AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Herrera, Judith C.	U.S. District Court, NM	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior Status District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 333 Lomas Blvd., NW Albuquerque, NM 87102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Bank of America Cash Account	A	Interest	N	T					
2. Bank of America household account		None	K	T					
3. Rental Property #1 Santa Fe, NM Purchased 3/30/94	F	Rent	P1	Q					
4. [redacted] Partnership #1 (now an LLC) Santa Fe, NM		None	P1	W					
5.									
6.									
7.									
8. Charles Schwab [redacted] account (H)			P1	T					
9. ACM Research Inc		None	K	T					
10. A-Mark Pecious Metal		None			Sold	01/14/21	J		
11.									
12. Adobe Inc		None			Sold	01/20/21	J	C	
13.									
14. Advanced Micro Devices		None	L	T	Sold (part)	07/14/21	J		
15. Affirm Holdings Inc		None			Sold	12/14/21	J		
16.					Sold (part)	12/09/21	J		
17. Albemarle		None	J	T	Buy	11/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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18. Alcoa		None	K	T	Buy (add'l)	10/20/21	J		
19.					Buy	07/23/21	J		
20. Alphabet Inc.		None	K	T					
21. Amazon.Com Inc		None	J	T	Sold (part)	02/08/21	K	E	
22. Antero Resources		None	K	T	Buy (add'l)	12/01/21	J		
23.					Buy (add'l)	11/26/21	J		
24.					Buy (add'l)	11/17/21	J		
25.					Buy (add'l)	08/31/21	J		
26.					Buy (add'l)	06/18/21	J		
27.					Buy	06/02/21	J		
28. Apollo Medical Holdings		None			Sold	12/08/21	J		
29.					Sold (part)	12/01/21	J		
30. Appian Corp		None			Sold	04/22/21	J		
31.					Buy (add'l)	02/19/21	J		
32.					Buy	02/16/21	J		
33. Apple Inc	A	Dividend	J	T	Buy (add'l)	04/28/21	J		
34. Applied Materials	A	Dividend	J	T	Buy (add'l)	10/21/21	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Ark Genomic		None			Sold	10/20/21	K		
36.					Sold (part)	06/30/21	J		
37.					Buy	01/14/21	K		
38. Atkore		None	K	T	Buy (add'l)	11/16/21	J		
39.					Buy (add'l)	05/12/21	J		
40.					Buy (add'l)	05/11/21	J		
41.					Buy	03/19/21	J		
42. Atlanticus Holdings		None	J	T	Buy (add'l)	11/10/21	J		
43.					Buy (add'l)	10/06/21	J		
44.					Buy (add'l)	09/21/21	J		
45.					Buy	09/16/21	J		
46. AU Optronics		None			Sold	07/22/21	J		
47.					Buy	05/31/21	J		
48. Autodesk Inc		None			Sold	12/03/21	J		
49. Axcelis Technologies		None	J	T	Buy	12/09/21	J		
50. Bakkt Holdings		None			Sold	12/02/21	J		
51.					Buy (add'l)	10/27/21	J		

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52.					Buy (add'l)	10/26/21	J		
53.					Buy	10/25/21	J		
54. Beam Therapeutics		None			Sold	05/27/21	J		
55.					Buy	02/25/21	J		
56. Big 5 Sporeting Goods		None			Sold	11/03/21	J	A	
57.					Buy	09/13/21	J		
58. Blade Air Mobility		None			Sold	11/26/21	J		
59.					Sold (part)	11/24/21	J		
60.					Buy (add'l)	09/28/21	J		
61.					Buy (add'l)	09/13/21	J		
62.					Buy (add'l)	08/26/21	J		
63.					Buy	07/23/21	J		
64. Block, Inc - previously Square Inc		None	K	T	Buy (add'l)	01/28/21	J		
65. BYD Co Ltd	A	Dividend			Sold	06/18/21	J		
66.					Buy	02/19/21	J		
67. Cadence Design Systems		None			Sold	04/27/21	J	B	
68. Canadian Natural Resources		None			Sold	07/21/21	J		

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69.					Buy	07/07/21	J		
70. Canadian Solar Inc		None			Sold	03/12/21	J		
71.					Buy (add'l)	01/08/21	J		
72. Caredx Inc		None			Sold	07/16/21	J		
73.					Buy (add'l)	01/14/21	J		
74. Coinbase Global Inc		None			Sold	06/17/21	J		
75.					Buy (add'l)	05/13/21	J		
76.					Buy	04/22/21	J		
77. Continental Resource	A	Dividend	J	T	Buy	10/21/21	J		
78. DAQO New Energy Corp		None	L	T	Buy (add'l)	10/27/21	J		
79.					Buy (add'l)	12/03/21	J		
80. Devon Energy Corp	A	Dividend	K	T	Buy (add'l)	12/08/21	J		
81.					Buy (add'l)	10/18/21	J		
82.					Buy (add'l)	08/30/21	J		
83. Diamondback Energy	A	Dividend	J	T	Buy	10/21/21	J		
84. Digital Turbine		None			Sold	11/24/21	J		
85.					Buy (add'l)	11/03/21	J		

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86.					Sold (part)	09/16/21	J		
87.					Buy (add'l)	06/23/21	J		
88.					Buy	02/08/21	J		
89. Docusign Inc		None	J	T	Buy	12/03/21	J		
90. Draftkings		None			Sold	05/11/21	J		
91.					Buy	02/08/21	J		
92. Duolingo		None			Sold	11/18/21	J	A	
93.					Buy	07/30/21	J		
94. Enphase Energy Inc		None	L	T					
95. Etsy Inc		None			Sold	08/27/21	J	D	
96. Evercore Inc	A	Dividend			Sold	09/16/21	J		
97.					Buy	07/14/21	J		
98. Fastly		None			Sold	02/19/21	J		
99.					Buy	02/08/21	J		
100. Fate Therapeutics		None			Sold	05/27/21	J		
101.					Buy (add'l)	03/04/21	J		
102.					Buy	02/25/21	J		

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103. Fiverr International		None			Sold	04/26/21	J		
104.					Buy (add'l)	03/12/21	J		
105.					Buy	02/19/21	J		
106. Ford Motor Co	A	Dividend	J	T	Buy (add'l)	11/24/21	J		
107.					Buy	11/09/21	J		
108. Fortescue Metals	C	Dividend			Sold	09/10/21	J	D	
109.					Buy (add'l)	03/29/21	J		
110.					Buy (add'l)	03/26/21	J		
111. Freeport-McMoran Inc	A	Dividend	J	T	Sold (part)	07/23/21	J		
112.					Sold (part)	07/20/21	J		
113.					Buy (add'l)	05/28/21	J		
114.					Buy (add'l)	05/27/21	J		
115. Goldman Sachs Group	A	Dividend	J	T	Sold (part)	12/03/21	J		
116.					Buy (add'l)	10/20/21	J		
117.					Buy (add'l)	08/30/21	J		
118.					Buy	07/19/21	J		
119. Hubspot Inc		None			Sold	06/22/21	J	A	

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Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Buy (add'l)	04/28/21	J		
121.					Buy (add'l)	03/01/21	J		
122.					Buy	02/12/21	J		
123. Ichor Holdings		None			Sold	10/11/21	J		
124.					Buy (add'l)	06/08/21	J		
125.					Buy	04/09/21	J		
126. Idexx Labs Inc		None			Sold	01/28/21	J		
127. India Globalization		None			Sold	09/01/21	J		
128.					Buy	07/23/21	J		
129. Inmode Ltd		None			Sold	12/02/21	J		
130.					Buy	11/24/21	J		
131. Inotiv		None	K	T	Buy (add'l)	10/18/21	J		
132.					Buy (add'l)	09/22/21	J		
133.					Buy (add'l)	08/03/21	J		
134.					Buy (add'l)	07/30/21	J		
135.					Buy (add'l)	07/23/21	J		
136.					Buy	07/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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137. Inphi Corp		None			Merged (with line 155)	04/21/21	J	D	
138.					Buy (add'l)	02/08/21	J		
139. Intellia Therapeutics		None	J	T	Buy (add'l)	06/28/21	J		
140.					Buy (add'l)	04/28/21	J		
141.					Buy	03/12/21	J		
142. JD Com Inc		None			Sold	05/27/21	J		
143.					Sold (part)	03/19/21	J		
144. Jinko Solar Holding Com		None			Sold	01/14/21	J	A	
145. Kulicke & Soffa Inds	A	Dividend			Sold	12/02/21	J		
146.					Buy (add'l)	10/13/21	J		
147.					Buy	08/30/21	J		
148. Lam Research Corp	A	Dividend	L	T					
149. Lattice Semiconductor		None	M	T	Sold (part)	06/17/21	J	B	
150. Lithium Americas Corp		None	J	T	Buy	11/11/21	J		
151. Mannkind Corp		None			Sold	10/18/21	J		
152.					Buy	03/01/21	J		
153. Marathon Digital Holdings		None			Sold	06/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154.					Buy	04/08/21	J		
155. Marvell Tech Group	A	Dividend			Sold	07/14/21	J	A	
156.					Sold (part)	04/27/21	J		
157.					Buy	02/08/21	J		
158. Mercadolibre Inc		None			Sold	07/28/21	J	B	
159. Microsoft Corp	A	Dividend	J	T					
160. Microvision Inc		None			Sold	06/30/21	J	A	
161.					Buy	03/18/21	J		
162. Nanoxplore Inc		None	J	T	Sold (part)	12/30/21	J		
163.					Buy (add'l)	12/02/21	J		
164.					Buy (add'l)	10/22/21	J		
165.					Buy (add'l)	10/20/21	J		
166.					Buy (add'l)	09/13/21	J		
167.					Buy	09/03/21	J		
168. NIO Inc		None			Sold	10/26/21	J	A	
169. Nvidia Corp	A	Dividend	M	T	Buy (add'l)	11/10/21	J		
170.					Buy (add'l)	07/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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171.					Buy (add'l)	06/22/21	J		
172.					Buy (add'l)	05/27/21	J		
173.					Buy (add'l)	04/12/21	J		
174. Organogenesis oldings		None			Sold	10/07/21	J		
175.					Buy	09/13/21	J		
176. Ovintiv Inc	A	Dividend	K	T	Buy (add'l)	10/20/21	J		
177.					Buy (add'l)	09/28/21	J		
178.					Buy (add'l)	06/30/21	J		
179.					Buy	06/25/21	J		
180. Owens & Minor INC	A	Dividend			Sold	10/18/21	J		
181.					Buy (add'l)	10/01/21	J		
182.					Buy (add'l)	06/23/21	J		
183.					Buy (add'l)	03/12/21	J		
184. Pacific Biosciences		None			Sold	11/16/21	J		
185.					Buy	01/20/21	J		
186. Pacific Ethanol Inc		None			Sold	01/08/21	J		
187. Paypal Holdings Incorporated		None			Sold	12/14/21	J	A	

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188.					Buy (add'l)	12/02/21	J		
189.					Buy (add'l)	11/13/21	J		
190.					Sold (part)	11/09/21	J		
191.					Buy	10/21/21	J		
192. Pennymac Financial Services		None			Sold	01/08/21	J	A	
193. Pinduoduo Inc		None			Sold	05/28/21	J		
194.					Buy (add'l)	02/08/21	J		
195. Pinterest Inc		None			Sold	07/30/21	J		
196.					Buy (add'l)	01/19/21	J		
197. Qorvo Inc		None	J	T	Sold (part)	11/04/21	J	C	
198.					Sold (part)	10/20/21	J		
199. Quantumscape Corp		None			Sold	01/14/21	J		
200. Renewable Energy Group		None			Sold	11/11/21	J	A	
201.					Sold (part)	04/28/21	J		
202.					Sold (part)	01/14/21	J		
203. Retractable Tech Inc		None			Sold	04/12/21	J		
204.					Sold (part)	03/01/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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205.					Buy	02/08/21	J		
206. Ringcentral Inc		None			Sold	11/09/21	J	D	
207.					Sold (part)	06/30/21	J		
208.					Sold (part)	06/25/21	J		
209.					Sold (part)	03/19/21	J		
210. Rivian Automotive Inc		None	J	T	Buy (add'l)	12/17/21	J		
211.					Buy	12/15/21	J		
212. Roblox Corp		None	J	T	Buy	11/18/21	J		
213. Roku Inc		None			Sold	04/26/21	J		
214.					Buy (add'l)	03/02/21	J		
215.					Buy (add'l)	02/19/21	J		
216.					Buy	02/16/21	J		
217. Sea Ltd		None	K	T	Buy (add'l)	02/08/21	J		
218. Service Now Inc		None			Sold	06/04/21	J	A	
219. Sigma Lithium		None	J	T	Buy	11/11/21	J		
220. Signature Bank	A	Dividend	J	T	Buy (add'l)	10/20/21	J		
221.					Buy	10/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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222. SM Energy Co		None	J	T	Buy (add'l)	11/26/21	J		
223.					Buy	11/05/21	J		
224. Solaredge Tech		None	K	T	Sold (part)	10/12/21	J	A	
225.					Buy (add'l)	08/04/21	J		
226.					Buy (add'l)	01/15/21	J		
227.									
228. Staar Surgical Co		None			Sold	11/04/21	J		
229.					Buy	05/28/21	J		
230. Stamps.Com Inc		None			Sold	01/08/21	J		
231. Standard Lithium Ltd		None	J	T	Buy	11/11/21	J		
232. Stratasys Ltsd		None			Sold	03/15/21	J		
233.					Buy (add'l)	03/01/21	J		
234.					Buy	02/12/21	J		
235. Surgery Partners Inc		None			Sold	10/18/21	J		
236.					Sold	10/18/21	J		
237.					Buy (add'l)	06/17/21	J		
238.					Buy (add'l)	05/27/21	J		

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239.					Buy	04/28/21	J		
240. SVB Financial Group		None	K	T	Buy (add'l)	05/28/21	J		
241.					Buy (add'l)	05/27/21	J		
242.					Buy (add'l)	03/19/21	J		
243.					Buy	03/12/21	J		
244. Synaptics Inc		None	J	T	Buy	12/14/21	J		
245. Synopsys Inc		None	J	T	Buy	12/02/21	J		
246. Targa Resources Corp	A	Dividend	J	T	Buy	10/12/21	J		
247. Tesla Inc		None	M	T					
248. Timkensteel Corp		None	J	T	Buy (add'l)	11/18/21	J		
249.					Buy (add'l)	08/31/21	J		
250.					Buy	07/30/21	J		
251. Twist Bioscience Corp		None			Sold	05/27/21	J		
252.					Buy (add'l)	02/25/21	J		
253.					Buy	02/08/21	J		
254. Veritiv Corp		None	J	T	Buy (add'l)	05/11/21	J		
255.					Buy	04/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
256. Wealth Minerals Ltd		None	J	T	Buy	12/13/21	J		
257. ZoomVideo Communications		None			Sold	02/26/21	J		
258.					Buy (add'l)	02/08/21	J		
259.					Buy	01/20/21	J		
260. 3D Systems Corp		None			Sold	04/13/21	J		
261.					Buy	02/12/21	J		
262. 10X Genomics		None			Sold	08/05/21	J	A	
263.					Buy	03/04/21	J		
264.									
265.									
266. Charles Schwab IRA Account #1 [redacted] (H)			O	T					
267. ACM Research Inc		None			Sold	05/27/21	J	A	
268. Adobe		None			Sold	01/20/21	J	B	
269. Advanced Micro Devices		None	J	T	Buy	11/10/21	J		
270. Advansix Inc	A	Dividend	J	T	Buy (add'l)	11/08/21	J		
271.					Buy	11/03/21	J		
272. Affirm Holdings		None			Sold	12/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273.					Buy	11/10/21	J		
274. Alcoa Corp	A	Dividend	J	T	Buy (add'l)	07/13/21	J		
275.					Buy	07/19/21	J		
276. Amazon.Com Inc		None			Sold	02/08/21	K	B	
277. Amyris Inc		None			Sold	04/27/21	J		
278.					Buy	03/22/21	J		
279. AnteroResources Inc		None	J	T	Buy (add'l)	12/20/21	J		
280.					Buy (add'l)	11/17/21	J		
281.					Buy (add'l)	11/09/21	J		
282.					Buy (add'l)	10/29/21	J		
283.					Buy (add'l)	10/20/21	J		
284.					Buy	06/18/21	J		
285. Appian Corp		None			Sold	05/27/21	J		
286.					Buy	02/18/21	J		
287. Apple Inc	A	Dividend	K	T	Sold (part)	03/11/21	J	B	
288.					Sold (part)	02/17/21	J		
289. Applied Materials	A	Dividend	J	T	Buy (add'l)	04/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
290.					Buy	04/09/21	J		
291. Apollo Medical Holdings		None			Sold	12/08/21	J		
292.					Buy	07/29/21	J		
293. ARK Genomic Revolution		None			Sold	09/20/21	K		
294.					Buy	02/08/21	K		
295. ARKK Innovation ETF	A	Distribution			Sold	11/23/21	K	D	
296.					Sold (part)	10/26/21	K		
297.					Sold (part)	04/13/21	J		
298.					Sold (part)	02/08/21	J		
299.					Buy (add'l)	02/08/21	J		
300. ARKW	A	Distribution			Sold	12/14/21	K	E	
301.					Sold (part)	11/09/21	K		
302. ASML Holdings NV	A	Dividend	K	T	Buy (add'l)	11/24/21	K		
303.					Buy	10/25/21	J		
304. Atkore Inc		None	J	T	Buy (add'l)	12/14/21	J		
305.					Buy (add'l)	12/08/21	J		
306.					Buy	06/08/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
307. Atlanticus Holdings		None	K	T	Buy (add'l)	11/04/21	J		
308.					Buy (add'l)	10/19/21	J		
309.					Buy	09/15/21	J		
310. AU Optronics Corp	A	Dividend			Sold	09/15/21	J		
311.					Buy (add'l)	04/30/21	J		
312.					Buy	04/27/21	J		
313. Autodesk		None			Sold	12/14/21	J		
314.					Buy	11/16/21	J		
315. Axcelis Technologies		None	J	T	Buy (add'l)	12/15/21	J		
316.					Buy	12/09/21	J		
317. Bakkt Holdings		None			Sold	12/02/21	J		
318.					Buy	10/26/21	J		
319. Beam Therapeutics Inc		None			Sold	05/27/21	J		
320.					Buy (add'l)	02/23/21	J		
321.					Buy (add'l)	02/22/21	J		
322.					Buy	02/17/21	J		
323. Big 5 Sporting Goods	A	Dividend			Sold	11/30/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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324.					Buy (add'l)	09/16/21	J		
325.					Buy	08/26/21	J		
326. BYD Co Ltd		None			Sold	06/18/21	J		
327.					Buy (add'l)	03/01/21	J		
328.					Buy (add'l)	02/18/21	J		
329.					Buy	02/17/21	J		
330. Cadence Design Systems		None			Sold	06/04/21	J	D	
331.					Sold (part)	04/09/21	J		
332. Canaan Inc		None			Sold	10/11/21	J		
333.					Buy (add'l)	03/05/21	J		
334.					Buy (add'l)	03/02/21	J		
335.					Buy (add'l)	02/22/21	J		
336.					Buy (add'l)	02/18/21	J		
337.					Buy	02/17/21	J		
338. CareDX Inc		None			Sold	10/18/21	J		
339.					Buy	06/08/21	J		
340. Chemocentryx Inc		None			Sold	10/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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341.					Buy (add'l)	10/22/21	J		
342.					Buy	10/11/21	J		
343. Cleveland-Cliffs Inc		None			Sold	10/18/21	J		
344.					Buy	07/30/21	J		
345. Continental Resources		None	J	T	Buy (add'l)	11/08/21	J		
346.					Buy	10/22/21	J		
347. Crocs		None	J	T	Buy	11/24/21	J		
348. DAQO New Energy Corp		None	K	T	Buy (add'l)	04/12/21	J		
349.					Buy (add'l)	03/05/21	J		
350. Devon Energy Corp	A	Dividend	K	T	Buy (add'l)	12/14/21	J		
351.					Buy (add'l)	10/18/21	J		
352.					Buy	08/25/21	J		
353. Diamondback Eneregy	A	Dividend	J	T	Buy (add'l)	11/03/21	J		
354.					Buy (add'l)	10/29/21	J		
355.					Buy	10/25/21	J		
356. Direxion Moonshot Innovators	A	Dividend			Sold	07/13/21	J		
357.					Buy (add'l)	06/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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358.					Buy	03/19/21	J		
359. Enphase Energy Inc		None	L	T	Sold (part)	07/29/21	J	E	
360.					Sold (part)	04/30/21	J		
361.					Sold (part)	03/19/21	J		
362.					Sold (part)	03/15/21	J		
363.					Sold (part)	02/23/21	J		
364. Etsy Inc		None			Sold	07/30/21	J	B	
365. Exone Co		None			Sold	06/02/21	J		
366.					Buy	02/23/21	J		
367. Fate Therapeutics		None			Sold	05/27/21	J		
368.					Buy	02/18/21	J		
369. Fiverr International Ltd		None			Sold	04/26/21	J		
370.					Buy (add'l)	03/16/21	J		
371.					Buy	02/17/21	J		
372. Ford Motor Co	A	Dividend	J	T	Buy (add'l)	12/14/21	J		
373.					Buy (add'l)	11/30/21	J		
374.					Buy	11/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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375. Fortesque Metals	A	Dividend			Sold	08/09/21	J	D	
376.					Buy (add'l)	03/26/21	J		
377. Fortinet Inc		None	K	T	Buy (add'l)	11/10/21	J		
378.					Buy (add'l)	11/09/21	J		
379.					Buy (add'l)	11/04/21	J		
380.					Buy	10/26/21	J		
381. Freeport-McMoran Inc	A	Dividend	J	T	Sold (part)	10/22/21	J	A	
382.					Buy (add'l)	05/27/21	J		
383.					Buy	01/08/21	J		
384. Inmode Ltd		None	J	T	Buy (add'l)	11/29/21	J		
385.					Buy	11/24/21	J		
386. Inotiv Inc		None	J	T	Buy	11/10/21	J		
387. Inphi Corp		None			Sold	04/21/21	J	C	
388.					Buy	03/30/21	J		
389. Intellia Therapeutics		None	J	T	Buy (add'l)	09/15/21	J		
390.					Buy (add'l)	03/16/21	J		
391.					Buy	02/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
392. JD.Com Inc		None			Sold	05/27/21	J		
393. Kulicke & Soffa	A	Dividend	J	T	Buy	08/09/21	J		
394. Lithium Americas Coirp		None	J	T	Buy	11/30/21	J		
395. Mannkind Corp		None			Sold	10/18/21	J		
396.					Buy (add'l)	07/23/21	J		
397.					Buy (add'l)	03/15/21	J		
398.					Buy (add'l)	03/01/21	J		
399.					Buy	02/26/21	J		
400. Marathon Digital Holldings		None			Sold	12/21/21	J		
401.					Buy (add'l)	04/12/21	J		
402.					Buy (add'l)	04/01/21	J		
403.					Buy	03/22/21	J		
404. Marvell Technology Incv		None	K	T	Buy (add'l)	03/30/21	J		
405. Mercadolibre Inc		None			Sold	03/05/21	J	B	
406. Microsoft Corp	A	Dividend	K	T	Buy (add'l)	11/10/21	J		
407.					Buy (add'l)	11/05/21	J		
408.					Sold (part)	03/30/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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409.					Buy (add'l)	03/12/21	J		
410. Microvision Inc		None			Sold	07/13/21	J		
411.					Buy (add'l)	04/13/21	J		
412.					Buy	03/19/21	J		
413. Nanoxplore Inc		None	J	T	Buy (add'l)	10/29/21	J		
414.					Buy	10/26/21	J		
415. Nvidia Corp	A	Dividend	J	T	Buy (add'l)	06/08/21	J		
416.					Buy (add'l)	05/27/21	J		
417. Organogenesis Holdings		None			Sold	10/18/21	J		
418.					Buy (add'l)	06/02/21	J		
419.					Buy (add'l)	05/27/21	J		
420.					Buy	04/13/21	J		
421. Ovintiv Inc	A	Dividend	J	T	Buy (add'l)	12/14/21	J		
422.					Buy (add'l)	11/03/21	J		
423.					Buy	10/22/21	J		
424. Owens & Minor Inc	A	Dividend			Sold	10/25/21	J		
425.					Buy (add'l)	06/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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426.					Buy (add'l)	05/27/21	J		
427.					Buy (add'l)	04/22/21	J		
428.					Buy (add'l)	03/19/21	J		
429.					Buy (add'l)	03/15/21	J		
430.					Buy	02/26/21	J		
431. Pacific Biosciences		None			Sold	11/29/21	J		
432.					Buy (add'l)	03/16/21	J		
433.					Buy (add'l)	02/09/21	J		
434.					Buy	01/21/21	J		
435. Paypal Holdings Incorporated		None			Sold	11/05/21	K	C	
436. Pennymac Financial Services Inc	A	Dividend			Sold	01/08/21	J		
437. Proterra Inc		None			Sold	12/09/21	J		
438.					Buy (add'l)	11/09/21	J		
439.					Buy	08/25/21	J		
440. Renewable Energy Group		None			Sold	11/04/21	J	D	
441.					Sold (part)	08/26/21	J		
442.					Sold (part)	08/25/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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443.					Buy (add'l)	03/11/21	J		
444. Roblox Corp		None	K	T	Buy (add'l)	12/15/21	J		
445.					Buy (add'l)	11/29/21	J		
446.					Buy (add'l)	11/23/21	J		
447.					Buy	11/10/21	J		
448. Roku Inc		None			Sold	04/28/21	J		
449.					Buy	02/17/21	J		
450. Sea Ltd		None	J	T	Buy	02/23/21	J		
451. Service Now Inc		None			Sold	06/04/21	J	E	
452.					Sold (part)	03/19/21	J		
453.					Sold (part)	03/15/21	J		
454.					Sold (part)	02/22/21	J		
455.					Sold (part)	02/18/21	J		
456.					Sold (part)	02/17/21	J		
457. Signature Bank		None	J	T	Buy	11/04/21	J		
458. SM Energy Co		None	J	T	Buy (add'l)	11/10/21	J		
459.					Buy (add'l)	11/08/21	J		

1 Income Gain Codes: (See Columns B1 and D4)
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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460.					Buy	11/05/21	J		
461. Solaredge Tech		None			Sold	04/12/21	J	E	
462.					Sold (part)	03/16/21	J		
463.					Sold (part)	03/01/21	J		
464.					Sold (part)	02/23/21	J		
465. Square Inc		None			Sold	11/09/21	J	C	
466. Staar Surgical		None			Sold	11/29/21	J		
467.					Buy (add'l)	05/27/21	J		
468.					Buy (add'l)	04/22/21	J		
469.					Buy	02/26/21	J		
470. Stratasys Ltd		None			Sold	03/15/21	J		
471.					Buy	03/01/21	J		
472. Summit Materials Inc		None	J	T	Buy	12/15/21	J		
473. Surgery Partners Inc		None			Sold	11/03/21	J		
474.					Buy (add'l)	06/09/21	J		
475.					Buy (add'l)	06/08/21	J		
476.					Buy	03/16/21	J		

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477. SVB Financial Group		None	J	T	Buy (add'l)	11/30/21	J		
478.					Buy	02/26/21	J		
479. Synaptics Inc		None	K	T	Buy (add'l)	12/22/21	J		
480.					Buy	12/14/21	J		
481. Synopsys Inc		None			Sold	06/02/21	J	D	
482.					Sold (part)	02/26/21	J		
483.					Sold (part)	02/17/21	J		
484. Targa Resources Corp	A	Dividend	J	T	Buy (add'l)	10/25/21	J		
485.					Buy	10/20/21	J		
486. Teradata Corp		None			Sold	11/16/21	J		
487.					Buy	10/26/21	J		
488. Tesla Inc		None			Sold	03/22/21	J	C	
489. Timkensteel Corp		None	K	T	Buy (add'l)	11/30/21	J		
490.					Buy (add'l)	11/23/21	J		
491.					Buy (add'l)	11/17/21	J		
492.					Buy (add'l)	07/30/21	J		
493.					Buy (add'l)	07/29/21	J		

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494.					Buy	07/13/21	J		
495. Twist Bioscience		None			Sold	05/27/21	J		
496.					Buy	02/22/21	J		
497. Veritiv Corp		None	K	T	Buy (add'l)	12/14/21	J		
498.					Buy (add'l)	06/08/21	J		
499.					Buy (add'l)	06/02/21	J		
500.					Buy (add'l)	05/27/21	J		
501.					Buy	04/22/21	J		
502. Voyager Digital		None			Sold	10/11/21	J		
503.					Buy	03/22/21	J		
504. Wealth Minerals Ltd		None	J	T	Buy	11/12/21	J		
505. 3D Systems Corp		None			Sold	04/13/21	J		
506.					Buy (add'l)	03/01/21	J		
507.					Buy	02/17/21	J		
508.									
509.									
510. Charles Schwab IRA Account #2 [redacted] (H)			M	T					

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511. Adobe Inc		None			Sold	01/14/21	J	D	
512. Antero Resources		None	J	T	Buy	10/20/21	J		
513. Apple Inc	A	Dividend	K	T	Sold (part)	03/12/21	J	A	
514. ARK Genomic Revolution		None			Sold	10/20/21	J		
515. ARK Innovation		None			Sold	11/11/21	K		
516.					Buy	01/14/21	K		
517. Continental Resources	A	Dividend	J	T	Buy	11/09/21	J		
518. DAQO New Energy Corp		None	J	T	Buy	02/24/21	J		
519. Devon Energy Corp	A	Dividend	J	T	Buy (add'l)	11/30/21	J		
520.					Buy	10/20/21	J		
521. Ford Motor Co		None	J	T	Buy (add'l)	11/17/21	J		
522.					Buy	10/28/21	J		
523. Global X Lithium Battery		None	K	T	Buy	11/11/21	K		
524. Lattice Semiconductor		None	J	T					
525. Microsoft Corp	A	Dividend			Sold	01/14/21	K	D	
526. Nvidia	A	Dividend	K	T					
527. Ovintiv Inc	A	Dividend	J	T	Buy	10/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
528. Owens & Minor Inc		None			Sold	10/28/21	J		
529.					Buy	06/09/21	J		
530.					Sold	03/12/21	J		
531.					Buy	03/12/21	J		
532. Pacific Biosciences		None			Sold	11/29/21	J		
533.					Buy	03/12/21	J		
534. Paypal Holdings Incorporated		None			Sold	11/05/21	J	A	
535.									
536. Renewable Energy Group		None	J	T	Buy (add'l)	02/24/21	J		
537. Service Now Inc		None			Sold	02/24/21	K	D	
538. SM Energy Co		None	J	T	Buy	11/09/21	J		
539. Targa Resources Corp		None	J	T	Buy	11/09/21	J		
540. Veritiv Corp		None	J	T	Buy (add'l)	10/20/21	J		
541.					Buy	06/09/21	J		
542. 10X Genomics		None			Sold	06/09/21	J	A	
543.					Buy	02/24/21	J		
544.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 3. The appraisal was conducted in July, 2021

Part VII, Line 387 Inphi was acquired by Marvell, line 404. The Inphi cell for Disposition did not accept Merger as an option.

Name of Person Reporting	Date of Report
Herrera, Judith C.	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Judith C. Herrera

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544