AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Higginbotham , Patrick E.	United States Court of Appeals, Fifth	04/23/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Senior Judge)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
903 San Jacinto Blvd., Room 450 Austin, TX 78701		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Overseers	RAND - Institute for Civil Justice
2.	Trustee	The Center for American and International Law
3.	Independent Executor	The Estate of [redacted]
4.	Member, Research Advisory Committee	RAND National Collaborative on Gun Violence Research
5.	Contract Employee	Matthew Bender & Co. Inc.

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Matthew Bender & Co, Inc. (Nonemployee Compensation)	$1,136.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Court (Judiciary)	01/30/2023	New Orleans, LA	Court Meeting	Transportation
2.	Court (Judiciary)	02/28/2023	New Orleans, LA	Court Meeting	Transportation
3.	Court (Judiciary)	03/30/2023	New Orleans, LA	Court Meeting	Transportation
4.	Court (Judiciary)	04/30/2023	New Orleans, LA	Court Meeting	Transportation
5.	Court (Judiciary)	05/30/2023	New Orleans, LA	Court Meeting	Transportation
6.	Court (Judiciary)	06/30/2023	New Orleans, LA	Court Meeting	Transportation
7.	Court (Judiciary)	07/30/2023	New Orleans, LA	Court Meeting	Transportation

8.	Court (Judiciary)	08/30/2023	New Orleans, LA	Court Meeting	Transportation
9.	Court (Judiciary)	09/30/2023	New Orleans, LA	Court Meeting	Transportation
10.	Court (Judiciary)	10/30/2023	New Orleans, LA	Court Meeting	Transportation
11.	Court (Judiciary)	11/30/2023	New Orleans, LA	Court Meeting	Transportation
12.	Court (Judiciary)	12/30/2023	New Orleans, LA	Court Meeting	Transportation

Name of Person Reporting	Date of Report
Higginbotham , Patrick E.	04/23/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Higginbotham , Patrick E.	04/23/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. New York Life Insurance Company (Whole Life Policy)	A	Interest	K	T					
2. Capital One, N.A.	A	Interest	M	T					
3. Bank of America, N.A.	A	Interest	J	T					
4. AT&T, Inc. Common Stock	A	Dividend	J	T					
5. Comcast Corporation Common Stock	A	Dividend	J	T					
6. Veritus KAR Capital Growth A	D	Distribution	M	T					
7. Verizon Communications, Inc. Common Stock	A	Dividend	J	T					
8. Coca Cola COM	C	Dividend	M	T					
9. Delta Airlines, Inc	A	Dividend	J	T					
10. Duke Energy Corp.	B	Dividend	K	T					
11. Exxon Mobil Corp	D	Dividend	M	T					
12. Enbridge Inc.	A	Dividend	J	T					
13. Invesco Senior Income Trust	A	Dividend	J	T					
14. Invesco Senior Income Trust	A	Interest	J	T					
15. Pioneer High Yield Fund CL A	A	Dividend	K	T					
16. Blackrock Global Allocation FD Inc A	B	Dividend	M	T					
17. Pimco Total Return FD CL A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Higginbotham , Patrick E.	04/23/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Pioneer High Yield Fund CL A	A	Distribution	K	T					
19. Merrill Lynch Bank & Trust	A	Interest	J	T					
20. Royalty Trust (Tulsa, OK)	A	Royalty	J	W					
21. Trust #2 Royalty (Tulsa, OK)	A	Royalty	J	W					
22. Rental Property (Austin, TX)	A	Rent	N	W					
23. Tract 3 Residence, Blanco County, TX		None	O	T					
24. Tract 4 Land, Blanco County, TX		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Higginbotham , Patrick E.	04/23/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patrick E. Higginbotham

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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