AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Jackson, Richard B.	UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	03/22/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2021 **to** 12/31/2021
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	PERA STATE OF COLORADO	$75,299.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	SELF-EMPLOYED TRAVEL AGENT
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Jackson, Richard B.	03/22/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. IRA #1									
2. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
3. -BNY MELLON INSTITUTIONAL S AND P 500	A	Dividend	L	T					
4. -BNYMellon International Small Cap		None			Sold	10/13/21	K	D	
5. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
6. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	L	T	Buy	11/18/21	J		
7. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	N	T	Buy	06/02/21	M		
8. -BNY MELLON NATIONAL SHORT TERM MUNICIPAL BOND FUND	A	Dividend	K	T					
9. -BNYMellon HIGH YIELD FUND	A	Dividend	J	T					
10. -BNYMellonFLOATING RATE INC	A	Dividend	J	T					
11. -BNY Mellon Global Real Estate	A	Dividend	J	T					
12. -BNYMellon DIVERSIFIED EMR MKTS	A	Dividend	K	T	Buy	06/02/21	K		
13. -dfa Commodity strategy Portfolio	A	Dividend	J	T	Buy	12/30/21	J		
14. -TCW EMERGING MKTS INCOME FUND	A	Dividend	K	T	Buy	01/26/21	J		
15. -ISHARES MSCI EAFE INDEX FD	A	Dividend	L	T					
16. -ISHARES msci eafe Small Cap Index	A	Dividend	K	T	Buy	10/14/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

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17. -ishares Russell Midcap	A	Dividend	K	T					
18. -ishares russell 2000 etf	A	Dividend	K	T	Buy	01/25/21	K		
19. BROKERAGE ACCOUNT #1									
20. -US TREASURY NOTE 2% 11/21		None			Sold	11/15/21	K	A	
21. -U.S.TREASURY NOTE 2.25% 4/30/21		None			Sold	04/30/21	K	A	
22. -U.S.TREASURY NOTE 2% 2/15/22	A	Interest	L	T					
23. -US TREASURY NOTE 2% 2/23	A	Interest	K	T					
24. -BERKSHIRE HATHAWAY INC 2/11/23	A	Interest	K	T					
25. -INTEL CORP 12/15/22	A	Interest	K	T					
26. -ISHARES TR MIDCAP	B	Dividend	L	T					
27. -ISHARES MSCI EAFE INDX FUND	C	Dividend	M	T					
28. -ishares msci eafe small cap	A	Dividend	L	T	Buy	04/02/21	L		
29. -ISharesCore MSCI Emerging Mkts	A	Dividend	K	T					
30. -IShares Russell 2000 Index Fund	A	Dividend	M	T	Buy	03/09/21	K		
31. -DFA EMERGING CORE EQUITY		None			Sold	02/22/21	M	E	
32. -BNYMellon instit S AND P 500 STOCK INDEX	E	Dividend	P1	T					
33. -BNY Mellon DIVERSIFIED INTL	A	Dividend	J	T					

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34. -BNY Mellon HIGH YIELD 1	D	Dividend	M	T					
35. -BNY Mellon FLOATING RATE INCOME FUND	D	Dividend	M	T					
36. -BNY Mellon Glbl Dyn Bnd	C	Dividend	L	T					
37. -BNY Mel Global Real Estate	A	Dividend	K	T					
38. -BNY Mel International Small Cap		None			Sold	03/31/21	L	D	
39. -BNY Mellon SELECT MGER S/CAP	A	Dividend	L	T					
40. -BNY mellon Select Mgr s/cap Growth	A	Dividend	K	T					
41. -BNY MELLON INCOME STOCK FUND		None			Sold	03/02/21	K	A	
42. -BNY MELLON CORP BOND	B	Dividend	K	T					
43. -BNY Mellon Bond Fund	D	Dividend	O	T	Buy (add'l)	05/04/21	M		
44. -Blackrock Inc 3/18/24	B	Interest	L	T					
45. -CATERPILLAR INC 2.6% 6/22		None			Sold	03/15/21	K	A	
46. -gqg partners emerging mkts	A	Dividend	M	T	Buy	05/04/21	M		
47. -TCW Emerging Markets income fund	B	Dividend	M	T					
48. -Van Eck Cm Commodity Index	A	Dividend	K						
49. -Wells Fargo & Co 3/4/21		None			Sold	03/04/21	L	A	
50. 401(k) #1									

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51. -PERAdvantage Income Fund	B	Dividend	K	T					
52. -PERAdvantage Fixed Income	B	Dividend	K	T					
53. -PERAdvantgage US Large Cap Stk	B	Dividend	J	T					
54. -PERAdvantage Intl Stock Fd	B	Dividend	J	T					
55. BROKERAGE ACCOUNT #2									
56. -CHEVRON CORP	B	Dividend	K	T					
57. -EXXON MOBIL	C	Dividend	K	T					
58. -UNION PAC CORP	A	Dividend	K	T					
59. -WALT DISNEY CO	A	Dividend	K	T					
60. -STARBUCKS CORP	C	Dividend	O	T					
61. -Medtronic	A	Dividend	J	T					
62. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
63. -PEPSICO INC	A	Dividend	L	T					
64. -PROCTER & GAMBLE CO	B	Dividend	K	T					
65. -JOHNSON & JOHNSON	B	Dividend	K	T					
66. -PFIZER INC	A	Dividend	L	T					
67. -JP MORGAN CHASE & CO	A	Dividend	K	T					

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68. -ACCENTURE PLC	A	Dividend	L	T					
69. -Abbvie Inc	A	Dividend	J	T					
70. -American Tower Corp	A	Dividend	K	T					
71. -APPLE INC	A	Dividend	M	T					
72. -Bristol Myers	A	Dividend	J	T					
73. -Broadcom Inc	B	Dividend	L	T					
74. -CME Group Inc	A	Dividend	J	T					
75. -Deere and CO	A	Dividend	K	T					
76. -DFA commodity strategy portolio	A	Dividend	K	T	Buy	12/30/21	K		
77. -Eaton Corp	A	Dividend	J	T					
78. -Equinix Inc	A	Dividend	K	T					
79. -Exelon Corp	A	Dividend	J	T					
80. -GOOGLE INC/ALPHABET		None	L	T					
81. -SALESFORCE.COM INC		None	L	T					
82. -S & P Global Inc	A	Dividend	K	T					
83. -United Healthgroup Inc	A	Dividend	K	T					
84. -Truist Finl Corp	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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85. -ISHARES MSCI EAFE INDEX FD	C	Dividend	M	T					
86. -Ishares Core S & P Midcap 400	B	Dividend	M	T					
87. -Ishares Core S & P Small cap	A	Dividend	M	T	Buy	12/08/21	J		
88. -IShares Core MSCI Emerging Mkts	A	Dividend	K	T					
89. -IShares MSCI Emerging Mkts	A	Dividend	L	T	Buy	12/08/21	K		
90. -Metro Water Reclamaton Dis Co swr revenue	B	Interest	L	T	Buy	12/10/21	L		
91. -Microsoft Corp	A	Dividend	L	T					
92. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND		None			Sold	06/21/21	L	F	
93. -Visa Inc	A	Dividend	K	T					
94. -EL PASO CTY CO REV	B	Interest	L	T					
95. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	N	T	Buy (add'l)	06/18/21	K		
96. -TUALATIN HILL OR 6.21		None			Sold	06/01/21	K	A	
97. -Platte River COPwer 5% 6/1/24	A	Interest	K	T					
98. -AMAZON .COM INC	A	Dividend	M	T					
99. -Adams CO 12 Five Star Sch 5.5% 12/15/22	A	Interest	L	T					
100. -ADOBE SYSTEMS INC		None	L	T					
101. -Arapahoe Cty CO School Dstr 5% 5/25	C	Interest	L	T					

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102. -Aurora CO 5% 8/1/23	A	Interest	L	T					
103. -Boulder Valley Sch Dis 4% 12/1/26	B	Interest	L	T					
104. -CELANESE CORP	A	Dividend	K	T					
105. -Colo Water Resources & Power 5% 3/1/26	C	Interest	L	T	Buy	07/20/21	L		
106. -Denver City Cnty 5% 9/25	A	Interest	L	T					
107. -Douglas Cnt Co Sch 5% 12/15/21		None			Sold	12/15/21	L	A	
108. -EAGLE GARFIELD 4.0% 12/22	A	Interest	L	T					
109. -Englewood CO 5% 12/1/24	A	Interest	L	T					
110. -FACEBOOK INC		None	L	T					
111. -DENVER CITY & CTY CO SCH 12/19/2021 4%		None			Sold	12/01/21	L	A	
112. -ABBOTT LABS	A	Dividend	L	T					
113. -BNYMellon Floating Rate Income Fund	B	Dividend	L	T					
114. -BNYMellon HIGH YIELD FD	A	Dividend	K	T	Sold (part)	03/22/21	K	A	
115. Regional Transprtn Dist CO Sales 5% 11/1/26		None			Sold	03/11/21	L	A	
116. -Sheridan CO 5% 12/1/24	B	Interest	L	T	Buy	06/21/21	L		
117. -TCW EMERGING MKTS INCOME FUND	D	Dividend	M	T	Buy	06/21/21	L		
118. -Univ of Co Enterprise 5% 6/1/22	A	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Jackson, Richard B.	03/22/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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119. -Univer of Colorado 5% 6/28	B	Interest	L	T					
120. -univer of Colorado Enterprise 5% 6/1/33	B	Interest	L	T	Buy	06/03/21	L		
121. -Weld CO School Dstr 5% 12/24	B	Interest	L	T					
122. -Wiggins CO School Dstr 5% 12/26	B	Interest	L	T					
123. IRA #2									
124. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T					
125. -BNYMEllon Bond Fund	B	Dividend	L	T					
126. -BNYMellon High Yield Fund	A	Dividend	K	T					
127. -BNY MELLON Select ManagerSC FUND	A	Dividend	J	T					
128. -BNYMellon DIVERSIFIED INTERNATIONAL FUND	A	Dividend	K	T	Buy	01/22/21	J		
129. -BNYMellon Emerging Market Fund	A	Dividend	K	T	Buy	01/22/21	J		
130. -BNYMellon Global real estate sec	A	Dividend	J	T					
131. -BNYMellon Midcap Index	A	Dividend	K	T					
132. -BNYMellon Inst S AND P 500 STOCK INDEX FD	B	Dividend	M	T					
133. -BNY Mellon select manager small cap Growth	A	Dividend	J	T					
134. -IShares MSCI Eafe Small Cap Index	A	Dividend	J	T					
135. -Pacific Funds Floating Rate Income	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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136. -TCW Emerging Markets income fund	A	Dividend	K	T					
137. -Van eck Com Commodity	A	Dividend	K	T					
138. -Vanguard Small Cap Vipers	A	Dividend	J	T	Buy	08/23/21	J		
139. BROKERAGE ACCOUNT #3									
140. -BNYMellon Global stock Fund	A	Dividend	K	T					
141. BROKERAGE ACCOUNT #4									
142. -CIT GROUP INC NEW	A	Dividend	J	T					
143. -Diversified Real Asset income Fnd	A	Dividend	J	T					
144. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
145. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
146. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
147. -PLUM CREEK TIIMBER CO INC	A	Dividend	J	T					
148. -WELLSFARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
149. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
150. TRUST #1									
151. -SPDR S AND P 500 FD	A	Dividend	K	T					
152. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	K	T					

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153. -BNYMellon Mun Opportunity Fund	A	Dividend	J	T					
154. -bny mellon natl short term mun bond	A	Dividend	J	T					
155. -BNY MELLON SMALL CAP MULTI STRATEGY		None			Sold	12/23/21	J	A	
156. -BNYMellon HIGH YIELD FD	A	Dividend	J	T					
157. -BNYMellon FLOATING RATE INCOME FUND	A	Dividend	J	T					
158. -BNYMellon GLOBAL REAL ESTATEFUND	A	Dividend	J	T					
159. -DFA EMERGING MARKETS CORE EQUITY		None			Sold	02/22/21	J	A	
160. -ishares Russell Midcap	A	Dividend	J	T					
161. -ishares MSCI Eafe	A	Dividend	J	T					
162. -ishares MSCI Eafe small cap	A	Dividend	J	T					
163. -ishares core msci emerging markets	A	Dividend	J	T	Buy	01/25/21	J		
164. -TCW EMERGING MKTS INCOME FD	A	Dividend	J	T					
165. -Van Eck Cm Commodity	A	Dividend	J	T					
166. -vanguard small cap vipers	A	Dividend	J	T	Buy	12/27/21	J		
167. TRUST #2									
168. -SPDR S&P500ETF	B	Dividend	M	T					
169. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					

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170. -IShares MSCI Eafe Small Cap Index	A	Dividend	J	T					
171. -Ishares Russell MidCap Index	A	Dividend	K	T					
172. -IShares Russell 2000 Index	A	Dividend	K	T	Buy (add'l)	01/25/21	J		
173. -TCWEMERGING MKTS INCOME FUND	A	Dividend	K	T					
174. -BNYMellon FLOATING RATE INCOME FUND	A	Dividend	K	T					
175. -BNYMellon Diversified Emerging Mkts	A	Dividend	K	T	Buy (add'l)	01/22/21	J		
176. -BNYMellon Global Real Estate	A	Dividend	J	T					
177. -BNY Mel High Yield	A	Dividend	J	T					
178. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	J	T					
179. -Van Eck CM Commodity Index Fund	A	Dividend	J	T					
180. -BNY Mellon Municipal Opport Fund	A	Dividend	K	T					
181. -BNYMellon Natl Intermed Muni Bond Fund	B	Dividend	M	T	Buy (add'l)	08/19/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard B. Jackson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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