AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Holcomb, John W.	U.S. District Court, Central District of California	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 3470 Twelfth Street Riverside, CA 92501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Officer	MIT Club of Southern California
2.	Trustee	[redacted] Trust
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2010	Knobbe, Martens, Olson & Bear, LLP 2010 Amended and Restated Limited Liability Law Parternship Agreement, as amended
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Holcomb, John W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Wells Fargo Bank cash account	A	Dividend	K	T					
2.	Heritage May Mkt-Svc (WHTXX)	A	Dividend	M	T					
3.	Northwestern Mutual IRA (H)									
4.	- The Bond Fund of America F-2 (ABNFX)	B	Dividend	L	T	Sold (part)	03/23/21	K		
5.	- Brown Capital Management Company Fund Institutional Class (BCSSX)	A	Dividend	M	T	Sold (part)	02/04/21	K	C	
6.	- Cohen & Steers Realty Fund Class I (CSJIX)	B	Dividend	L	T	Sold (part)	09/23/21	K	D	
7.	- Deleware Emerging Markets Fund (DEMIX)	A	Dividend			Sold	09/30/21	L	D	
8.	- First Tr Exchange Traded Fund US Equity Opportunities (FPX)	A	Dividend		T	Sold	12/14/21	M	E	
9.	- Franklin Small-Cap Value Fund (FVADX)	A	Dividend	L	T	Sold (part)	07/26/21	L	C	
10.	- John Hancock Investment Grade Bond Fund Class I (TIUSX)	A	Dividend			Sold	07/19/21	L		
11.	- Invesco QQQ Tr (QQQ)	A	Dividend	M	T	Sold (part)	02/02/21	J	B	
12.	- iShares TIPS (TIP)	B	Dividend			Sold	07/19/21	L	C	
13.	- iShares TR Core HighDivid ETF (HDV)	B	Dividend			Sold	05/13/21	M	E	
14.	- iShares TR MSCI USA Momentum Factor ETF (MTUM)	A	Dividend	M	T	Sold (part)	02/02/21	J	B	
15.	- John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	B	Dividend	M	T	Sold (part)	02/04/21	J	C	
16.	- New World Fund Class (NFFFX)	A	Dividend	M	T	Sold (part)	02/05/21	K	D	
17.	- PIMCO Total Return Fund Class I-2 (PTTPX)	B	Dividend	K	T	Sold (part)	09/30/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holcomb, John W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - T Rowe Price Internation Stock (PRITX)	B	Dividend	M	T	Sold (part)	02/04/21	J	A	
19. - Schwab Strategic TR US Large-Cap Growth ETF (SCHG)	A	Dividend	M	T	Sold (part)	03/23/21	M	E	
20. - Vanguard Short-Term Fund Bond Index Fund (VBIRX)	A	Dividend			Sold	02/12/21	L		
21. - Vanguard Inflation Protected Fund (VAIPX)	A	Dividend			Sold	07/19/21	L		
22. - The Bond Fund of America Class F-2 (ABNFX)	B	Dividend	L	T	Buy	07/26/21	L		
23. -The Arbitrage Fund Class I (ARBNX)	A	Dividend	K	T	Buy	07/19/21	K		
24. - Baron Asset Fund Institutional Class (BARIX)	A	Dividend	M	T	Buy	12/22/21	K		
25. - Brown Capital Management Small Company Fund (BCSSX)	A	Dividend	M	T	Buy	12/22/21	L		
26. - Blackrock Systematic Multi-Strategy Fund (BIMBX)	A	Dividend	K	T	Buy	07/19/21	K		
27. - US Cash Cows 100 ETF (COWZ)	A	Dividend	M	T	Buy	06/21/21	M		
28. - Cohen & Steers Realty Shares Fund Class I (CSJIX)	B	Dividend	L	T	Buy	03/23/21	J		
29. - Deleware Emerging Markets Fund (DEMIX)	A	Dividend		T	Buy	03/23/21	K		
30. - iShares INC MSCI Emerging Markets ex China ETF (EMXC)	B	Dividend	L	T	Buy	09/30/21	L		
31. - FIrst Tr ETF US Equity Opportunities (FPX)	A	Dividend		T	Buy	03/23/21	K		
32. - Fidelity Covington Tr MSCI Information Technology Index ETF(FTEC)	A	Dividend	L	T	Buy	03/23/21	J		
33. - Franklin Small-Cap Value Fund Advisor Class (FVADX)	A	Dividend	L	T	Buy	03/23/21	M		
34. - Goldman Sachs GQG Partners Intl Opp Fund (GSIMX)	C	Dividend	M	T	Buy	12/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holcomb, John W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. - iShares Tr Core HighDivid ETF (HDV)	B	Dividend		T	Buy	02/05/21	K		
36. - iShares Tr 5-10 Yr Invt Grade Corportebd ETF (IGIB)	A	Dividend	M	T	Buy	07/23/21	K		
37. - John Hancock Disciplined Value Mid Cap (JVMIX)	B	Dividend	M	T	Buy	03/24/21	L		
38. - iShares Tr MSCI USA Momentum Factor ETF (MTUM)	A	Dividend	M	T	Buy	03/23/21	K		
39. - New World Fund Class F-2 (NFFFX)	A	Dividend	M	T	Buy	12/22/21	K		
40. - PGIM Short-Term Corporate Bond (PIFZX)	A	Dividend		T	Buy	03/23/21	J		
41. - Invesco Exchange-Traded FD Tr FTSE RAFI US 1000ETF (PRF)	C	Dividend	N	T	Buy	02/05/21	K		
42. - T Rowe Price Health Sciences Fund (PRHSX)	A	Dividend	K	T	Buy	03/23/21	J		
43. - T Rowe Price International Stock (PRITX)	B	Dividend	M	T	Buy	12/22/21	K		
44. - PIMCO Total Return Fund Class I-2 (PTTPX)	A	Dividend	K	T	Buy	07/27/21	J		
45. - Invesco QQQ Tr (QQQ)	A	Dividend	M	T	Buy	03/23/21	J		
46. - JPMorgan Large-Cap Growth Fund Class I (SEEGX)	A	Dividend	M	T	Buy	12/14/21	M		
47. - iShares TR TIPS BD ETF (TIP)	A	Dividend		T	Buy	02/05/21	K		
48. - John Hancock Investment Grade Bond Fund Class I (TIUSX)	A	Dividend		T	Buy	02/16/21	J		
49. - Vanguard Inflation Protected Fund (VAIPX)	A	Dividend		T	Buy	02/12/21	L		
50. Northwestern Mutual Life Insurance (H)									
51. - Adjustable Complife	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holcomb, John W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
52. - 90 Life	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Holcomb, John W.	05/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. Holcomb

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544