AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Harwell, Robert B.	U.S. District Court of S.C.	05/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
401 West Evans Street McMillan Federal Bldg Florence, SC 29501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Harwell Farms and Investment, Inc.
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/23	South Carolina Judges - Solicitors Retirement System Survivors Annuity (named beneficiary of monthly survivor annuity from deceased	$21,046.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Harwell, Robert B.	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Wells Fargo &Co Common Stock(and former Wachovia Bank Stock)	A	Dividend	J	T					
2.	Autoliv Inc. Common Stock	A	Dividend	J	T					
3.	Safe Federal Credit Union "Account"	D	Interest	M	T					
4.	The Citizens Bank	B	Interest	M	T					
5.	Anderson Brothers Bank "Account"	A	Interest	M	T					
6.	Truist Fin corp "Accounts" formerlyBB&T Bank "Accounts"	A	Interest	L	T					
7.	Harwell Farms & Investment 40% 12/31/04 compiled $31M		None	N	V	Buy (add'l)	07/21/23	N		
8.	PCDG, LLC (10%) 1998 & 2000 $32,000		None	K	R					
9.	SC Future Scholar Plan #2, Age-Baseed Moderate Track		None	K	T					
10.	Claussen Farms, Florence, SC (1999 - $125,000)		None	M	R					
11.	Wells Fargo Beneficiary IRA- First Clearing LLC (H)									
12.	-Cash account(Wells Fargo cash and sweep balance)	A	None	K	T					
13.	-Bank of America stock	A	Dividend	K	T					
14.	-Truist Fin Corp stock formerly BB&T stock	C	Dividend	L	T					
15.	-First Tr Exchange Traded FDVI Nasdaq Semiconductor	A	Dividend	J	T	Buy	06/16/23	J		
16.	-Duetsche Inv. Trust Core Equity Fund aka DWS Core Equity Fund S SCDGX	A	Dividend			Sold	06/16/23	K	B	
17.	Edward Jones Beneficiary IRA (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Harwell, Robert B.	05/08/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Cash		None	J	T					
19. -American Amcap Fund - Class A	A	Dividend	K	T	Redeemed (part)	11/26/23	J		
20. -American Capital Income Builder Class A	D	Dividend	L	T	Redeemed (part)	11/26/23	J		
21. -American Europacific Fund- Class A	D	Dividend	K	T	Redeemed (part)	11/26/23	J		
22. -American Income Fund of America - Class A	D	Dividend	L	T	Redeemed (part)	11/26/23	J		
23. -American New World Fund - Class A	D	Dividend	J	T	Redeemed (part)	11/26/23	J		
24. -American Small Cap World Fund - Class A	D	Dividend	K	T	Redeemed (part)	11/26/23	J		
25. -American New Economy Fund - Class A	D	Dividend	K	T	Redeemed (part)	11/26/23	J		
26. Wells Fargo Securities Account(H)									
27. -Wells Fargo Cash Account	A	Interest	L	T					
28. -JP Morgan Treasury Securities MM FD Institl JTSXX	A	Interest	M	T					
29. DWS Core Equity Fund A	A	Dividend			Sold	06/15/23	M		
30. Allspring 100% treasury securities mmfd institl JTSXX	D	Dividend	M	T					
31. Allspring 100% treasury money market fnd Class inst.	B	Dividend	M	T	Buy	06/16/23	M		
32. -Goldman Sach Finl Square Treas Obligs Class 1 FTOXX	B	Dividend			Sold	07/11/23	M	A	
33. -Truist Finl Corp stock formally BB&T Corp Common Stock	E	Dividend	N	T					
34. -Deutsche Invt TR Core Equity FD ClassS aka DWS Core Eqty Fund S SCDGX	A	Int./Div.			Sold	06/15/23	M	A	

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35. -High 50 Dividend Strategy Port Ser 2020-3Q CA	A	Int./Div.	J	T					
36. PershingLLC(Cetera Advisors)IRA#1(H)									
37. -Amgen Inc common stock	A	Dividend	J	T					
38. -Apple Inc common stock	A	Dividend	K	T					
39. -Apple inc common stock	A	Dividend	K	T					
40. microsoft corp com	A	Dividend	K	T	Buy	06/22/23	J		
41. -Citigroup Inc common stock	A	Dividend	J	T	Sold	06/20/23	J		
42. -Duke Energy Corp common stock	A	Dividend	J	T					
43. -General Mills Inc.commonstock	A	Dividend	J	T					
44. -Johnson&Johnson common stock	A	Dividend	J	T					
45. -Nvidia Corp comm	A	Dividend	M	T					
46. -Verizon Comm common stock		None			Sold	06/22/23	J		
47. -Pimco Income Fund Class A	D	Dividend	M	T					
48. -Cash Account(Pershing LLC-Flexinsured FDIC acct)	A	Interest	J	T					
49. Pershing LLC(Cetera Advisors) IRA#2(H)									
50. -Cash Acct(PershingLLC-Flexinsured FDIC acct)	A	Interest	K	T					
51. -US T-Bills 912796XTI	B	Interest	L	T					

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52. -Apple Inc common stock	A	Dividend	M	T					
53. -Boeing Co. common stock		None			Sold	06/22/23	J		
54. -Bristol Myers Squibb common stock		None			Sold	7/15/19	J	A	
55. -Cisco Systems Inc common stock	A	Dividend	K	T					
56. -Coca Cola Co. common stock	A	Dividend	K	T					
57. -Duke Energy common stock	A	Dividend	K	T					
58. -General Mills Inc. common stock	A	Dividend	K	T					
59. -Verizon Comm.common stock					Sold	06/22/23	J	A	
60. -Pimco Income Fund Class A	D	Dividend	M	T					
61. Pershing LLC(Cetera Advisors) Individual Account#1 (H)									
62. -Cash Account (PershingLLC-Flexinsured FDICacct)	A	Interest	J	T					
63. US treasury bills	C	Interest	M	T					
64. -Apple Inc.Com	A	Dividend	L	T					
65. -Toronto Dominion BK coomon stock	A	Dividend	K	T					
66. -DFA Tax Managed US Marketwide Value Portfolio II	B	Int./Div.	L	T					
67. PershingLLC(Cetera Advisors)Individual Account#2 (H)									
68. -Goldman Sachs BK USA New York CTF	A	Interest	L	T	Sold	12/18/23	L	A	

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2. Value Codes (See Columns C1 and D3)
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69. -Cash Acct(Flexinsured Acct)	A	Interest	M	T					see note #1
70. -Capital 1 CD 4.9%	A	Interest	J	T	Buy	05/18/23	J		
71. -Capital 1 CD 4.8%	A	Interest	K	T	Buy	05/18/23	K		
72. -US Treasury I Bonds			K	T		05/18/23	K		see note #1
73. US Treasury I Bonds	D	Interest			Sold	06/01/23	K		see note #1
74. -Corteva 401(k)	B	Interest	M	T		05/18/23	M		see note #1
75. -Goldman Sach Finl Square Treas. Fd Institl			M	T	Buy	06/16/23	M		
76. -Goldman Sach Finl Square Treas. Fd Institl	A	Dividend			Sold	07/11/23	M	A	
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1. [redacted] inheritance from [redacted] estate

Name of Person Reporting	Date of Report
Harwell, Robert B.	05/08/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert B. Harwell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544