AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hornak, Mark R.	U.S. Dist. Ct., W.D. Pa.	08/03/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 700 Grant Street Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executor	Estate #1
2.	Power of Attorney	Power of Attorney #1
3.	Allegheny County Bar Association	Member, Board of Governors, ex officio
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2011	Agreement regarding retirement and withdrawal from the practice of law at the Buchanan Ingersoll & Rooney PC law firm
2.	1989	Buchanan Ingersoll & Rooney PC Retirement (Pension and Profit Sharing) Plans
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Virginia CLE/Univeristy of Virginia	01/06/2023 to 01/09/2023	Charlottesville, VA	Participate in Trial Advocacy CLE program	meals and lodging
2.	Pennsylvania Bar Association	1/25/2023 to 01/29/2023	Orlando, FL	Attend annual meeting and participate in CLE presentation	travel, meals and lodging
3.	New York Intellectual Property Law Association	03/31/2023 to 04/02/2023	New York, NY	Attend annual dinner meeting of NYILPLA	travel, meals and lodging
4.	Allegheny County Bar Association	6/15/2023 to 6/17/2023	Champion, PA	Attend Bench-Bar Conference and participate in CLE presentations	meals and lodging

5.	Allegheny County Bar Association	09/14/2023 to 09/15/2023	Bedford, PA	Attend Board of Governors meeting	meals and lodging
6.	Academy of Trial Lawyers of Allegheny County	10/11/2023 to 10/13/2023	Farmington, PA	Attend annual meeting and participate in CLE presentations	meals and lodging
7.					
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	SoFi	Refinance loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	PNC Bank Checking Account #1	A	Interest	J	T					
2.	PNC Bank Checking Account #2		None	J	T					
3.	PNC Bank Checking Account #3	A	Interest	J	T					
4.	PNC Bank Checking Account #4	A	Interest	J	T					
5.	PNC Bank Statement Savings Account #1	A	Interest	J	T					
6.	Pittsburgh Firefighters Federal Credit Union Cash Account	A	Interest	J	T					
7.	US Series EE Savings Bonds	B	Interest	K	T					
8.	US Series I Savings Bonds	B	Interest	K	T					
9.	Buchanan Ingersoll & Rooney PC Profit Sharing Plan (H)									
10.	-Northwestern Mutual Life Select 100 Whole Life Policy	C	Dividend	M	T					
11.	-T. Rowe Price Stable Value Fund	A	Interest	J	T					
12.	Estate #1		None							
13.	PNC Bank Money Market Account #1	A	Interest	J	T					
14.	TIAA-CREF Universal Life Insurance Policy	A	Dividend	J	T					
15.	Rollover IRA #1 (H)									
16.	-American Mutual Fund, Class F-3	B	Dividend	M	T	Sold (part)	02/16/23	J	A	
17.						Sold (part)	08/03/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -New World Fund Class F-3	A	Dividend	L	T	Sold (part)	02/16/23	J	A	
19.					Sold (part)	07/11/23	K	C	
20.					Sold (part)	08/03/23	J	A	
21. -Primecap Odyssey Stock Fund	A	Dividend	K	T	Sold (part)	02/16/23	J	A	
22.					Sold (part)	07/11/23	J	B	
23.					Sold (part)	08/03/23	J	A	
24. -Will County (IL) Sch. Dist. #122 Taxable Bonds Series B	A	Interest			Redeemed	10/02/23	J	A	
25. -Dodge & Cox Income Fund	D	Dividend	M	T	Sold (part)	02/16/23	J		
26.					Sold (part)	08/03/23	J		
27.					Sold (part)	12/28/23	J		
28. -PIMCO Short Asset Investment Fund Institutional Class	A	Dividend			Sold (part)	02/16/23	J		
29.					Sold	02/22/23	L		
30. -Vanguard Extended Market Index Fund Admiral Shares	A	Dividend	K	T	Sold (part)	02/16/23	J	A	
31.					Sold (part)	08/03/23	J	A	
32. -Federated Hermes Prime Cash Obligations Fund Service Shares	A	Interest			Buy	02/22/23	L		
33.					Sold	08/02/23	L		
34. -T. Rowe Price Overseas Stock Fund Class I	B	Dividend	K	T	Sold (part)	02/16/23	J	A	

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35.						Sold (part)	07/11/23	K	C	
36.						Sold (part)	08/03/23	J	A	
37.	-University of Pittsburgh Pa Higher Education Taxable Refinance Bonds	A	Interest	K	T					
38.	-The Growth Fund of America Class F-3	B	Dividend	M	T	Sold (part)	02/16/23	J		
39.						Sold (part)	12/28/23	J	A	
40.	-Vanguard Small-Cap Index Fund Admiral Shares	A	Dividend	K	T	Sold (part)	02/16/23	J	A	
41.						Sold (part)	08/03/23	J	A	
42.	-MFS International Growth Fund Class I	A	Dividend	K	T	Sold (part)	02/16/23	J		
43.						Sold (part)	08/03/23	J		
44.	-Federated Hermes Strategic Income Fund Class IS	A	Interest			Sold (part)	02/16/23	J		
45.						Sold	02/22/23	L		
46.	-Goldman Sachs Bank/HSBC Bank USA FDIC Insured Multi-Bank Cash Acct	A	Interest	L	T					
47.	-Cash (residual within IRA #1, R.W. Baird & Co. is the custodian)		None	J	T					
48.	Citizens Bank Checking Account #1	A	Interest	J	T					
49.	Citizens Bank Savings Account #1	A	Interest	J	T					
50.	Federated Hermes Institutional High Yield Bond Fund Inst. CL	C	Dividend	L	T	Buy	02/22/23	L		
51.						Sold (part)	12/28/23	J	A	

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52.					Sold (part)	08/03/23	J	A	
53. Vanguard Short Term Treasury Index Fund Admiral CL	B	Interest	K	T	Buy	08/02/23	L		
54.					Sold (part)	12/28/23	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 2--I hold a power of attorney as to a living, competent member of my immediate [redacted], exercised for the first time in 2017.

Part II, Line 1--This Agreement relates to my retirement from the practice of law at my prior law firm. All payments due to me pursuant to this Agreement were made in 2012, but the Agreement remains in force as to the balance of its terms which arguably include reference to a balance remaining in one Retirement Plan, so it is listed.

Part II, Line 2- -This is the date of my first participation in such plan while engaged in the practice of law. It is reported in Part VII, Line 9. The remaining balance is in the 401k component of that Plan. See note below regarding Part VII, Lines 10-11.

Part VII, Line 2- -This is a non-interest bearing account

Part VII, Line 9--The Plan listed on Line 9 remains open, as it was rolled over into IRA #1 in part. It is a 401(k) Plan. These retirement funds are administered and managed by third-parties. All contributions to them relate solely to services performed prior to my entering judicial service.

Part VII, Lines 10-11. Consistent with the instructions, I am listing the assets held in this Plan, which is reported at Line 9.

Part VII, Line 12- -Estate #1 is that of a deceased [redacted] member, and has no assets, other than its status as the plaintiff/claimant in civil/claims proceedings related to the decedent's death. It had no income in 2023 and no defined value at any point in 2023, as its value is entirely contingent on potential future litigation outcomes.

Part VII, Lines 25-29, 33, 38, 42-45--These assets were sold at no gain or at a loss, so no "gain" is listed. This is the case for any "sold" notation that does not have a corresponding "gain" listed.

Part VII, Line 46--This is an FDIC-insured multi-bank cash account, used to receive cash dividends/capital gains/proceeds of sales and redemptions of listed assets or capital gain attributions to them, to purchase assets in Rollover IRA #1, and also to make cash distributions from Rollover IRA #1..

Part VII, Line 47--This reflects residual cash in the Rollover IRA #1 as of December 31, 2023. This cash was held by the custodian in its own account (and not an account specified to me or an immediate [redacted] member), generated no income, gain or loss, and had a value of less than $1000 as of December 31, 2023.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark R. Hornak

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544