/23 | L | A | |
| 181. -Washington Cnty OR S48J Beaverton Conv Defer Int SER D CAP APR OIDCPN | D | Interest | | | Sold | 10/27/23 | M | A | |
| 182. -Allspring MM Tax Free | A | Interest | J | T | Buy | 12/28/23 | J | | |
| 183. -Austin TX Elec UtilRev RFDG IMPT BDS | D | Interest | | | Buy | 04/20/23 | L | | |
| 184. | | | | | Sold | 10/27/23 | L | A | |
| 185. -Brookhaven GA Dev AUREV BDS Childrenshealthcare of Atlanta | D | Interest | L | T | Buy | 11/07/23 | L | | |
| 186. -California ST PUB WKBRD LSE REV BDS | C | Interest | L | T | Buy | 11/02/23 | L | | |

| | | | | | |
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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|---|---|---|---|---|---|---|---|---|---|
| 187. -De Kalb Cnty GA WTRSWR REV BDS | C | Interest | L | T | Buy | 11/13/23 | L | | |
| 188. -Denver CO City & CntARPT REV | C | Interest | L | T | Buy | 11/14/23 | L | | |
| 189. -District Columbia InTax REV SECD BDS | C | Interest | L | T | Buy | 11/15/23 | L | | |
| 190. -Hawaii St 2018 FTG/O UNLTD | C | Interest | L | T | Buy | 11/03/23 | L | | |
| 191. -Los Angeles CA Wastewater SYS REV | C | Interest | L | T | Buy | 11/13/23 | L | | |
| 192. -Louisiana LOC Govtenvmntl FACS & CMNTY DEV | C | Interest | L | T | Buy | 11/09/23 | L | | |
| 193. -Lower CO Riv Auth TxTransmission | C | Interest | L | T | Buy | 11/03/23 | L | | |
| 194. -Massachusetts St CLEWTR TR RVLVNG FD RFDGBDS | C | Interest | L | T | Buy | 11/09/23 | L | | |
| 195. -Mesa AZ GO BDS 2023 | C | Interest | L | T | Buy | 11/07/23 | L | | |
| 196. -New York NY CityTransitional FIN AUTH | C | Interest | L | T | Buy | 11/03/23 | L | | |
| 197. -North TX TWY Auth RESYS | D | Interest | M | T | Buy | 11/09/23 | M | | |
| 198. -Pennsylvania St GO B1st SER 2022 | D | Interest | L | T | Buy | 10/31/23 | L | | |
| 199. -Washington ST MTR VEFuel Tax Related | C | Interest | | | Buy | 07/27/23 | L | | |
| 200. | | | | | Sold | 10/27/23 | L | A | |
| 201. -Western Carolina UNIREV GEN BDS | C | Interest | L | T | Buy | 11/14/23 | L | | |
| 202. TRUST #6 (H) | | | | | | | | | |
| 203. -Growth Fund America | A | Dividend | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 09/03/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 204. -Fundamental Invs. Inc. Class A | A | Dividend | L | T | | | | | |
| 205. -AMCAP Fund Inc. Class A | A | Dividend | M | T | | | | | |
| 206. -Wells Fargo Money Market Account | A | Dividend | J | T | | | | | |
| 207. -Federated Hermes Prime Cash Obligations | C | Interest | L | T | Buy (add'l) | 05/16/23 | J | | |
| 208. | | | | | Buy (add'l) | 08/18/23 | J | | |
| 209. | | | | | Buy (add'l) | 12/28/23 | K | | |
| 210. -Wells Fargo Deposit Account | A | Interest | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 09/03/2025 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV. Reimbursements Item listed in this report for Travel in 2022 was not reimbursed until 11/24/2023.

| Name of Person Reporting | Date of Report |
|---|---|
| **Hardiman, Thomas M.** | 09/03/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Thomas M. Hardiman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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