AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Harjani, Sunil R.	United States District Court - Northern District of Illinois	02/07/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United StatesMagistrate Judge (FT)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

United States District Court
Dirksen Federal Building
219 South Dearborn Street
Chicago, IL 60604

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Officer	Federal Bar Association, Chicago Chapter
2.	Associate Editor	American Bar Association, Section of Litigation
3.	Adjunct Professor	Northwestern University School of Law
4.	Board Member	American Judges Association of Illinois
5.	Committee Chair	Federal Magistrate Judges Association
6.	Law Board Member	Northwestern University School of Law
7.	Board Member	National Asian Pacific American Bar Association Judicial Council
8.	Member	Council of Judges - Northwestern University School of Law

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		

3.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Northwestern University School of Law (teaching income)	$10,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Northwestern University (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase	Mortgage (Rental Property #1)	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Harjani, Sunil R.	02/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	JPMORGAN CHASE BANK (cash)	A	Interest	O	T					
3.	RENTAL PROPERTY #1, GLENVIEW, IL	E	Rent	M	W					
4.	Account #1 (H)									
5.	FIDELITY GOVERNMENT MONEY MKT (SPAXX) (cash eq.)	A	Dividend	J	T	Sold (part)	05/01/23	J		
6.						Sold (part)	09/01/23	J		
7.						Sold (part)	12/01/23	J		
8.						Buy (add'l)	12/27/23	J		
9.	FIDELITY 500 INDEX PREM FUND (FXAIX)	D	Dividend	N	T					
10.	FIDELITY U.S. BOND INDEX PREM FUND (FXNAX)	B	Dividend	L	T					
11.	GLOBAL X FDS AUTONMOUS EV ETF (DRIV)	A	Dividend	J	T					
12.	GLOBAL X LITHIUM AND BATTERY TECH ETF (LIT)	A	Dividend	J	T					
13.	ISHARES TR SELF DRIVING EV (IDRV)	A	Dividend	J	T					
14.	VANGUARD WHITEHALL FDS HIGH DIVIDEND YIELD ETF SHS (VYM)	B	Dividend	L	T					
15.	VANGUARD INTL EQUITY INDEX FUND INC FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T					
16.	VANGUARD INDEX FDS S&P 500 (VOO)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
17.	VANGUARD INDEX FDS VANGUARD SMALL CAP (VB)	A	Dividend	K	T					
18.	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF (VTI)	C	Dividend	M	T					
19.	VANGUARD BD INDEX FD INC LONG TERM BD ETF (BLV)	A	Dividend	J	T					
20.	VANGUARD BD INDEX FUND INC TOTAL BOND MARKET ETF (BND)	A	Dividend	J	T					
21.	VANGUARD SCOTTSDALE FUNDS LONG-TERM CORP BOND IDX FUND (VCLT)	A	Dividend	K	T					
22.	APPLE INC (AAPL)	A	Dividend	K	T					
23.	PELOTON INTERACTIVE INC CL A (PTON)		None	J	T					
24.	Account #2 (H)									
25.	FIDELITY GOVERNMENT MONEY MKT (SPAXX) (cash eq.)	A	Dividend			Sold	04/11/23	J		
26.	VANGUARD WINDSOR INVESTOR (VWNDX)	A	Dividend	J	T	Buy	04/11/23	J		
27.	VANGUARD INDEX FUNDS S&P 500 ETF (VOO)	A	Dividend	J	T					
28.	Account #3 (H)									
29.	FIDELITY GOVERNMENT MONEY MKT (SPAXX) (cash eq.)	A	Dividend			Sold	04/11/23	J		
30.	FIDELITY BALANCED (FBALX)	A	Dividend	J	T	Buy	04/11/23	J		
31.	VANGUARD IDX FUND TOTAL STOCK (VTI)	A	Dividend	J	T					
32.	Account #4 (H)									

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33. VANG PRIMECAP ADM (VPMAX)		None	N	T					
34. JH DISCPL VALUE R6 (JDVWX)		None	M	T					
35. METWEST TOT RTN BD P (MWTSX)		None	L	T					
36. VANG TOT INTL STK IP (VTPSX)		None	K	T					
37. VANG EXT MKT IDX ISP (VEMPX)		None	K	T					
38. VANG INST INDEX PLUS (VIIIX)		None	L	T					
39. VANG TOT BD MKY INST (VBTIX)		None	K	T					
40. BLKRK LP IDX 2040 K (LIKKX)		None	N	T					
41. BLKRK LP IDX 2050 K (LIPKX)		None	K	T					
42. BLKRK LP IDX 2045 K (LIHKX)		None	K	T					
43. Account #5 (H)									
44. VANG FTSE SOC IDX IS (VFTSX)		None	J	T					
45. VANG PRIMECAP ADM (VPMAX)		None	M	T					
46. JH DISCPL VALUE R6 (JDVWX)		None	L	T					
47. METWEST TOT RTN BD P (MWTSX)		None	K	T					
48. VANG TOT INTL STK IP (VTPSX)		None	J	T					
49. VANG EXT MKT IDX ISP (VEMPX)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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50. VANG INST INDEX PLUS (VIIIX)		None	K	T					
51. VANG TOT BD MKY INST (VBTIX)		None	J	T					
52. BLKRK LP IDX 2040 K (LIKKX)		None	L	T					
53. BLKRK LP IDX 2050 K (LIPKX)		None	J	T					
54. BLKRK LP IDX 2045 K (LIHKX)		None	J	T					
55. Account #6 (H)									
56. FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (CASH EQUIV.)	A	Dividend	K	T	Buy (add'l)	12/14/23	J		
57.					Buy (add'l)	12/22/23	J		
58. FIDELITY GOVERNMENT CASH RESERVES (FDRXX) (CASH EQUIV.)	C	Dividend	M	T					
59. FIDELITY CHINA REGION (FHKCX)	A	Dividend	K	T					
60. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	A	Dividend	L	T					
61. FIDELITY 500 INDEX PREM FUND (FXAIX)	B	Dividend	M	T					
62. FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)	A	Dividend	L	T					
63. FIDELITY DISCIPLINED EQUITY (FDEQX)	B	Dividend	K	T					
64. FIDELITY BLUE CHIP GROWTH (FBGRX)	B	Dividend	M	T					
65. FIDELITY SELECT MED TECHNOLOGY & DEVICES (FSMEX)		None	K	T					
66. NORTHERN INCOME EQUITY (NOIEX)	B	Dividend	K	T					

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
67. NORTHERN SMALL CAP (NOSGX)	B	Dividend	K	T					
68. NORTHERN STOCK INDEX (NOSIX)	B	Dividend	K	T					
69. T ROWE PR BLUE CHIP GRWTH FD (TRBCX)	B	Dividend	K	T					
70. T ROWE PR EQ INC (PRFDX)	B	Dividend	K	T					
71. VANGUARD REAL ESTATE INDEX ADMIRAL (VGSLX)	A	Dividend	J	T					
72. VANGUARD GRWTH & INC PORT (VQNPX)	B	Dividend	K	T					
73. VANGUARD WINDSOR II FD (VWNFX)	B	Dividend	K	T					
74. VANGUARD TOT STK MRKT INDEX ADMIRAL (VTSAX)	A	Dividend	K	T					
75. FIDELITY CAPITAL & INCOME (FAGIX)	D	Dividend	M	T					
76. FIDELITY TAX-FREE BOND (FTABX)	C	Dividend	L	T					
77. FIDELITY US BOND INDEX FUND (FXNAX)	B	Dividend	L	T					
78. VANGUARD INDEX FUNDS S&P 500 ETF USD (VOO)	B	Dividend	L	T					
79. VANGUARD TOT STK MKT ETF (VTI)	B	Dividend	M	T					
80. APPLE INC COM (AAPL)	A	Dividend	M	T					
81. DEERE & CO (DE)	B	Dividend	M	T					
82. Account #7 (H)									
83. VANGUARD 500 INDEX 529 PORT		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3. Value Method Codes (See Column C2)
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84. T ROWE PRICE LARGE CAP GROWTH 529 PORT		None	K	T					
85. VANGUARD EXPLORER 529 PORT		None	K	T					
86. VANGUARD TOTAL STOCK MARKET 529 PORT		None	K	T					
87. Account #8 (H)									
88. VANGUARD TOTAL BOND MARKET 529 PORT		None	K	T					
89. VANGUARD 500 INDEX 529 PORT		None	K	T					
90. T ROWE PRICE LARGE CAP GROWTH 529 PORT		None	K	T					
91. VANGUARD EXPLORER 529 PORT		None	K	T					
92. VANGUARD TOTAL STOCK MARKET 529 PORT		None	K	T					
93. Account #9 (H)									
94. BRIGHT START EQUITY PORTFOLIO		None	J	T					
95. BRIGHT START BALANCED PORTFOLIO		None	K	T					
96. BRIGHT START FIXED INCOME PORTFOLIO		None	K	T					
97. BRIGHT START INDEX EQUITY PORTFOLIO		None	K	T					
98. BRIGHT START INDEX BALANCED PORTFOLIO		None	K	T					
99. BRIGHT START INDEX FIXED INCOME PORTFOLIO		None	K	T					
100. VANGUARD TOTAL BOND MARKET 529 PORT		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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101. VANGUARD 500 INDEX 529 PORTFOLIO		None	K	T					
102. T ROWE PRICE LARGE-CAP GROWTH 529 PORTFOLIO		None	K	T					
103. VANGUARD EXPLORER 529 PORTFOLIO		None	K	T					
104. VANGUARD TOTAL STOCK MARKET 529 PORTFOLIO		None	K	T					
105. Account #10 (H)									
106. BRIGHT START EQUITY PORTFOLIO		None	K	T					
107. BRIGHT START BALANCED PORTFOLIO		None	K	T					
108. BRIGHT START FIXED INCOME PORTFOLIO		None	J	T					
109. BRIGHT START INDEX EQUITY PORTFOLIO		None	K	T					
110. VANGUARD TOTAL BOND MARKET 529 PORT		None	J	T					
111. VANGUARD 500 INDEX 529 PORTFOLIO		None	J	T					
112. T ROWE PRICE LARGE-CAP GROWTH 529 PORTFOLIO		None	K	T					
113. VANGUARD EXPLORER 529 PORTFOLIO		None	K	T					
114. VANGUARD TOTAL STOCK MARKET 529 PORTFOLIO		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Harjani, Sunil R.	02/07/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Harjani, Sunil R.	02/07/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sunil R. Harjani

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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