AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Horan, Marilyn J.	U.S.District Court for the Western District of Pennsylvania	04/27/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Joseph F. Weiss, Jr. U.S.Courthouse 700 Grant Street Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Power of Attorney	individual, [redacted] member
2.	Partner	DPP MAnagement
3.	Board Member	University Of Pittsburgh School of Law, Alumni Association
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	PA State Judiciary Retirement Pension-no control
2.		
3.		

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Horan, Marilyn J.	04/27/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Commonwealth of Pennsylvania, Retirement Pension	$94,833.60
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Family Bowlaway Inc-wages
2.	2021	Slippery Rock Garden Apts, Inc - wages
3.	2021	Valley View Estates, Inc-director fees
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Northwest Savings Bank	Mortgage-Rental #2	L
2.	Nextier Bank	Guarantor of business Mortgage	O
3.	Nextier Bank	Mortgage - Rental #1	L
4.	Progress Fund	Guarantor of business loan	M
5.	Southwest PA Corporation	Guarantor of business loan	M
6.	Small Business Administration	Guarantor of busines loan	O
7.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
1. Vanguard Index 500 Admiral Shares (VFIAX)	C	Dividend	N	T					
2. IRA--Rollover--Pershing LLC (Hefren-Tilotson)									
3. -Money Market Pershing Government Accornt	A	Int./Div.	J	T					
4. -American Funds Global Balanced (GBLAX)	C	Dividend	M	T					
5. -Capital Builder Fund -Class A (CAIBX)	C	Dividend	M	T					
6. Fundamental Investors Class A (ANCFX)	B	Dividend	M	T					
7. -The Growth Fund of America CLass A (AGTHX)	A	Dividend	M	T					
8. The Income Fund of America Class A (AMECX)	C	Dividend	M	T					
9. New World Fund CLass A (NEWFX)	A	Dividend	L	T					
10. -American Balanced Fund Class A (ABALX) X	C	Int./Div.	N	T					
11. -New Perspective Fund Class A(ANWPX)	A	Dividend	M	T					
12. Europacific Growth Fund Class A (AEPGX)	B	Dividend	L	T	Buy	03/03/21	L		intraportfolio within IRA
13. IRA Pershing LLC (Hefren-Tilotson)									
14. -Money Market Pershing Govenrment Account	A	Dividend	J	T					
15. -AMCAP Fund, Class A (AMCPX)	A	Dividend	L	T					
16. -American Balanced Fund Class A (ABALX)					Sold	03/02/21	L		intraportfolio within IRA
17. -AMerican Mutual Fund Class A (AMRMX)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. -Capital Income Builders Fund Class A (CAIBX)	A	Dividend	K	T					
19. -New World Fund Class A (NEWFX)	A	Dividend	K	T					
20. Family Bowlaway, Inc (50%)	C	Dividend	P1	W					
21. Marnette, Inc. (50%)	C	Dividend	M	W					
22. Valley View Estates, Inc (20%), Butler PA	A	Rent	M	W					
23. 356 Land LP (20%)	A	Dividend	N	W					
24. Personal Residence with Rental #1, Butler County, Butler City, PA	D	Rent	N	W					
25. Rental Property #2, Butler County, Butler City, PA	D	Rent	L	W					
26. Commercial Property-DPP Management, Rental #3,Butler Count Butler, PA	E	Rent	N	W					
27. Nextier Bank Accounts	A	Int./Div.	J	T					
28. PNC Bank Accounts	A	Int./Div.	J	T					
29. Guardian Life Insurance Comapny of America: Universal life	D	Dividend	N	T					
30. Guardian Life Insurance Company of America: Universal Life	D	Dividend	M	T					
31. Guardian Life InsuranceCompany of America/: Universal Life	C	Dividend	L	T					
32. Federated Hermes Prime CSHC11	A	Interest	K	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Horan, Marilyn J.	04/27/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marilyn J. Horan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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