AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Immergut, Karin	U.S. District Court, District of Oregon	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III, active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Hatfield Federal Courthouse 1000 SW Third Avenue Portland, Oregon 97204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Classroom Law Project
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1997	Public Employee Retirement System defined benefit plan
2.	2009	State of Oregon: Judicial Pension
3.		

Name of Person Reporting	Date of Report
Immergut, Karin	05/11/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Ball Janik LLP, partnership income
2.	2021	Rare Bird Books, royalties from publisher for book sales
3.	2021	Aspen Lodging Group LLC, Board of Directors compensation
4.	2021	Provenance Hotel Partners Fund I LLC, Board of Directors compensation
5.	2021	[redacted], partnership income

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Immergut, Karin	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Inherited IRA (H)									
2. FMI International FMIJX	A	Dividend			Sold	02/23/21	K		
3. Parnassus Equity Income PRBLX	A	Dividend			Sold	02/23/21	K		
4. Parnassus Mid-Cap PARMX	A	Dividend			Sold	02/23/21	K		
5. Schwab S&P 500 Index Fund SWPPX	A	Dividend			Sold	02/23/21	L		
6. Baird Core Plus Bond Inst BCOIX	A	Dividend			Sold	02/23/21	K		
7. FIDELITY 500 INDEX FUND FXAIX	A	Dividend	L	T	Buy	02/23/21	L		
8. FID INTERMED BOND FTHRX	A	Dividend	K	T	Buy	02/23/21	K		
9. GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL CLASS GIBIX	A	Dividend	K	T	Buy	02/23/21	K		
10. DOUBLELINE CORE FIXED INCOME FUND CLASS I DBLFX	A	Dividend	K	T	Buy	02/23/21	K		
11. PIMCO INCOME FUND CLASS I PIMIX	A	Dividend	J	T	Buy	02/23/21	J		
12. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	J	T	Buy	02/23/21	J		
13. EUROPACIFIC GROWTH FUND CLASS F3 FEUPX	A	Dividend	J	T	Buy	02/23/21	J		
14. ANGEL OAK MULTI-STRATEGY INCOME INSTL ANGIX	A	Dividend	J	T	Buy	02/23/21	J		
15. MFS NEW DISCOVERY VALUE FUND CL I NDVIX	A	Dividend	J	T	Buy	02/23/21	J		
16. VANGUARD VALUE VTV	A	Dividend	J	T	Buy	02/23/21	J		
17. DOUBLINE SHILLER ENHANCED CAP CL I DSEEX	A	Dividend	J	T	Buy	02/23/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Immergut, Karin	05/11/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Schwab Small Cap Index SWSSX	A	Dividend	J	T					
19.	INVESCO DEVELOPING MARKETS FUND CLASS R6 ODVIX	A	Dividend	J	T	Buy	02/23/21	J		
20.	ISHARES MBS ETF MBB	A	Dividend	J	T	Buy	02/23/21	J		
21.	ISHARES 1-3 YEAR TREASURY BOND ETF SHY	A	Dividend	J	T	Buy	02/23/21	J		
22.	TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	J	T	Buy	02/23/21	J		
23.	JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	J	T	Buy	02/23/21	J		
24.	T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	A	Dividend	J	T	Buy	02/23/21	J		
25.	VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	J	T	Buy	10/20/21	J		
26.	SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
27.	Cash Balance Held by Captrust	A	Int./Div.	J	T					
28.	Excelsior Opportunity (H)									
29.	EOF - Semper Vic Partners QP LP		None	M	U					
30.	EOF - Kensico Partners LP		None	K	U	Sold (part)	10/01/21	J		Excelsior
31.	EOF - Kensico Partners LP		None	K	U	Sold (part)	01/29/21	J		Excelsior
32.	EOF - Kensico Partners LP		None	K	U	Sold (part)	04/01/21	J		Excelsior
33.	BH Muni Plus Account (H)									
34.	Alachua Cnty Fla Sc 07/01/2024 5.000% Call 07/01/2023 100.000	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Allegheny Cnty Pa San Auth Swr 06/01/2032 5.000% Call 06/01/2028 100.0	B	Interest	K	T					
36. Arapahoe Cnty Colo Sch Dist No 12/15/2028 2.500% Call 12/15/2027 100.0	A	Interest	K	T					
37. Bridgeport Conn 08/15/2030 5.000% Call 08/15/2028 100.000	A	Interest	J	T					
38. CALIFORNIA STATEWIDE CMNTYS DE 5% 10/01/2034	B	Interest	K	T					
39. Chattanooga Tenn Health Edl & 10/01/2026 5.000% Call 10/01/2025 100.00	A	Interest	K	T					
40. CHRISTIAN CNTY ILL SCH DIST NO 4% 12/01/2037	A	Interest	K	T	Buy	02/16/21	K		
41. Cook Cnty Ill Sch 12/01/2023 5.000% Call 12/01/2021 100.000	A	Interest			Sold	12/01/21	J		
42. COOK CNTY ILL SCH DIST NO 094 4% 12/01/2035	A	Interest	J	T					
43. Crestwood Vlg Ill 12/15/2029 5.000% Call 12/15/2023 100.000	A	Interest	K	T					
44. DELAWARE VALLEY PA REGL FIN AU 2% 10/01/2029	A	Interest	K	T	Buy	01/25/21	K		
45. Denver Colo City & Cnty Ctfs P 06/01/2027 5.000% Call 06/01/2026 100.0	A	Interest			Sold	03/01/21	K		
46. DUNDEE TWP ILL PK DIST 3% 12/15/2030	A	Interest	K	T					
47. Folsom Cordova Calif Uni Sch D 4.000 10/01/35 10/01/2035 4.000% Call 1	A	Interest	J	T					
48. Garvin Cnty Okla Edl Facs Auth 09/01/2025 5.000%	A	Interest	J	T					
49. Garvin Cnty Okla Edl Facs Auth 09/01/2029 5.000% Call 09/01/2028 100.0	A	Interest	K	T					
50. Governors St Univ Ill Ctfs Par 07/01/2024 5.000%	B	Interest	K	T					
51. HAMDEN CONN 5% 08/01/2032	A	Interest	J	T					

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52. HAMDEN CONN 5% 08/01/2035	A	Interest	J	T					
53. Harrisburg Pa Sch Dist 11/15/2027 5.000%	A	Interest	J	T					
54. HILLSBORO ORE 3% 06/01/2031	A	Interest	J	T	Buy	10/18/21	J		
55. Illinois St 05/01/2024 5.000%	A	Interest	J	T					
56. Jackson Cnty Ore Arpt Rev 12/01/2028 5.000% Call 06/01/2026 100.000	A	Interest	K	T					
57. KENDALL KANE & WILL CNTYS ILL 5% 02/01/2029	A	Interest	J	T					
58. Lake Superior St Univ Mich Rev 01/15/2028 4.000%	A	Interest	K	T					
59. Maine St Hsg Auth Mtg Pur 2.600 11/15/34 11/15/2034 2.600% Call 11/15/	A	Interest	K	T					
60. Maine St Hsg Auth Mtg Pur 11/15/2029 3.150% Call 11/15/2027 100.000	A	Interest	J	T					
61. Maine St Hsg Auth Mtg Pur 11/15/2033 3.500% Call 05/15/2027 100.000	A	Interest	K	T					
62. MARYLAND ST CMNTY DEV ADMIN DE 1.8% 09/01/2033	A	Interest	J	T	Buy	07/22/21	J		
63. MULTNOMAH CNTY ORE SCH DIST NO 3% 06/15/2033	A	Interest	J	T	Buy	12/01/21	J		
64. New Hampshire St Business Fin 10/01/2033 2.800%	A	Interest	K	T					
65. NEW JERSEY ECONOMIC DEV AUTH R 5% 11/01/2031	A	Interest	K	T					
66. New Jersey St Edl Facs Auth Re 07/01/2022 4.000%	A	Interest	K	T					
67. NEW JERSEY ST TRANSN TR FD AUT 5% 12/15/2034	A	Interest	K	T	Buy	12/22/21	K		
68. New York St Mtg Agy Homeowner 04/01/2031 3.700% Call 10/01/2027 100.00	A	Interest			Sold	10/01/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. Ohio St Hsg Fin Agy Residentia 09/01/2030 2.900% Call 09/01/2026 100.0	A	Interest	J	T	Sold (part)	12/01/21	J		
70. Oregon St Bd Bk Rev 01/01/2031 5.000% Call 01/01/2026 100.000	A	Interest	J	T					
71. OREGON ST HSG & CMNTY SVCS DEP 1.6% 07/01/2021	A	Interest			Sold	01/04/21	J		
72. OREGON ST HSG & CMNTY SVCS DEP 1.6% 07/01/2021		None			Sold	10/01/21	J		
73. Oregon St Hsg & Cmnty Svcs Dep 07/01/2030 3.300% Call 07/01/2027 100.0	A	Interest	K	T					
74. Port Huron Mich Area Sch Dist 05/01/2028 4.000% Call 05/01/2026 100.00	A	Interest	J	T					
75. Portland Me Arpt Rev 01/01/2026 5.000%	A	Interest	J	T					
76. SOUTHFIELD MASS REDEV AUTH INF 6% 08/15/2033	A	Interest	K	T					
77. TEXAS MUN GAS ACQUISITION & SU 5% 12/15/2032	B	Interest	K	T	Buy	01/27/21	K		
78. Tradition Community 05/01/2025 4.000% Call 05/01/2024 100.000	A	Interest	J	T					
79. Upper Republican Nat Res Dist 12/15/2022 3.000%	A	Interest	K	T					
80. Viridian Mun Mgmt Dist Tex 12/01/2025 4.000% Call 12/01/2023 100.000	A	Interest	K	T					
81. WAUKEGAN ILL 4% 12/30/2034	A	Interest	K	T	Buy	03/08/21	K		
82. West Rankin Miss Util Auth Rev 01/01/2027 5.000% Call 01/01/2025 100.0	A	Interest	J	T					
83. WOODFORD LASALLE LIVINGSTON ET 4% 12/01/2030	A	Interest	K	T	Buy	02/17/21	K		
84. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2024 2.800% Call 06/01/2024 100.0	A	Interest	J	T					
85. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2025 2.875% Call 06/01/2024 100.0	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. Schwab Government Money Market Fund	A	Interest	J	T					
87. Cash held by Belle Haven Bond Investment Co.	A	Interest	J	T					
88. Individual Account 1 (H)									
89. Cash Balance held by Captrust	A	Interest	J	T					
90. American Funds Europacific Growth F3 FEUPX	B	Dividend	M	T	Sold (part)	08/13/21	L		
91. MFS® New Discovery Value I NDVIX	A	Dividend	L	T	Buy (add'l)	08/13/21	K		
92. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	L	T	Buy (add'l)	08/13/21	K		
93. Vanguard Growth ETF VUG	B	Dividend	N	T					
94. Fidelity 500 Index Fund FXAIX	D	Dividend	O	T	Buy (add'l)	08/13/21	L		
95. Doubline Shiller Enhanced CAP DSEEX	B	Dividend	M	T	Sold (part)	08/13/21	K		
96. MFS International Growth MQGIX	B	Dividend	M	T	Sold (part)	08/13/21	K		
97. JP Morgan Large Cap Growth SEEGX		None	K	T	Sold (part)	08/13/21	L		
98. Technology Sector ETF XLK	A	Dividend	L	T	Sold (part)	08/13/21	K		
99. T. Rowe Price US Small Cap Gr TQAIX		None	K	T					
100. Healthcare Sector ETF XLV	A	Dividend			Sold	08/13/21	K		
101. Schwab Small Cap Index SWSSX	B	Dividend			Sold	12/20/21	L		
102. VANGUARD VALUE VTV	B	Dividend	M	T	Buy	08/13/21	L		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. SCHWAB U.S. SMALL-CAP ETF SCHA		None	L	T	Buy	12/20/21	L		
104. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	K	T	Buy	12/03/21	K		
105. VANGUARD SMALL CAP VALUE ETF VBR	A	Dividend	K	T	Buy	12/03/21	K		
106. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	12/03/21	J		
107. IRA R/O Account 1 (H)									
108. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T					
109. Cash Balance held by Captrust	A	Interest	J	T					
110. Fidelity 500 Index Fund FXAIX	A	Dividend	L	T	Sold (part)	10/20/21	J		
111. FID Intermediate Bond FTHRX	A	Dividend	K	T	Buy (add'l)	10/20/21	J		
112. Doubleline Core Fixed Income DBLFX	A	Dividend	K	T	Buy (add'l)	10/20/21	J		
113. Guggenheim Total Return Bond GIBIX	A	Int./Div.	K	T	Buy (add'l)	10/20/21	J		
114. Doubline Shiller Enhanced CAP DSEEX	A	Dividend	J	T	Sold (part)	10/20/21	K		
115. PIMCO Income Fund PIMIX	A	Int./Div.	K	T					
116. JP Morgan Large Cap Growth SEEGX	A	Dividend	J	T	Sold (part)	10/20/21	J		
117. Angel Oak Multi-Strategy Income ANGIX	A	Int./Div.	K	T					
118. EuroPacific Growth FEUPX	A	Dividend	J	T					
119. MFS International Growth MQGIX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Technology Sector ETF XLK	A	Dividend	J	T	Sold (part)	10/20/21	J		
121. ishares 1-3 Year Treasury Bond SHY	A	Int./Div.	J	T					
122. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T					
123. MFS New Discovery Value Fund NDVIX	A	Dividend	J	T					
124. Healthcare Sector Fund XLV	A	Dividend			Sold	01/21/21	J		
125. Schwab Small Cap Index SWSSX	A	Dividend	J	T	Sold (part)	10/20/21	J		
126. ishares MBS ETF MBB		None	J	T	Buy (add'l)	10/20/21	J		
127. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
128. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	J	T	Buy	10/20/21	J		
129. VANGUARD VALUE VTV	A	Dividend	J	T	Buy	10/20/21	J		
130. IRA - Traditional Account 2 (H)									
131. American Funds Europacific Growth F3 FEUPX	A	Dividend	J	T					
132. MFS® New Discovery Value I NDVIX	A	Dividend	J	T					
133. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
134. Cash Balance held by Captrust	A	Interest	J	T					
135. Fidelity 500 Index FXAIX	A	Dividend	K	T	Sold (part)	10/20/21	J		
136. FID Intermediate Bond FTHRX	A	Dividend	K	T	Buy (add'l)	01/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Doubleline Core Fixed Income DBLFX	A	Dividend	K	T	Buy (add'l)	10/20/21	J		
138. Guggenheim Total Return Bond GIBIX	A	Dividend	K	T	Buy (add'l)	10/20/21	J		
139. Doubline Shiller Enhanced CAP DSEEX	A	Dividend	J	T	Sold (part)	10/20/21	J		
140. PIMCO Income Fund PIMIX	A	Dividend	J	T	Buy (add'l)	10/20/21	J		
141. JP Morgan Large Cap Growth SEEGX	A	Dividend	J	T	Sold (part)	01/21/21	J		
142. Angel Oak Multi-Strategy Income ANGIX	A	Dividend	J	T					
143. MFS International Growth MQGIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
144. Technology Sector ETF XLK	A	Dividend	J	T	Sold (part)	01/21/21	J		
145. iShares 1-3 Year Treasury Bond ETF SHY	A	Dividend	J	T	Buy (add'l)	01/21/21	J		
146. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T					
147. Health Care Sector ETF XLV	A	Dividend			Sold	01/21/21	J		
148. Schwab Small Cap Index SWSSX	A	Dividend	J	T	Buy (add'l)	01/21/21	J		
149. iShares MBS ETF MBB	A	Dividend	J	T					
150. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
151. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	J	T	Buy	10/20/21	J		
152. VANGUARD VALUE VTV	A	Dividend	J	T	Buy	01/21/21	J		
153. 401k (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Life Path 2030		None	N	T					
155. 401K Account (H)									
156. Dodge and Cox Balanced	F	Dividend	O	T					
157. Fidelity International Index	E	Dividend	N	T					
158. Pimco Total Return Admin	E	Dividend	O	T					
159. Vanguard Growth Index Fund	G	Dividend	O	T					
160. Vanguard MidCap Value Index Fund	F	Dividend	N	T					
161. Wells Fargo Bank Accounts	B	Interest	O	T					
162. Oregon Venture Fund (formerly Angel Fund)	E	Distribution	N	U					
163. State Judicial Pension (PERS)		None	M	T					
164. [redacted] Account (H)									
165. Schwab US Large-Cap Growth ETF™ SCHG	A	Dividend	K	T					
166. Cash Balance Held by Captrust	A	Interest	J	T					
167. Fidelity 500 Index FXAIX	A	Dividend	J	T	Sold (part)	02/10/21	J		
168. Nuveen Intermediate Dur Muni NUVBX	A	Dividend	J	T	Sold (part)	02/10/21	J		
169. Fidelity Sch Str Tr Inter Muni FLTMX	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
170. Angel Oak Multi-Strategy ANGIX	A	Dividend	J	T	Sold (part)	02/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Tax-Exempt Bond of America TFEBX	A	Dividend	J	T	Buy (add'l)	02/10/21	J		
172. Invesco/Oppenheimer Short-Term Muni Fund ORSYX	A	Dividend	J	T					
173. Doubline Shiller Enhanced CAP DSEEX	A	Dividend	J	T	Sold (part)	02/10/21	J		
174. PIMCO Income Fund PIMIX	A	Dividend	J	T					
175. EuroPacific Growth FEUPX	A	Dividend	J	T	Sold (part)	02/10/21	J		
176. MFS International MQGIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
177. JP Morgan Large-Cap Growth SEEGX	A	Dividend	J	T	Sold (part)	02/10/21	J		
178. Invesco Developing Markets ODVIX	A	Dividend	J	T					
179. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T					
180. Technology Sector ETF XLK	A	Dividend	J	T	Sold (part)	02/10/21	J		
181. Healthcare Sector ETF XLV	A	Dividend			Sold	02/10/21	J		
182. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
183. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	J	T	Buy	10/20/21	J		
184. VANGUARD VALUE VTV	A	Dividend	J	T	Buy	02/10/21	J		
185. MFS NEW DISCOVERY VALUE FUND CL I NDVIX	A	Dividend	J	T	Buy	02/10/21	J		
186. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	J	T	Buy	02/10/21	J		
187. Indv Acct (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. Alaska St Hsg Fin Corp 12/01/2030 5.000% Call 06/01/2029 100.000	A	Interest	K	T					
189. BLACK BELT ENERGY GAS DIST ALA 4% 06/01/2051	A	Interest	K	T	Buy	12/15/21	K		
190. BELLINGHAM MASS 2% 11/15/2023	A	Interest	K	T					
191. Broome cnty y loc de corp r 5% 04/01/2031	A	Interest	K	T					
192. CLACKAMAS CNTY ORE SCH DIST NO 0% 06/15/2032	A	Interest	J	T	Buy	01/05/21	J		
193. Clackamas Cnty Ore Sch Dist No 06/01/2029 5.000% Call 06/01/2027 100.0	A	Interest	K	T					
194. Coachella Calif Redev Agy Succ 09/01/2027 5.000% Call 09/01/2026 100.0	B	Interest	K	T					
195. Cochise Cnty Ariz Cmnty Colleg 07/01/2024 5.000%	B	Interest	K	T					
196. Cook Cnty Ill Sch Dist No 145 4.000 12/01/29 12/01/2029 4.000% Call 12	A	Interest	J	T					
197. Crestwood Vlg Ill 12/15/2026 4.500% Call 12/15/2022 100.000	A	Interest	K	T					
198. Eastmark Cmnty Facs Dist No 1 4.000 07/15/31 07/15/2031 4.000% Call 07	A	Interest	J	T					
199. Idaho Hsg & Fin Assn Single Fa 2.150 01/01/30 01/01/2030 2.150% Call 0	A	Interest	K	T	Sold (part)	07/01/21	J		
200. Indianapolis Ind Lo 06/01/2021 5.000%	A	Interest			Matured	06/01/21	J		
201. Iowa Fin Auth Single Family Mt 07/01/2022 2.000%	A	Interest	K	T					
202. IRVING TEX 3% 09/15/2032	A	Interest	J	T	Buy	07/26/21	J		
203. JACKSON CNTY ORE ARPT REV 4% 12/01/2027	A	Interest	K	T	Buy	11/30/21	K		
204. JACKSON CNTY ORE ARPT REV 5% 12/01/2029	A	Interest	K	T	Buy	12/16/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Kentucky Inc Ky Pub Energy 4.000 02/01/50 02/01/2050 4.000% Call 11/01	A	Interest	J	T					
206. Knox and Warren Cntys Ill Cmnty 4% 12/1/2031	A	Interest	J	T					
207. La Mirada Calif Redev Agy Succ Sub Tax Alloc Ref B 08/15/2024 5.000%	A	Interest	J	T					
208. Lane Cmnty College Ore 06/15/2022 4.000%	A	Interest	K	T					
209. Longmont Colo Elect 12/01/2025 4.000% Call 12/01/2022 100.000	A	Interest	K	T					
210. Madison & Jersey Cntys Ill Cmn 12/01/2023 4.000%	A	Interest	K	T					
211. Maricopa Cnty Ariz Uni Sch Dis 5% 07/01/2032	A	Interest	J	T					
212. Marion Cnty Ore Sch Dist No 10 06/01/2031 5.000% Call 06/01/2029 100.0	A	Interest	K	T					
213. MIAMI-DADE CNTY FLA WTR & SWR 4% 10/01/2033	A	Interest	J	T	Buy	04/09/21	J		
214. Michigan St Hsg Dev Auth 2.300 10/01/29 10/01/2029 2.300% Call 10/01/2	A	Interest	J	T					
215. Midlothian Ill 4.000 01/01/31 01/01/2031 4.000% Call 01/01/2029 100.00	A	Interest	J	T					
216. New Caney Tex In 02/15/2022 6.250%	A	Interest	K	T					
217. New York St Mtg Agy Homeowner 10/01/2025 1.950% Call 04/01/2025 100.00	A	Interest	J	T					
218. Orange Cnty Fla Tourist Dev Ta 10/01/2028 5.000%	A	Interest	J	T					
219. OREGON HEALTH SCIENCES UNIV RE 5% 07/01/2046	A	Interest	K	T	Buy	12/13/21	K		
220. OREGON HEALTH SCIENCES UNIV RE 5% 07/01/2046	A	Interest	K	T	Buy	12/13/21	K		
221. Oregon St Dept Administrative 04/01/2030 5.000% Call 04/01/2027 100.00	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Immergut, Karin	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Oregon St Facs Auth Rev 11/15/2024 5.000% Call 05/15/2024 100.000	A	Interest	K	T					
223. Oregon St Facs Auth Rev 6/1/2033 5.000%	A	Interest	K	T					
224. Oregon St Hsg & Cmnty Svcs Dep 01/01/2026 2.700%	A	Interest	K	T	Sold (part)	01/04/21	J		
225. Oregon St Hsg & Cmnty Svcs Dep 07/01/2021 1.600%	A	Interest			Matured	07/01/21	J		
226. Oregon St Hsg & Cmnty Svcs Dep 07/01/2027 2.950%	A	Interest	J	T	Sold (part)	07/01/21	J		
227. Pawtucket R I 07/15/2028 5.000%	A	Interest	J	T					
228. Port Portland Ore Arpt Rev 07/01/2027 5.000% Call 07/01/2025 100.000	A	Interest	K	T					
229. Port Portland Ore Arpt Rev 05/01/2022	A	Interest	J	T					
230. Rhode Island St Tpk & Brdg Aut 10/01/2023 3.000%	A	Interest	K	T					
231. Rio Blanco Cnty Colo Sch Dist 12/01/2030 5.500% Call 12/01/2028 100.00	A	Interest	J	T					
232. Southwestern Polk Cnty Rural 4.000 12/15/26 12/15/2026 4.000%	A	Interest	J	T					
233. Travis Calif Uni Sch Dist Ctfs 09/01/2028 5.000% Call 09/01/2025 100.0	B	Interest	K	T					
234. TRIWAY OHIO LOC SCH DIST CTFS 4% 12/01/2033	A	Interest	J	T	Buy	06/21/21	J		
235. UMATILLA CNTY ORE FIRE DIST NO 3% 06/15/2029	A	Interest	K	T	Buy	10/08/21	K		
236. UMATILLA CNTY ORE SCH DIST NO 0% 06/15/2027	A	Interest	J	T	Buy	06/11/21	J		
237. Washington Cnty Ore 10/01/2021 5.000%	A	Interest			Matured	10/01/21	J		
238. West Lafayette Ind Sch Bldg Co 07/15/2029 5.000% Call 07/15/2027 100.0	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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239. WESTFIELD IN HIGH SCH 95 BLDG CORP 1ST MTG B B/E S 07/15/2025 3.000%	A	Interest	J	T					
240. Whittier Calif Redev Successor 11/01/2026 5.000% Call 11/01/2025 100.0	A	Interest	K	T					
241. Wisconsin Hsg & Economic Dev A 03/01/2025 2.350%	A	Interest	K	T					
242. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2024 2.500%	A	Interest	K	T					
243. Schwab Government Money Fund	A	Interest	J	T					
244. Individual Account 2 (H)									
245. Cash Balance Held by Captrust	A	Interest	J	T					
246. American Funds Europacific Growth F3 FEUPX	B	Dividend	L	T	Sold (part)	08/13/21	K		
247. MFS® New Discovery Value I NDVIX	A	Dividend	L	T	Buy (add'l)	01/26/21	K		
248. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	K	T	Sold (part)	08/13/21	J		
249. Vanguard Growth ETF VUG	A	Dividend	M	T					
250. FIDELITY 500 INDEX FUND FXAIX	D	Dividend	O	T	Buy (add'l)	08/13/21	L		
251. DOUBLINE SHILLER ENHANCED CAP CL I DSEEX	B	Dividend	L	T	Sold (part)	10/20/21	L		
252. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	L	T	Sold (part)	08/13/21	K		
253. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	K	T	Sold (part)	01/26/21	K		
254. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	K	T	Sold (part)	01/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Immergut, Karin	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
255. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	A	Dividend	K	T	Buy (add'l)	08/13/21	J		
256. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend			Sold	12/20/21	L		
257. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend			Sold	01/26/21	K		
258. SPDR S&P BANK ETF KBE	A	Dividend	K	T	Buy	10/20/21	K		
259. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	L	T	Buy	10/20/21	L		
260. SCHWAB U.S. SMALL-CAP ETF SCHA	A	Dividend	L	T	Buy	12/20/21	L		
261. VANGUARD VALUE VTV	B	Dividend	L	T	Buy	01/26/21	K		
262. IRA R/O 3 (H)									
263. Cash Balance Held by Captrust	A	Interest	J	T					
264. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T	Sold (part)	08/13/21	J		
265. ANGEL OAK MULTI-STRATEGY INCOME INSTL ANGIX	B	Int./Div.	K	T	Buy (add'l)	08/13/21	J		
266. DOUBLELINE CORE FIXED INCOME FUND CLASS I DBLFX	B	Int./Div.	L	T	Buy (add'l)	08/13/21	J		
267. DOUBLINE SHILLER ENHANCED CAP CL I DSEEX	A	Dividend	K	T	Sold (part)	08/13/21	K		
268. EUROPACIFIC GROWTH FUND CLASS F3 FEUPX	A	Dividend	K	T	Sold (part)	08/13/21	J		
269. FID INTERMED BOND FTHRX	B	Int./Div.	L	T	Buy (add'l)	08/13/21	K		
270. FIDELITY 500 INDEX FUND FXAIX	B	Dividend	M	T	Sold (part)	08/13/21	K		
271. GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL GIBIX CLASS	B	Int./Div.	L	T	Buy (add'l)	08/13/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Immergut, Karin	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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272. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend			Sold	01/21/21	J		
273. ISHARES 1-3 YEAR TREASURY BOND ETF SHY	A	Int./Div.	K	T					
274. ISHARES MBS ETF MBB	A	Int./Div.	K	T	Buy	08/13/21	J		
275. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	J	T	Sold (part)	01/21/21	K		
276. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	K	T	Sold (part)	08/13/21	J		
277. MFS NEW DISCOVERY VALUE FUND CL I NDVIX	A	Int./Div.	J	T					
278. PIMCO INCOME FUND INSTITUTIONAL FUND PIMIX	B	Int./Div.	K	T	Buy (add'l)	10/20/21	J		
279. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	K	T	Buy (add'l)	01/21/21	J		
280. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
281. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	J	T	Sold (part)	01/21/21	J		
282. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
283. VANGUARD VALUE VTV	A	Dividend	K	T	Buy	01/21/21	J		
284. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	K	T	Buy	10/20/21	J		
285. IRA 4 - Traditional (H)									
286. Cash Balance Held by Captrust	A	Interest	J	T					
287. American Funds Europacific Growth F3 FEUPX	A	Dividend	J	T	Sold (part)	01/21/21	J		
288. MFS® New Discovery Value I NDVIX	A	Dividend	J	T	Buy (add'l)	01/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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289. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
290. ANGEL OAK MULTI-STRATEGY INCOME INSTL ANGIX	A	Int./Div.	J	T					
291. DOUBLELINE CORE FIXED INCOME FUND CLASS I DBLFX	A	Int./Div.	K	T	Buy (add'l)	01/26/21	J		
292. DOUBLINE SHILLER ENHANCED CAP CL I DLTNX	A	Dividend	J	T	Sold (part)	10/20/21	J		
293. FID INTERMED BOND FTHRX	A	Int./Div.	K	T	Buy (add'l)	08/05/21	J		
294. FIDELITY 500 INDEX FUND FXAIX	A	Dividend	K	T	Sold (part)	08/05/21	J		
295. GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL GIBIX CLASS	A	Int./Div.	K	T	Buy (add'l)	01/26/21	J		
296. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend			Sold	01/21/21	J		
297. ISHARES 1-3 YEAR TREASURY BOND ETF SHY	A	Int./Div.	J	T					
298. ISHARES MBS ETF MBB	A	Int./Div.	J	T	Buy (add'l)	01/21/21	J		
299. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	J	T	Sold (part)	01/21/21	J		
300. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	J	T					
301. PIMCO INCOME FUND INSTITUTIONAL FUND PIMIX	A	Int./Div.	J	T	Buy (add'l)	10/20/21	J		
302. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	J	T	Buy (add'l)	01/21/21	J		
303. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	A	Dividend	J	T	Sold (part)	10/20/21	J		
304. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	J	T	Sold (part)	01/21/21	J		
305. VANGUARD VALUE VTV	A	Dividend	J	T	Buy	01/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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306. SPDR S&P REGIONAL BANKING ETF KRE	A	Dividend	J	T	Buy	10/20/21	J		
307. VANGUARD MEGA CAP GROWTH ETF MGK	A	Dividend	J	T	Buy	10/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Immergut, Karin	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Karin Immergut

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544