AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Johnson, Alan B.	District Court - Wyoming	08/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Judge U.S. District Court	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
2120 Capitol Avenue, Suite 2018 Cheyenne, WY 82003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Advisory Board	Cheyenne Regional Medical Center, Home Health Services
2.	Trustee	Trust #1 See Explanation and Description Part VIII and Part VII
3.	Trustee	Trust #2 See Explanation and Description Part VIII and Part VII
4.	Trustee	Trust #3 See Explanation and Description Part VIII and Part VII
5.	Member, Local Discussion Group	Young Men's Literary Club
6.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	State of Wyoming - Judicial Reitrement with former employer, firm managed $5,620.39 monthly
2.	2021	Wyoming State Retirement System benefit account, firm managed
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	State Employers Retirement - Monthly	$406.71
2.	2021	DFAS - Retirement - Monthly	$1,598.85
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Johnson, Alan B.	08/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1 (H)									
2. - CHASE BANK ACCOUNT	A	Interest	M	T					
3. - CHASE BANK ACCOUNT	A	Interest	J	T					
4. CHASE INVESTMENT SERVICES MONEY MARKET ACCT.	A	Interest	K	T					
5. TRUST #2 "[redacted] PREMARTITAL REVOCABLE TRUST" (H)									
6. - ANB BANK	A	Interest	M	T					
7. - WELLS FARGO	A	Interest	J	T					
8. ANB BANK - [redacted] ACCT.	A	Interest	K	T					
9. TRUST #3 "[redacted] REV. TRUST (H)									
10. - FIDELITY BROKERAGE ACCT. MONEY MARKET ACCT.	A	Int./Div.	J	T					
11. - DFA INTERNATIONAL VECTOR EQUITY PORT	B	Int./Div.	K	T	Sold (part)	04/22/21	J	B	
12. - DFA US CORE EQUITY II	C	Int./Div.	M	T	Sold (part)	04/05/21	K	E	
13. - DFA EMERGING MRKTS CORE EQU PORTF	B	Int./Div.	L	T					
14. - DFA INTERNATIONAL CORE EQUITY	C	Int./Div.	L	T					
15. - DIMENSIONAL ETF TRUST INTL CORE EQT	A	Int./Div.	J	T	Buy	10/08/21	J		
16.					Buy (add'l)	10/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Johnson, Alan B.	08/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17.						Buy (add'l)	12/20/21	J		
18.	- DIMENSIONAL ETF TRUST INTL CORE EQT	C	Int./Div.	J	T	Buy	12/20/21	J		
19.	- FIDELITY INTERMEDIATE MUNI INCOME	A	Int./Div.	J	T	Buy (add'l)	08/06/21	J		
20.						Buy (add'l)	08/19/21	J		
21.						Buy (add'l)	12/17/21	J		
22.	- FIDELITY EMERGING MARKETS INDEX FUND	A	Int./Div.			Sold (part)	04/05/21	J		
23.						Sold	10/28/21	J	B	
24.	- FIDELITY TOTAL MARKET INDEX FUND	A	Int./Div.	J	T					
25.	- FIDELTY INTERNATL INDEX FUND					Sold	04/05/21	J	C	
26.	- FIDELITY REAL ESTATE INDEX FUND	A	Int./Div.			Sold	11/04/21	J	B	
27.	- FIDELITY US BOND INDEX FUND	D	Int./Div.	N	T	Buy (add'l)	01/07/21	J		
28.						Buy (add'l)	01/21/21	J		
29.						Buy (add'l)	02/04/21	J		
30.						Buy (add'l)	02/18/21	J		
31.						Buy (add'l)	03/04/21	J		
32.						Buy (add'l)	03/18/21	J		
33.						Buy (add'l)	04/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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34.					Buy (add'l)	04/08/21	J		
35.					Buy (add'l)	04/22/21	J		
36.					Buy (add'l)	05/06/21	J		
37.					Buy (add'l)	05/20/21	J		
38.					Buy (add'l)	06/04/21	J		
39.					Sold (part)	07/22/21	J	A	
40.					Buy (add'l)	09/16/21	J		
41.					Buy (add'l)	10/14/21	J		
42.					Buy (add'l)	10/21/21	J		
43.					Buy (add'l)	11/04/21	J		
44.					Buy (add'l)	11/18/21	J		
45.					Buy (add'l)	12/17/21	J		
46.	- PIMCO HIGH YIELD ADMINISTRATIVE SHS	A	Int./Div.	J	T	Buy (add'l)	05/06/21	J		
47.						Buy (add'l)	06/17/21	J		
48.						Sold (part)	09/16/21	J	A	
49.						Buy (add'l)	10/07/21	J		
50.						Buy (add'l)	10/14/21	J		

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51. - VANGUARD INDEX FDS VANGUARD REIT ETF	A	Int./Div.	K	T	Buy (add'l)	11/05/21	J		
52. - VANGUARD HI YIELD CORPORATE ADMIRAL	C	Int./Div.	M	T	Buy (add'l)	04/05/21	J		
53.					Buy (add'l)	04/22/21	J		
54.					Buy (add'l)	09/16/21	J		
55.					Buy (add'l)	12/17/21	J		
56. - VANGUARD INTERMEDIATE TAX EXEMPT ADMIRIAL	B	Int./Div.	M	T	Buy (add'l)	04/05/21	J		
57.					Buy (add'l)	07/08/21	J		
58. - VANGUARD WHITEHALL FUNDS EMERGING MKT	C	Int./Div.	M	T	Buy (add'l)	04/06/21	K		
59.					Buy (add'l)	08/09/21	J		
60.					Buy (add'l)	09/17/21	J		
61.					Buy (add'l)	10/08/21	J		
62.					Buy (add'l)	10/15/21	J		
63.					Buy (add'l)	12/20/21	J		
64. - VANGUARD INTL EQUITY INDEX FDS GLOBAL	B	Int./Div.	K	T	Buy (add'l)	11/05/21	J		
65. - PWRSHRS RAFI US 1500	A	Int./Div.			Sold	04/06/21	J	D	
66. NEW YORK LIFE INS WHOLE LIFE	A	Int./Div.	M	T					
67. WYOMING DEFERRED COMP (H)									

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68.	- WRS LARGE CAP US EQUITY FUND	A	Int./Div.	L	T	Redeemed (part)	12/31/21	J		RETIREMENT DIST.
69.	- WRS INTERNATIONAL EQUITY FUND	A	Int./Div.	K	T	Redeemed (part)	12/31/21	J		RETIREMENT DIST.
70.	- WRS SMALL/MID CAP US EQUITY FUND	A	Int./Div.	O	T	Redeemed (part)	12/31/21	K		RETIREMENT DIST.
71.	XCEL ENERGY	B	Int./Div.	L	T					
72.	AXA EQUITABLE LIFE INS COMPANY - WHOLE LIFE	A	Int./Div.	J	T					
73.	UNITED STATE AUTOMOBILE ASSOC.	A	Int./Div.	J	T					
74.	LOAN OAK FUND, LLC	E	Int./Div.	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Cheyenne Regional Medical Center is the local hospital organization. The provisions of nursing and care services to patients in their home is one of the services provided through Cheyenne Regional Medical Center. As part of the concern for quality of patient care, members of the public as well as medical professionals have been placed on the advisory board which meets periodically.

Young Men's Literary Club is a small organization (less than 50 including honorary and emeriti) that meets weekly during the fall, winter and spring to discuss current events and review assigned papers. The organization has existed for the past 110 years. The Secretary/Treasurer recieves nominal dues for rent of the meeting room and keeps meeting minutes that are deposited and preserved in the State Archives.

Trust #1 contains financial investments listed on Schedule VII that I believe are the sole financial interest of the trust. No portion of the trust is derived from my assets, income or activities. During 2010, I became successor trustee of the trust and have therefore listed on Schedule VII. I am not an income or principal [redacted] of the trust, however, my [redacted] are [redacted] of the trust. During the reporting period I did not derive or expect to derive a benefit from the trust.

Trust #2 and Trust #3 were created to implement premarital and estate planning. It is anticipated that additional assets will be placed in the trusts in the future. Trust #2 holds and controls items listed on Schedule VII, back accounts at ANB Bank and Wells Fargo Natinal Bank. Trust #3 holds and controls items isted on schedule VII.

Name of Person Reporting	Date of Report
Johnson, Alan B.	08/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan B. Johnson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544