AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hurd, David N.	Northern District of New York	03/23/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse, Room 300 10 Broad Street Utica, New York 13501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Hurd, David N.	03/23/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Advisors (no control) (H)									
2. -Madison Investment Advisors Mid Cap Equity SMA	B	Dividend	M	T					
3. -Capital Group International Equity SMA	B	Dividend	M	T					
4. -Kayne Anderson Rudnick Inv. Mgmt. LLC small cap core	B	Dividend	L	T					
5. -Diamond Hill Capital Mgmt., Inc. large-cap equity	B	Dividend	M	T					
6. -Clear Bridge Investments LLC all cap growth	C	Dividend	M	T					
7. -Dodge and Cox Income Mutual Fund	D	Dividend	M	T					
8. -American Funds Capital World Mutual Fund	B	Dividend	M	T					
9. -Pimco Total Return Mutual Fund	C	Dividend	M	T					
10. -Pimco Low Duration Mutual Fund	B	Dividend	L	T					
11. -Templeton Global Bond Mutual Fund	C	Dividend	M	T					
12. Wells Fargo Advisors Tactical ETF (no control) (H)									
13. -GSIE	A	Dividend	K	T					
14. -GSLC	A	Dividend	L	T					
15. -PDBC	D	Dividend	K	T					
16. -PCY	A	Dividend	J	T					
17. -IWM	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. -IWD	A	Dividend	K	T					
19. -ITOT	B	Dividend	M	T					
20. -IVV	A	Dividend	K	T					
21. -IJR	A	Dividend	K	T					
22. -IUSB	B	Dividend	L	T					
23. -AGG	B	Dividend	M	T					
24. -HYG	A	Dividend	K	T					
25. -IEMG	B	Dividend	L	T					
26. -EMB	B	Dividend	K	T					
27. -VO	B	Dividend	M	T					
28. -HYLB	B	Dividend	K	T					
29. Wells Fargo Advisors Fundsource (no control) (H)									
30. -ABEMX	A	Dividend	J	T					
31. -ACVIX	A	Dividend	J	T					
32. -BEXIX	A	Dividend	J	T					
33. -BAGIK	A	Dividend	J	T					
34. -CRSOX	A	Dividend	J	T					

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35. -EIBIX	A	Dividend	J	T					
36. -FTIXX	A	Dividend	J	T					
37. -JCVIX	A	Dividend	J	T					
38. -WMFFX	A	Dividend	J	T					
39. -LEVIX	A	Dividend	J	T					
40. -MEDIX	A	Dividend	J	T					
41. -MWTIX	A	Dividend	J	T					
42. -CIPNX	A	Dividend	J	T					
43. -VSEIX	A	Dividend	J	T					
44. -PTTRX	A	Dividend	J	T					
45. -TRBCX	A	Dividend	J	T					
46. -TMCPX	A	Dividend	J	T					
47. Oneida Savings Bank	A	Interest	L	T					
48. AmeriCU Credit Union	A	Interest	M	T					
49.									
50.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII-Amended report filed in accordance with February 9, 2023 request from the Committee on Financial Disclosure modifies lists of assets previously reported. Underlying assets now listed individually on lines 13-28 and lines 30-46 were previously reported as held in the funds now listed as headers at lines 12 and 29 respectively.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David N. Hurd

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544