AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Haynes, Catharina D.	Fifth Circuit	04/27/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

1100 Commerce Street
Rm. 1452
Dallas, TX75242

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Haynes, Catharina D.	04/27/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Duke University School of Law assistant teaching	$4,500.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Thompson & Knight Law Partnership Income; merged with Holland & Knight on August 1, 2021
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Duke University School of Law	Nov. 15-17, 2021	Durham, NC	Assisting in Teaching an Appellate Class	air travel, one night hotel, food, parking, taxi
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Haynes, Catharina D.	04/27/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Haynes, Catharina D.	04/27/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	A	Interest	O	T					
2. Janus Henderson Fund f/k/a Janus Money Fund		None	J	T					
3. TRP Government Money Fund	A	Interest	O	T					
4. TRP New Income Fund	A	Dividend	K	T					
5. TRP International Stock Fund	E	Dividend	N	T					
6. TRP New America Growth Fund	G	Dividend	O	T					
7. TRP Health Science Fund	G	Dividend	P1	T					
8. TRP Tax Efficient Equity Fund	E	Dividend	P1	T					
9. TRP Tax Free Income Fund	E	Dividend	P1	T					
10. TRP Tax Free Short Intermediate Fund	A	Dividend	K	T					
11. IBM	C	Dividend	L	T					
12. Kyndryl Hldgs. Inc.		None	J	T	Spinoff (from line 11)	11/08/21	J		
13. Motorola Solutions Inc. (MSI)	A	Dividend	J	T					
14. Franklin Mutual Shares Class Z Fund	G	Dividend	P1	T					
15. Janus Henderson Research Fund D Shares f/k/a Janus Fund	G	Dividend	P1	T					
16. TRP Capital Appreciation Fund	E	Dividend	M	T					
17. TRP Equity Income Fund	E	Dividend	N	T	Redeemed (part)	06/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Haynes, Catharina D.	04/27/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18. Vanguard REIT Fund	D	Dividend	P1	T					
19. Vanguard 500 Index Fund	E	Dividend	P1	T					
20. Vanguard TM Small Cap	D	Dividend	O	T					
21. US EE Savings Bond	C	Dividend	L	T					
22. TRP Retirement 2020 (IRA)	D	Dividend	L	T					
23. TRP Retirement 2030 (IRA)	F	Dividend	O	T					
24. Thompson Knight Partnership Equity		None			Closed	08/01/21	M		
25. Holland Knight Partnership Equity	A	Interest	N	U	Spinoff (from line 24)	08/01/21	M		
26.					Buy (add'l)	08/01/21	M		
27. TX Emp. Ret. Plan (H)									
28. -Vanguard Institutional Fund,	B	Dividend	K	T					
29. -Vanguard Growth Fund	B	Dividend	N	T					
30. -Black Rock Bond Fund		None	L	T					
31. State of Tx. Judicial Retirement Equity	B	Interest	M	T					
32. Texas County & District Retirement System	B	Interest	K	T					
33. TRP Small Cap. Value	C	Dividend	L	T					
34. Thompson Knight 401K (H)(see note at VIII)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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35. -Vanguard Wellington Fund	E	Dividend	M	T	Closed	12/31/21	M		
36. -Vanguard Institutional Fund	G	Dividend	P1	T	Closed	12/31/21	P1		
37. -Stable Value Fund		None	O	T	Closed	12/31/21	O		
38. -Vanguard Target Retirement 2025	D	Dividend	M	T	Closed	12/31/21	M		
39. Holland Knight 401K (H)									
40. --WF Stable Fund C		None	O	T	Spinoff (from line 34)	12/31/21	O		
41. --FIDFDMIDX2025IPR Fund		None	P1	T	Spinoff (from line 34)	12/31/21	P1		
42. TRP Financial Services (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/21	J		
43. TRP Global Stock (IRA)	D	Dividend	L	T	Redeemed (part)	06/01/21	J		
44. TRP Media Telecommunications (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/21	J		
45. TRP New Era (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/21	J		
46. TRP Spectrum Growth (IRA)	C	Dividend	L	T	Redeemed (part)	06/01/21	J		
47. TRP Spectrum Income (IRA)	B	Dividend	K	T	Redeemed (part)	06/01/21	J		
48. US i Savings Bond	A	Interest	J	T					
49. Chase Bank Account checking (see note at Section VIII)		None	N	T					
50. PCRAX (IRA)	A	Dividend	K	T					
51. BB&T Account (now Truist Bank)	A	Interest	M	T					

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52. Capital One Bank Account	D	Interest	P1	T					
53. TRP US Treasury Money (IRA)	A	Interest	M	T	Redeemed (part)	06/01/21	J		
54. Vanguard Inf. Protec. Securities Fund	D	Dividend	M	T					
55. PAEMX (IRA)	A	Dividend	K	T					
56. Oil&Gas Reeves Cty TX: Centennial Res. Prod. LLC (see note at VIII)		None			Expired	01/12/21	J		
57. Oil & Gas Oxy (Reeves Cty TX)(see note at VIII)	A	Royalty	J	W					
58. Bank of Am. IM Account: Private Bank Acct Balanced Income asset alloc.	G	Dividend	P1	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. and VII: I recuse from all cases in which Thompson Knight (now merged with Holland & Knight) is either a party or represents a party.

VII.
Lines 2, 12, 24, 30, 37, and 40-41 : No dividend or distribution was declared or paid in 2021.
Lines 24-26 and 34-41: Thompson & Knight merged into the firm of Holland & Knight pursuant to which partnership equity was moved and, later, 401K funds were moved into different accounts. Additionally, makes payroll deduction contribution(s) to his 401k fund from paycheck until the maximum allowable 401K limit is reached.
Line 49: This is a non-interest bearing checking account reported for informational purposes.
Line 56-57: has a , shared mineral interest inherited through in 1/3 acre in Reeves County, Texas, which, until 2018, produced no income. In 2018, it was leased to Centennial Resource Production, LLC of Denver. It produced no income from Centennial Res. Prod. before that expired in January of 2021; thereafter, a new lease was provided to Oxy on March 31, 2021, which yielded the bonus payment reflected as a royalty on line 56.
Line 58: This account invests only in mutual funds, not in individual stocks.

Name of Person Reporting	Date of Report
Haynes, Catharina D.	04/27/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Catharina D. Haynes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544