AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT
## FINAL FILING

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Harwell, Robert B. | U.S. District Court of S.C. | 10/30/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Article III Judge, Active | ☐ Nomination Date<br>☐ Initial ☐ Annual ☑ Final<br>**5b.** ☐ Amended Report | 01/01/2024<br>**to**<br>09/30/2024 |
| **7. Chambers or Office Address** | | |
| 401 West Evans Street<br>McMillan Federal Bldg<br>Florence, SC 29501 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director/Officer | Harwell Farms and Investment, Inc. |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Harwell, Robert B.** | 10/30/2024 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 09/30/24 | South Carolina Judges - Solicitors Retirement System Survivors Annuity (named beneficiary of monthly survivor annuity from deceased [redacted] | $16,578.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Harwell, Robert B.** | 10/30/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Wells Fargo &Co Common Stock(and former Wachovia Bank Stock) | A | Dividend | J | T | | | | | |
| 2. | Safe Federal Credit Union "Account" | D | Interest | M | T | Matured | 07/11/24 | M | | |
| 3. | The Citizens Bank | B | Interest | M | T | Matured | 08/14/24 | M | | |
| 4. | Anderson Brothers Bank "Account" | A | Interest | M | T | | | | | |
| 5. | Truist Fin corp "Accounts" formerlyBB&T Bank "Accounts" | A | Interest | L | T | | | | | |
| 6. | Harwell Farms & Investment 40% 12/31/04 compiled $31M | | None | N | V | | | | | |
| 7. | PCDG, LLC (10%) 1998 & 2000 $32,000 | | None | K | R | | | | | |
| 8. | SC Future Scholar Plan #2, Age-Baseed Moderate Track | | None | K | T | | | | | |
| 9. | Claussen Farms, Florence, SC (1999 - $125,000) | | None | M | R | | | | | |
| 10. | Wells Fargo Beneficiary IRA- First Clearing LLC (H) | | | | | | | | | |
| 11. | -Cash account(Wells Fargo cash and sweep balance) | A | None | K | T | | | | | |
| 12. | -Bank of America stock | A | Dividend | K | T | | | | | |
| 13. | -Truist Fin Corp stock formerly BB&T stock | C | Dividend | L | T | | | | | |
| 14. | -First Tr Exchange Traded FDVI Nasdaq Semiconductor | A | Dividend | J | T | | | | | |
| 15. | Edward Jones Beneficiary IRA (H) | | | | | | | | | |
| 16. | -Cash | | None | J | T | | | | | |
| 17. | -American Amcap Fund - Class A | A | Dividend | K | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

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| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. -American Capital Income Builder Class A | D | Dividend | L | T | | | | | |
| 19. -American Europacific Fund- Class A | D | Dividend | K | T | | | | | |
| 20. -American Income Fund of America - Class A | D | Dividend | L | T | | | | | |
| 21. -American New World Fund - Class A | D | Dividend | J | T | | | | | |
| 22. -American Small Cap World Fund - Class A | D | Dividend | K | T | | | | | |
| 23. -American New Economy Fund - Class A | D | Dividend | K | T | | | | | |
| 24. Wells Fargo Securities Account(H) | | | | | | | | | |
| 25. -Wells Fargo Cash Account | A | Interest | L | T | | | | | |
| 26. -JP Morgan Treasury Securities MM FD Institl JTSXX | A | Interest | M | T | | | | | |
| 27. Allspring 100% treasury money market fnd Class inst. | B | Dividend | M | T | | | | | |
| 28. -Goldman Sach Finl Square Treas Obligs Class 1 FTOXX | B | Dividend | | | | | | | |
| 29. -Truist Finl Corp stock formally BB&T Corp Common Stock | E | Dividend | N | T | | | | | |
| 30. -High 50 Dividend Strategy Port Ser 2020-3Q CA | A | Int./Div. | J | T | | | | | |
| 31. PershingLLC(Cetera Advisors)IRA#1(H) | | | | | | | | | |
| 32. -Amgen Inc common stock | A | Dividend | K | T | | | | | |
| 33. -Apple Inc common stock | A | Dividend | K | T | | | | | |
| 34. -Apple inc common stock | A | Dividend | L | T | | | | | |

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. -Microsoft corp com | A | Dividend | K | T | | | | | |
| 36. -Duke Energy Corp common stock | A | Dividend | J | T | | | | | |
| 37. -General Mills Inc.commonstock | A | Dividend | J | T | | | | | |
| 38. -Johnson&Johnson common stock | A | Dividend | J | T | | | | | |
| 39. -Nvidia Corp common stock | A | Dividend | M | T | | | | | |
| 40. -Pimco Income Fund Class A | D | Dividend | M | T | | | | | |
| 41. -Cash Account(Pershing LLC-Flexinsured FDIC acct) | A | Interest | J | T | | | | | |
| 42. Pershing LLC(Cetera Advisors) IRA#2(H) | | | | | | | | | |
| 43. -Cash Acct(PershingLLC-Flexinsured FDIC acct) | A | Interest | J | T | | | | | |
| 44. -US T-Bills 912796XTI | | | | | Matured | 06/06/24 | L | B | |
| 45. US T Bills 912797lfz | B | Interest | M | T | Buy | 06/06/24 | M | | |
| 46. -Apple Inc common stock | A | Dividend | M | T | | | | | |
| 47. -Cisco Systems Inc common stock | A | Dividend | K | T | | | | | |
| 48. -Coca Cola Co. common stock | A | Dividend | K | T | | | | | |
| 49. -Duke Energy common stock | A | Dividend | K | T | | | | | |
| 50. -General Mills Inc. common stock | A | Dividend | K | T | | | | | |
| 51. -Microsoft common stock | A | Dividend | K | T | Buy | | J | | see note #1 |

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|---|---|---|---|---|---|---|---|---|---|
| 52. -Pimco Income Fund Class A | D | Dividend | M | T | | | | | |
| 53. Pershing LLC(Cetera Advisors) Individual Account#1 (H) | | | | | | | | | |
| 54. -Cash Account (PershingLLC-Flexinsured FDICacct) | A | Interest | J | T | | | | | |
| 55. US treasury bills | C | Interest | M | T | | | | | |
| 56. -Apple Inc.Com | A | Dividend | L | T | | | | | |
| 57. -Toronto Dominion BK common stock | A | Dividend | K | T | | | | | |
| 58. -DFA Tax Managed US Marketwide Value Portfolio II | B | Int./Div. | L | T | | | | | |
| 59. -Arcellx stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 60. -Amazon common stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 61. -Arista Networks stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 62. -Beam Theraputics stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 63. -Espirion Theraputics stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 64. Alphabet inc cap stk Cl c | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 65. -Mind medicine stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 66. -Microsoft corp stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 67. -Taiwan Semiconductor Mfg stock | A | Dividend | J | T | Buy | 06/12/24 | J | | |
| 68. -Verve theraputics | A | Dividend | J | T | Buy | 06/12/24 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. PershingLLC(Cetera Advisors)Individual Account#2 (H) | | | | | | | | | |
| 70. -Cash Acct(Flexinsured Acct) | A | Interest | J | T | | | | | |
| 71. -Capital 1 CD 4.9% | A | Interest | K | T | | | | | |
| 72. -Capital 1 CD 4.8% | A | Interest | K | T | | | | | |
| 73. -US Treasury I Bonds 91279lf2 | | | K | T | | | | | |
| 74. -Corteva 401(k) | | | | | Closed | 06/21/24 | M | A | see note #3 |
| 75. -Autoliv inc. stock | A | Dividend | J | T | | | | | see note #2 |
| 76. -Amazon stock | A | Dividend | K | T | Buy | 06/12/24 | K | | |
| 77. -microsoft stock | A | Dividend | K | T | Buy | 06/12/24 | K | | |
| 78. -Nvidia | A | Dividend | K | T | Buy | 06/12/24 | K | | |
| 79. Pershing LLC (CeteraAdvisors) Ben. IRA #3 | | | | | | | | | see note #3 |
| 80. -Cash acct | A | Interest | J | T | | 06/21/24 | J | | |
| 81. -US treasury bills 912797lq8 | A | Interest | L | T | Buy | 06/21/24 | K | | |
| 82. -US treasury bills 912796zv4 | A | Interest | L | T | Buy | 07/03/24 | L | | |
| 83. -Abbievie common stock | A | Dividend | K | T | Buy | 06/26/24 | K | | |
| 84. -Advanced Micro Devices stock | | Dividend | K | T | Buy | 06/21/24 | K | | |
| 85. PershingLLC (Cetera Advisors) Ben. IRA #4 | | | | | | | | | see note #4 |

| | | | | | |
|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 86. -Morgan Stanley CD 5.25% | A | Interest | J | T | Buy | | J | | see note #4 |
| 87. -Wells fargo CD 4.5% | A | Interest | J | T | Buy | | J | | see note #4 |
| 88. -Cash acct | A | Interest | J | T | Buy | | J | | see note #4 |
| 89. -Nvidia | A | Dividend | K | T | Buy | | J | | see note #4 |
| 90. | | | | | | | | | |
| 91. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Harwell, Robert B.** | 10/30/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1. Erroneously omitted from previous report , but this stock name was also shown and already listed in another separate account (see line 35). It was also purchased on 6/22/23 but i could not input a 2023 date on this filing. That is also the same date as the microsoft stock purchase in the other separate account.

Note #2 . Autolive stock was moved to Pershing LLC Individual acct#2

Note #3. Closed Corteva and moved proceeds to make purchases shown to Pershing LLC IRA #3 on line 79.

Note #4. Erroneously omitted from previous report. [redacted] inherited from [redacted] estate. CDs shown under this heading were purchased 11/2/23 but I could not input 2023 in this filing program. The Nvidia stock was purchased 12/01/23 and i could not input 2023. Althought this Nvidia stock purchase was erroneously omitted, the same stock name was also shown and listed in another accounts( see line 38 and 79).

I acknowledge that " should there be any changes from when you file the report and the separation/inactive status date or if a letter of inquiry gets sent out from the committee, I will update the report". ( I took senior status in June of 2024, and I was last certified for staff and chambers through Sept. 30, 2024, and became inactive senior judge at that time. I intend to remain in inactive status which I understand to be working less than 60 days in a calendar year. It is my understanding also that as long as I do not work more than 60 days in a calendar year, that I do not have to file another annual Final Disclosure report).

| Name of Person Reporting | Date of Report |
|---|---|
| **Harwell, Robert B.** | 10/30/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Robert B. Harwell**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544