AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hicks, Jr., S. Maurice	District of Louisiana Western	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Tom Stagg United States Court House 300 Fannin Street, Room 5101 Shreveport, LA 71101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Lecturer/Adjunct Faculty Member	[redacted]
2.	Board of Directors Member	Children and Arthritis, Inc. (501(c) (3) organization) / Non-profit
3.	Advisory Board Member	Global Power Air Museum of Barksdale Air Force Base (501(c)(3) organization)
4.	Board of Trustees	Paul M. Hebert Law Center, Louisiana State University
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	Management Fees - Self Employed
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Bar Group, Bar Organization, Bar Association	4/13/2023-4/14/2023	New Orleans, LA	Activity of professional assoc or civic organization	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Progressive Bank	Line of Credit	None
2.	Northwestern Mutual	Personal Loan	J
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. State Farm Life Insurance Co. Universal Life		None	K	T					
2. Northwestern Mutual Life Insurance Co. Whole Life	A	Interest	L	T					
3. Timber Land, Lincoln Parish, Louisiana - 1/1/96 (See Note)		None	K	R					
4. Red River Syndicate LP (See Note)	D	Int./Div.	L	U					
5. Janney, Montgomery Scott Brokerage Account - Cash		None	J	T					
6. IRA #2 Raymond James Bank Deposit Program	A	Interest	J	T					
7. Raymond James Bank Deposit Program-T		None	J	T					
8. Raymond James Bank Deposit Program-S (Y)									
9. IRA #2-W P Carey Inc.	A	Dividend	J	T					
10. IRA #2 - Vanguard Wellesley Income Fund Admiral Shares	A	Dividend	J	T					
11. IRA #2- Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T					
12. IRA #2-Fidelity Advisor International Real Estate Fund Class I		None	J	T					
13. Energy Transfer LTD - Janney	B	Distribution			Sold	12/18/23	J	B	
14. IRA #2 - AMG Yacktman Focused Fund	A	Dividend	J	T					
15. IRA #2 - Brown Advisory Sustainability Growth Fund		None	J	T					
16. IRA #2 - Columbia Mortgage Opportunities Fund		None			Sold	07/24/23	J		
17. IRA #2 - PIMCO Long Term Credit Bond Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Cadence Bank Checking		None	J	T					
19. IRA #3 - Alphabet Incorporated		None	K	T	Sold (part)	10/31/23	J	D	
20. IRA #3 - Amazon		None	K	T	Sold (part)	10/31/23	J	C	
21. IRA #3 - Apple		None	J	T	Sold (part)	10/31/23	J	C	
22. IRA #3 - Raymond James Bank Deposit (Y)									
23. Origin Bank		None	J	T					
24. IRA #2 - Strategic Bond Fund Class F2-American Funds	A	Dividend	J	T					
25. IRA #2 - Fidelity Small Cap Index Fund		None			Sold	10/31/23	J		
26. IRA #4 - Dodge & Cox Income Fund N/L (DODIX)		None	J	T					
27. IRA #4 - Europacific Growth Fund Class F2-American Funds N/L		None	J	T					
28. IRA #4 - Champlain Small Company fund Inst'l Class N/L (CIPNX) (Y)									
29. IRA #4 - MFS Research Fund Class I N/L (MRFIX)		None	J	T					
30. IRA #4 - Metropolitan West Total Return Bond Fund Class I N/L (MWTIX)		None	J	T					
31. IRA #4 - Vanguard 500 Index Fund Admiral Shares N/L (VFIAX)		None	J	T					
32. Progessive Bank Account #1	A	Interest	J	T					
33. Progessive Bank Account #2		None	J	T					
34. Microsoft Corp - Janney		None			Sold	06/13/23	J	B	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. QualComm Inc - Janney		None			Sold	04/28/23	J	B	
36. SPDR S&P 500 - Janney		None			Sold	03/22/23	J	B	
37. Meta Platforms Inc Cl A - Janney		None	J	T					
38. IRA #2 - Origin Bancorp Incorporated		None	J	T					
39. IRA #2 - Eastgroup PPTYS Incorporated REIT		None	J	T					
40. IRA #2 - Baron Opportunity Fund Instl CL N/L		None	J	T					
41. IRA #2 - Columbia Dividend Income Fund Instl CL N/L	A	Dividend	J	T					
42. IRA #2 - Vanguard Long Term Investment Grade Fund Admiral Shares		None	J	T					
43. IRA #2 Cambria Shareholder Yield ETF		None	J	T					
44. IRA #2 - First Trust NASDAQ Rising Dividend Achievers	A	Dividend	J	T					
45. IRA #2 - Vaneck Morningstar Wide Moat ETF		None	K	T					
46. IRA #3 - Abbvie Incorporated	A	Dividend	J	T					
47. Genesis Energy LP - Raymond James T		None	J	T					
48. Ishares TR S&P 100 ETF - Raymond James T		None	J	T					
49. Braemar Hotels & Resorts Inc - Janney		None	J	T					
50. Paysafe Ltd - Janney		None	J	T					
51. Progressive Bank Account #4		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. Progressive Bank Account #5		None	J	T					
53. IRA #3 - Cheniere Energy Incorporated	A	Dividend	K	T	Sold (part)	10/31/23	J	B	
54. IRA #3 - Penn Entertainment Incorporated		None	J	T	Sold (part)	10/31/23	J		
55. IRA #3 - Vertex Energy Incorporated		None	J	T					
56. IRA #3 - Global X Uranium ETF		None			Sold	10/31/23	J		
57. IRA #2 - Vertex Energy Incorporated		None	J	T					
58. IRA #2 - Invesco S&P MidcapValue with Momentum ETF		None	J	T					
59. IRA #2 - Invesco DWA Smallcap Momentum ETF		None	J	T					
60. IRA #2 - Ishares TR HDG MSCI EAFE	A	Dividend	J	T					
61. IRA #3 - Innovator U.S. Equity Power Buffer ETF January		None	J	T	Buy	07/26/23	J		
62. IRA #3 - Innovator U.S. Equity Power Buffer ETF October		None	J	T	Buy	07/26/23	J		
63. IRA #3 - Innovator U.S. Equity Power Buffer ETF July		None	J	T	Buy	07/26/23	J		
64. IRA #3 - Innovator U.S. Equity Power Buffer ETF April		None	J	T	Buy	07/26/23	J		
65. IRA #3 - Federated Hermes Trsy Obligation Instl Cl IS M/M		None	K	T	Buy	10/31/23	K		
66. IRA #2 - Federated Hermes Trsy Obligation FD Instl Cl Is M/M	A	Dividend	L	T	Buy	11/10/23	L		
67. IRA #2 - JPMorgan Mortgage-Backed Securities FD CL I N/L		None	J	T	Buy	07/24/23	J		
68. IRA #2 - JPMorgan Equity Premium Income ETF	A	Dividend	K	T	Buy	07/26/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69. Federated Hermes Trsy Obligation FD Instl CL IS M/M - Raymond James S		None	J	T	Buy	11/10/23	J		
70. Progressive Bank Account #6	B	Interest	J	T	Open	01/01/23	J		
71. CVS Health Corp - Janney		None			Buy	06/13/23	J		
72.					Sold	12/29/23	J	A	
73. Alphabet Inc - Janney		None	J	T	Buy	04/28/23	J		
74.					Buy (add'l)	12/18/23	J		
75. Amazon Inc - Janney		None	J	T	Buy	03/22/23	J		
76.					Buy (add'l)	05/25/23	J		
77. Boeing Company		None	J	T	Buy	12/29/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 3: Purchase price $30,000
Line 4: Book value $50,000-$100,000
Line 18: Formerly named BancorpSouth, now Cadence Bank

Name of Person Reporting	Date of Report
Hicks, Jr., S. Maurice	08/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ S. Maurice Hicks, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544