AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Holcomb, John W.	U.S. District Court, Central District of California	08/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States District Court 411 West 4th St. Santa Ana, CA 92701		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2010	Knobbe, Martens, Olson & Bear, LLP 2010 Amended and Restated Limited Liability Law Parternship Agreement, as amended
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Antonin Scalia Law School	May 11-13, 2022	Washington, DC	4th Annual Scalia Forum	Transportation, meals, and lodging
2.	George Mason University Antonin Scalia Law School	September 25 - October 1, 2022	Pray, MT	Sage Lodge Colloquium	Transportation, meals, and lodging
3.	Judicial Education Institute	October 10-14, 2022	Deer Valley, UT	Law & Corpus Linguistics Retreat	Transportation, meals, and lodging
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Wells Fargo Bank (cash)	A	Dividend	K	T					
3.	Allspring Heritage Money Mkt-Svc Cl (WHTXX) (cash eq.) (Y)									
4.	NWM Adjustable Complife (whole life policy; no underlying assets)	E	Dividend	M	T					
5.	NWM 90 Life (whole life policy; no underlying assets)	A	Dividend	K	T					
6.	Account #1 (H)									
7.	Northwestern Mutual FDIC Insured Deposit Program (cash) (X)	A	Interest	K	T					
8.	The Arbitrage Fund Class I (ARBNX)		None			Buy (add'l)	08/15/22	J		
9.						Sold	08/19/22	K		
10.	Baron Asset Fund Institutional Class (BARIX)		None			Buy (add'l)	01/26/22	J		
11.						Sold (part)	02/15/22	K	B	
12.						Sold	06/16/22	L		
13.	Blackrock International Fund Institutional Class (MAILX)	B	Dividend	M	T	Buy	02/10/22	M		
14.						Buy (add'l)	02/16/22	M		
15.						Buy (add'l)	08/25/22	K		
16.	Blackrock Systematic Multi-Strategy Fund (BIMBX)	A	Dividend			Sold	07/28/22	K		
17.	American Funds The Bond Fund of America F-2 (ABNFX)	C	Dividend	L	T	Buy (add'l)	04/21/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	06/28/22	K		
19.					Buy (add'l)	08/15/22	J		
20.					Sold (part)	08/19/22	J		
21. Brown Capital Management Company Fund Institutional Class (BCSSX)		None			Sold (part)	02/15/22	L		
22.					Sold	06/16/22	K		
23. Cohen & Steers Realty Fund Class I (CSJIX)	D	Dividend	L	T	Buy (add'l)	02/17/22	J		
24.					Buy (add'l)	08/24/22	J		
25.					Buy (add'l)	12/16/22	J		
26.					Buy (add'l)	12/30/22	J		
27. Fidelity Advisor Floating Rate High Income Fund Class I (FFRIX)	A	Dividend			Buy	06/17/22	K		
28.					Sold	08/19/22	K	A	
29. Franklin Small-Cap Value Fund (FVADX)	B	Dividend	L	T	Sold (part)	02/15/22	K	B	
30. Goldman Sachs Emerging Marketrs Equity Fund Institutional Clas (GEMIX)	A	Dividend	L	T	Buy	02/09/22	L		
31.					Buy (add'l)	02/16/22	J		
32.					Buy (add'l)	08/24/22	J		
33.					Buy (add'l)	11/23/22	J		
34. Goldman Sachs GQG Partners Intl Opp Fund (GSIMX)	D	Dividend	M	T	Buy (add'l)	02/16/22	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Buy (add'l)	08/24/22	J		
36. John Hancock Investment Grade Bond Fund Class I (TIUSX)	A	Dividend	K	T	Buy	08/12/22	K		
37. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	D	Dividend	M	T	Sold (part)	02/15/22	L	E	
38.					Sold (part)	04/12/22	J	A	
39.					Sold (part)	08/08/22	K	D	
40.					Buy (add'l)	08/23/22	K		
41.					Buy (add'l)	12/30/22	J		
42. JPMorgan Large-Cap Growth Fund Class I (SEEGX)		None			Buy (add'l)	08/24/22	J		
43.					Sold	11/10/22	L		
44. American Funds New World Fund Class (NFFFX)	B	Dividend	L	T	Buy (add'l)	12/30/22	J		
45. PIMCO Total Return Fund Class I-2 (PTTPX)	B	Dividend	L	T	Buy (add'l)	04/21/22	K		
46.					Buy (add'l)	06/28/22	K		
47.					Buy (add'l)	08/24/22	J		
48.					Buy (add'l)	12/30/22	J		
49. PIMCO Low Duration Class I-2 (PLDPX)	A	Dividend			Buy	06/23/22	K		
50.					Sold	08/19/22	K		
51. T Rowe Price Health Sciences Fund (PRHSX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
52.	T Rowe Price International Stock (PRITX)		None			Sold	02/10/22	M	D	
53.	Fidelity Covington Tr MSCI Information Technology Index ETF (FTEC)	A	Dividend	K	T					
54.	Global X Global X Cooper Miners ETF NEW (COPX)		None	L	T	Buy	11/14/22	L		
55.	Invesco QQQ Tr (QQQ)	A	Dividend	L	T					
56.	Invesco Exchange-Traded FD Tr FTSE RAFI US 1000ETF (PRF)	C	Dividend	M	T	Sold (part)	04/13/22	K	D	
57.	Ishares Tr Morningstar Mid Cap Growth ETF (IMCG)	A	Dividend	M	T	Buy	08/08/22	L		
58.						Buy (add'l)	08/19/22	J		
59.	Ishares Tr Morningstar Small-Cap Growth ETF (ISCG)	A	Dividend	L	T	Buy	08/08/22	K		
60.						Buy (add'l)	08/19/22	K		
61.	Ishares Tr Core MSCI Eafe ETF (IEFA)	A	Dividend	K	T	Buy	11/15/22	K		
62.	iShares Tr 5-10 Yr Invt Grade Corportebd ETF (IGIB)	A	Dividend			Buy (add'l)	04/14/22	J		
63.						Sold	06/23/22	K		
64.	iShares TR MSCI USA Momentum Factor ETF (MTUM)	A	Dividend			Sold	08/09/22	L	D	
65.	iShares INC MSCI Emerging Markets ex China ETF (EMXC)	A	Dividend			Sold	02/09/22	L	B	
66.	Pacer Fds Tr US Cash Cows 100 ETF (COWZ)	C	Dividend	M	T	Sold (part)	04/13/22	L	D	
67.	Schwab Strategic Tr US Large-Cap Growth ETF (SCHG)	A	Dividend	L	T					
68.	Vanguard Index Fds S&P 500 ETF SHS NEW (VOO)	A	Dividend	L	T	Buy	08/09/22	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. Account #2 (H)									
70. American Funds Investment Company of America Fund Class A (AIVSX) (X)	A	Dividend	K	T					
71. Putnam Large-Cap Value Fund Class A (PEYAX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Holcomb, John W.	08/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. Holcomb

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544