AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hinderaker, John C.	United States District Court, Arizona	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

John Hinderaker, United States District Court Judge
Evo DeConcini U.S. Courthouse
405 W Congress St
Tucson, AZ 85701

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Federal District Judge	United States District Court, District of Arizona
2.	Professor of Practice	James E. Rogers College of Law at the University of Arizona (volunteer; no reportable income)
3.	Member	Lake Melissa, L.L.C. (No reportable assets)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	Capital Account Repayment Agreement with Lewis Roca Rothgerber Christie, LLP
2.		
3.		

Name of Person Reporting	Date of Report
Hinderaker, John C.	05/16/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Lewis Roca Rothgerber Christie, LLP (Repayment of Partner's Capital Accounty)	$6,610.00
2.	2021	United States (Federal Judge Salary)	$210,642.46
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Attorney - Self Employeed Income (spouse self-employed attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Mohelia	Student Loan	K
2.			
3.			
4.			
5.			

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Hinderaker, John C.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Contrafund K6	F	Dividend	N	T	Sold (part)	01/21/21	L		
2. JP Morgan 100% U.S. Tres. Securities Money Market Fund; Capital Shares	B	Dividend			Sold	01/21/21	M		
3. Vanguard Target Retirement 2020 Turst II	E	Dividend	N	T	Sold (part)	01/21/21	N		
4. Vanguard Short Term Bond Index Fund, Inst. Shares	D	Dividend			Sold	01/21/21	M		
5. Vanguard Instl Target Retirement 2035 (100)	E	Dividend	M	T	Buy (add'l)	12/31/21	K		
6. J Hancock Multi Index 2035 Preservation R6	B	Dividend			Closed	04/15/21	K		Rollover to TSP
7. Vanguard 500 Index Fund -Admiral Shares	D	Dividend			Closed	04/15/21	K		Rollover to TSP
8. Vanguard (R) Growth Index Fund -- Admiral Shares	D	Dividend			Closed	04/15/21	K		Rollover to TSP
9. Wells Fargo IRA; Way to Save Retirement Account; cash equivalent acct.	A	Interest	J	T					
10. Bank of America IRA; Money Marget IRA; cash equivalent acct.	A	Interest	J	T					
11. USAA Bank (bank accounts); cash equivalent acct.	A	Interest	J	T					
12. AF Growth Fd of America R6	D	Dividend	N	T	Buy	01/21/21	N		
13. Dodge & Cox Stock	F	Dividend	N	T	Buy	01/21/21	N		
14. Vanguard Inst Index Fund Inst	F	Dividend	N	T	Buy	01/21/21	N		
15.									
16.									
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Hinderaker, John C.	05/16/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Item 5 in Part VII, Vanguard Instl Target Retirement 2035, this is the mutual fund into whcih my [redacted] 401(k) account is invested. [redacted] makes regular, bi-monthly contributions to that fund. Each individual contribution is less than $1,000 and so I have disclosed the contributions together as one contribution made at the end of 2021.

Regarding Items 6, 7 and 8, these were mutual funds that I held through my 401(a) account that I accumulated as an Arizona Superior Court Judge. My disclosure indicates that I "closed" the accounts, but I want to clarify that I rolled the money contained in the 401(a) account into my TSP account, which I am not required to disclose. Also, this is why I have not disclosed any "gain."

Regarding Items 1-4 and 12-14 in Part VII, these are the mutual funds into which I am invested through the 401(k) account that I accumulated during my 20 years in private practice at Lewis and Roca LLP. On 1/21/21, I moved money between mutual funds that I are available through my 401(k) account. I got out of some funds altogether and invested in other funds that I was not invested in before. I did not withdraw any funds and there was no "gain" from the transfers among the various mutual funds.

Name of Person Reporting	Date of Report
Hinderaker, John C.	05/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John C. Hinderaker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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