AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Humetewa, Diane J.	U.S. District Court, Arizona	06/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. District Court for the District of Arizona Sandra Day O'Connor U.S. Courthouse 401 W. Washington, St. Suite 625 SPC 81 Phoenix, Arizona 85003-2161		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Humetewa, Diane J.	06/14/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	01-05/2021	Republic Services - Salary [Retired in May 2021]
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Humetewa, Diane J.	06/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Feneral Money Market Fund Class	A	Dividend	J	T					
2. PLDPX PIMCO Fund Class 1-2	A	Dividend	J	T					
3. Bank of America	A	Interest	L	T					
4. First Federal Credit Union	A	Interest	L	T					
5. Northwest Mutual Whole Life Insur.	C	Dividend	M	T					
6. IUSB IShares Core S&P Mid Cap ETF	A	Dividend	J	T					
7. IVV IShares Core S&P 500ETF	B	Dividend	J	T					
8. FTEJX Fidelity Advisor Total Emerging Market Class I	A	Dividend	J	T					
9. HGIX Hartford Core Equity Fund Class A	A	Dividend	J	T					
10. HWDIXHarfrod Schroders World Bond Cap Class I	A	Dividend	J	T					
11. ORSYX Invesco Short Term Class Y	A	Dividend	J	T					
12. JHEQX JP Morgan Hedged	A	Dividend	J	T	Sold (part)	06/04/21	J		
13. MFAIX MSIF Inte'l Advantage Fund Class I	A	Dividend			Sold	12/13/21	J		
14. U.S. Dollar Currency	A	Dividend	J	T					
15. VGISX Virtus Duff & Phelps Global Real Estate Fund Class I	A	Dividend	J	T					
16. WGROXWasatch Core Growth Fund	A	Dividend	J	T	Sold (part)	12/14/21	J		
17. FBTIX Fidelity Advisor Biotech	A	Dividend			Sold	10/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Humetewa, Diane J.	06/14/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. PSDYX Putnam Ultra Short	A	Dividend	J	T					
19. CPODX Morgan Stanley Insight Fund	A	Dividend	J	T					
20. EILTX Parametric Tabs 5-15 Year Ladder Municipal Bond Fund Class 1	A	Dividend	J	T	Sold (part)	06/04/21	J		
21. HLGFX JPMorgan Limited Duration Bond Fund Class I	A	Dividend			Sold	10/04/21	J		
22. MATFX Matthews ASIA Innovators Fund Investor Class	A	Dividend	J	T	Sold (part)	12/14/21	J		
23. ?MLVEX BMO Low Volatility Equity Fund Class I	A	Dividend	J	T					
24. ORNYX Invesco Oppenheimer Rochester High Yield Fund Class Y	A	Dividend			Sold	07/08/21	J		
25. ORNYX Rochester Municipal Opportunities Fund Class Y	A	Dividend	J	T	Sold (part)	07/29/21	J		
26. PICMX Virtus Kar Mid-Cap Growth Fund Class I	A	Dividend			Sold	10/04/21	J		
27. SCCIX Carillon Reams Core Bond Fund Class I	A	Dividend	J	T					
28. TCTHX TIAA-Cref Short Term Bond Fund Advisor Class	A	Dividend			Sold	10/04/21	J		
29. WCMEX WCM Focused Emerging Markets FD Institutional Class	A	Dividend	J	T	Sold (part)	12/14/21	J		
30. FDRXX Fidelity Government Cash Reserves	A	Dividend	J	T					
31. DODGX Dodge & cox Stock	A	Dividend	J	T	Buy	3/23/21	J		
32.					Buy (add'l)	10/04/21	J		
33. DODFX Dodge & Cox Intr'l Stock Fund	A	Dividend	J	T	Buy	10/04/21	J		
34. MFAIX Morgan Stanely Int'l Advantage Class 1	A	Dividend	J	T	Buy	10/04/21	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. PRISX TRowe Price Financial Services	A	Dividend	J	T	Buy	10/04/21	J		
36. HGIIX Hartford Core Equity Class I	A	Dividend	J	T	Buy	10/04/21	J		
37. BGSIX Blackrock Tech Opportunities Fund	A	Dividend	J	T	Buy	10/04/21	J		
38. IEMG IShares Inc Core MSCI Emerging Mkts ETF	A	Dividend	J	T	Buy	12/17/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, in Financial Disclosure 2020, I included the following additional Investments which were sold at an unknown date in 2021:

New World Fund Class F-2
ETF Schwab Stragegic Intern'l Equity
MAPTX Mathews Pacific Tiger Fund Invest.
MRBIX MFS Total Return Bond
SPDR SER TR Bloomberg Barclays

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diane J. Humetewa

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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