Validate form

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AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

** indicates required fields*

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
	C	Herrera	
*5. Title	*6. District/Court/Unit		*7. Circuit
Senior District Judge	NEW MEXICO		10
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	02/06/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
02/06/2023		02/06/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Proshares K-1 ETF OILK	Sold	02/06/202	02/06/202	$15,001 - $50,000
2. Sigma Lithium	Buy (acq	02/06/202	02/06/202	$1,000 - $15,000
3. Veritiv Corp	Buy (acq	02/06/202	02/06/202	$1,000 - $15,000
4. Taiwan Semiconductor	Buy (acq	02/06/202	02/06/202	$1,000 - $15,000
5. Axcelis Technologies	Buy (acq	02/06/202	02/06/202	$1,000 - $15,000
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TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

First Name	Middle Initial	Last Name	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name	Middle Initial	Last name	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

Judith C Herrera

☑ **Bypass form audit. Please review highlighted items to assure no changes are required. If changes are not required, you MUST provide an explanation above in the Additional Information or Explanations section.**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544