AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Johnson, Alan B.	District Court - Wyoming	09/19/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Judge U.S. District Court	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
2120 Capital Avenue, Suite 2018 Cheyenne, WY 82003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Advisory Board	C.F.D. Building Authority Committee
2.	Trustee	Trust #1 See Explanation and Description Part VII and Part VIII
3.	Trustee	Trust #2 See Explanation and Description Part VII and Part VIII
4.	Trustee	Trust #3 See Explanation and Description Part VII and Part VIII
5.	Member, Local Discussion Group	Young Men's Literary Club

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2023	State of Wyoming - Judicial retirement with former employer, firm managed $6,111.08 monthly
2.	2023	Wyoming State Retirment System benefit account, Firm managed
3.		

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Johnson, Alan B.	09/19/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2023	State Employers Retirement - Monthly	$406.71
2. 2023	DFAS - Retirement - Monthly	$1,835.12
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Johnson, Alan B.	09/19/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. TRUST #1 (H)									
2. - CHASE BANK ACCOUNT	A	Interest	M	T					
3. - CHASE BANK ACCOUNT	A	Interest	J	T					
4. - JP MORGAN SECURITIES MONEY MARKET ACCOUNT	A	Interest	K	T					
5. TRUST #2 "ALAN B. JOHNSON PREMARITIAL REVOCABLE TRUST" (H)									
6. - ANB BANK	A	Interest	M	T					
7. - WELLS FARGO	A	Interest	J	T					
8. - ANB BANK - ACCT.	A	Interest	L	T					
9. TRUST #3 "REV. TRUST" (H)									
10. - FIDELITY BROKERAGE ACCT. MONEY MARKET ACCT.	A	Int./Div.	J	T					
11. - DFA INTERNATIONAL VECTOR EQUITY PORT	B	Int./Div.	K	T					
12. - DFA US CORE EQUITY II	C	Int./Div.	M	T					
13. - DFA INTERMEDIATE TERM MUNI BD ISTL	A	Int./Div.	M	T					
14. - DFA INVESTMENT GRADE PORTFOLIO INSTL	D	Int./Div.	N	T					
15. - DFA EMERGING MRKTS CORE EQU PRTF	B	Int./Div.	K	T					
16. - DFA INTERNATIONAL CORE EQUITY	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Johnson, Alan B.	09/19/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
17. - DIMENSIONAL ETF TRUST EMGR CRE EQT	A	Int./Div.	J	T	Buy (add'l)	08/11/23	J		
18. - DIMENSIONAL ETF TRUST INTL CORE EQT	A	Int./Div.	K	T	Buy (add'l)	08/18/23	J		
19. - DIMENSIONAL ETF TRUST US CORE EQUITY 2	A	Int./Div.	K	T	Buy (add'l)	01/06/23	J		
20.					Buy (add'l)	05/08/23	J		
21.					Buy (add'l)	08/11/23	J		
22.					Buy (add'l)	10/06/23	J		
23.					Buy (add'l)	11/10/23	J		
24. - DIMENSIONAL ETF TRUST US CORE EQT MKT	A	Int./Div.	K	T					
25. - DIMENSIONAL ETF TRUST EMERGING MARKETS	A	Int./Div.	J	T					
26. - FIDELITY INTERMEDIATE MUNI INCOME	A	Int./Div.	K	T	Buy (add'l)	03/02/23	J		
27.					Buy (add'l)	05/05/23	J		
28.					Buy (add'l)	05/18/23	J		
29.					Buy (add'l)	06/01/23	J		
30.					Buy (add'l)	07/06/23	J		
31.					Buy (add'l)	09/14/23	J		
32.					Buy (add'l)	11/16/23	J		
33.					Buy (add'l)	12/07/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
34.					Buy (add'l)	12/21/23	J		
35.					Buy (add'l)	12/29/23	J		
36. - FIDELITY TOTAL MARKET INDEX FUND	A	Int./Div.	J	T					
37. - FIDELITY US BOND INDEX FUND	A	Int./Div.	L	T	Buy (add'l)	01/05/23	J		
38.					Buy (add'l)	02/03/23	J		
39.					Buy (add'l)	02/16/23	J		
40.					Buy (add'l)	03/02/23	J		
41.					Buy (add'l)	05/18/23	J		
42.					Buy (add'l)	06/01/23	J		
43.					Buy (add'l)	06/22/23	J		
44.					Buy (add'l)	07/06/23	J		
45.					Buy (add'l)	09/14/23	J		
46.					Buy (add'l)	09/21/23	J		
47.					Buy (add'l)	10/19/23	J		
48.					Buy (add'l)	11/16/23	J		
49.					Buy (add'l)	12/07/23	J		
50.					Buy (add'l)	12/21/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51.						Buy (add'l)	12/29/23	J		
52.	- FIRST FINL BK CD 5.25%	B	Interest	M	T	Buy	08/29/23	L		
53.	- ISHARES TR IBOXX HI YD ETF	D	Int./Div.	M	T					
54.	- ISHARES TR JP MOR EM MK ETF	D	Int./Div.	M	T					
55.	- PIMCO HIGH YIELD ADMINISTRATIVE SHS	A	Int./Div.	J	T	Buy (add'l)	01/05/23	J		
56.						Buy (add'l)	03/16/23	J		
57.						Buy (add'l)	04/10/23	J		
58.						Buy (add'l)	05/05/23	J		
59.						Sold (part)	05/18/23	J	A	
60.						Buy (add'l)	09/21/23	J		
61.						Buy (add'l)	10/05/23	J		
62.						Buy (add'l)	11/09/23	J		
63.						Buy (add'l)	12/07/23	J		
64.						Buy (add'l)	12/29/23	J		
65.	- VANGUARD INDEX FDS VANGUARD REIT ETF	A	Int./Div.	K	T	Buy (add'l)	03/07/23	J		
66.						Buy (add'l)	04/11/23	J		
67.						Buy (add'l)	10/06/23	J		

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68.	- VANGUARD HI YIELD CORPORATE ADMIRAL	A	Int./Div.	J	T	Buy (add'l)	05/18/23	J		
69.	- VANGUARD WHITEHALL FUNDS EMERGING MKT	A	Int./Div.	J	T	Buy (add'l)	03/17/23	J		
70.						Buy (add'l)	04/11/23	J		
71.						Buy (add'l)	05/08/23	J		
72.						Buy (add'l)	05/19/23	J		
73.						Buy (add'l)	09/15/23	J		
74.						Buy (add'l)	10/06/23	J		
75.	- VANGUARD GLOBAL EX-US REAL ESTATE	A	Int./Div.	K	T	Buy (add'l)	03/17/23	J		
76.						Buy (add'l)	04/11/23	J		
77.						Buy (add'l)	06/02/23	J		
78.						Buy (add'l)	10/06/23	J		
79.	- WELLS FARGO BANK NATL ASSN CD	A	Interest	M	T	Buy	08/29/23	L		
80.	NEW YORK LIFE INS. WHOLE LIFE	C	Int./Div.	M	T					
81.	WYOMING DEFERRED COMP (H)									
82.	- WRS LARGE CAP US EQUITY FUND	A	Int./Div.	L	T	Redeemed (part)	12/31/23	J		RETIREMENT DISTRIBUTION
83.	- WRS INTERNATIONAL EQUITY FUND	A	Int./Div.	K	T	Redeemed (part)	12/31/23	J		RETIREMENT DISTRIBUTION
84.	- WRS SMALL/MID CAP US EQUITY FUND	A	Int./Div.	N	T	Redeemed (part)	12/31/23	K		RETIREMENT DISTRIBUTION

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85. EXCEL ENERGY	C	Int./Div.	J	T	Donated (part)				
86. AXA EQUITABLE LIFE INS COMPANY - WHOLE LIFE	A	Int./Div.	J	T					
87. UNITED STATE AUTOMOBILE ASSOC.	A	Int./Div.	J	T					
88. LOAN OAK FUND	E	Int./Div.	N	T					
89. MANX OIL CORPORATION	B	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Cheyenne Frontier Days building committee is a local organization. The committee meets periodically to discuss the facility needs each year that are located out at the Cheyenne Frontier Days properties.

Young Men's Literary Club is a small organization (less than 50 including honary and emeriti) that meets weekly during the fall, winter, and spring to discuss current events and review assigned papers. The organization has existed for the past 111 years. The Secretary/Treasurer receives nominal dues for rent of the meeting room and keeps meeting minutes that are deposited and preserved in the State Archives.

Trust #1 contains financial investments listed on Schedule VII that I believe are the sole financial interest of the trust. No portion of the trust is derived from my assets, income or activities. During 2010, I became successor trustee of the trust and have therefore listed on Schedule VII. I am not an income or principal beneficiary of the trust, however, my two beneficiaries are beneficiaries of the trust. During the reporting period I did not derive or expect to derive a benefit from the trust.

Trust #2 and Trust #3 were created to implement premaritial and estate planning. It is anticipated that additional assets will be placed in the trusts in the future. Trust #2 holds and controls items listed on Schedule VII, bank accounts at ANB Bank and Wells Fargo National Bank. Trust #3 holds and controls items listed on Schedule VII.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan B. Johnson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544