AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Joseph, David C.	Western District of Louisiana	05/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
800 Lafayette Street, Suite 4700 Lafayette, LA 70501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	Self-employed Breast Radiologist
2.	2023	Breast radiologist for Lafayette General Hospital
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Charles Schwab SEP IRA #1- AMLP		None			Sold	01/24/23	J		
2.	Charles Schwab SEP IRA #1- BBBIX		None			Sold	01/24/23	K		
3.	Charles Schwab SEP IRA #1- MINT		None			Sold	01/24/23	K		
4.	Charles Schwab SEP IRA #1- VFSUX		None			Sold	01/24/23	K		
5.	Charles Schwab SEP IRA #1- VOO	B	Dividend	L	T	Sold (part)	01/24/23	M	E	
6.	USAA savings account cash equivalent- USAA #4	A	Interest	J	T					
7.	Northwestern Mutual whole life policy	A	Distribution	J	T					
8.	Louisiana START 529 Louisiana Principal Protection Fund #1	A	Interest	K	T					
9.	Louisiana START #1- Vanguard Total World		None	J	T					
10.	Louisiana START #1- Inst Total Stock Index		None	J	T					
11.	Louisiana START #1- Total International Stk		None	J	T					
12.	Louisiana START #1- Vanguard Large-Cap Idx		None	J	T					
13.	Louisiana START 529 Louisiana Principal Protection Fund #2	A	Interest	K	T					
14.	Louisiana START #2- Vaguard Total World		None	J	T					
15.	Louisiana START #2- Inst Total Stock Index		None	J	T					
16.	Louisiana START #2- Total International Stk	A	Dividend	J	T					
17.	Louisiana START #2- Vanguard Large-Cap Idx		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18.	Charles Schwab SEP IRA #1- BND	B	Dividend	K	T	Buy (add'l)	01/24/23	K		
19.	Charles Schwab SEP IRA #1- BRKB		None			Sold	01/17/23	J	B	
20.	Charles Schwab SEP IRA #1- VGSH		None			Sold	01/24/23	K		
21.	Charles Schwab ROTH IRA #2- VGSH		None			Sold	01/24/23	J		
22.	Charles Schwab ROTH IRA #2- BBBIX		None			Sold	01/24/23	J		
23.	Charles Schwab ROTH IRA #2- MINT		None			Sold	01/24/23	J		
24.	Charles Schwab ROTH IRA #2- VFSUX		None			Sold	01/24/23	J		
25.	Charles Schwab ROTH IRA #2- VOO	A	Dividend	K	T	Sold (part)	01/24/23	K	D	
26.	Morgan Stanley (H)									
27.	--- Morgan Stanley Private Bank		None			Closed	01/03/23	J		
28.	--- Aam Bahl & Gaynor		None			Sold	01/03/23	L		
29.	--- Aristotle Value Equity		None			Sold	01/03/23	L		
30.	--- EV High Yield Muni Income I	A	Dividend			Sold	01/03/23	L		
31.	--- Federated HRMS Intl STR VLD IS		None			Sold	01/03/23	L		
32.	--- Mainstay McKay TX Free BD	A	Dividend			Sold	01/03/23	M		
33.	--- Nuance Mid Cap Value Instnl		None			Sold	01/03/23	K		
34.	--- Voya Strat Inc Opport I	A	Dividend			Sold	01/03/23	L		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. Charles Schwab Roth IRA (H)									
36. ----Invesco Gold and Special (OPGSX)		None	J	T					
37. ----iShares TIPS Bond ETF (TIPS)		None			Sold	06/12/23	J		
38. Charles Schwab (H)									
39. ---- Vanguard Energy ETF VDE	A	Dividend	K	T	Sold (part)	06/08/23	K	D	
40. ---- iShares Bond EFT TIPS	A	Dividend			Sold	06/07/23	K		
41. ---- Invesco CurrencyShares FXB		None			Sold	06/07/23	J	A	
42. Charles Schwab SEP IRA #1- Cash		None	K	T					
43. Charles Schwab ROTH IRA #2- Cash		None	J	T					
44. USAA Checking Account #5	A	Interest	K	T					
45. Disney Stock		None	J	T					
46. USAA Checking Account #6	A	Interest	K	T					
47. American Express National Bank	D	Interest	N	T					
48. Charles Schwab ROTH IRA #2- AMLP		None			Sold	01/24/23	J		
49. Louisiana START #3- LS Moderate Growth	A	Dividend	J	T					
50. Louisiana START #1- Earnings Enhancements		None	J	T					
51. Charles Schwab SEP IRA #1- PDBC	A	Dividend	J	T					

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52. Charles Schwab ROTH IRA #2- PDBC		None	J	T					
53. Charles Schwab SEP IRA #1- RMLPX		None			Sold	01/24/23	J	A	
54. Louisiana START #1- Vanguard Small-Cap Idx (VMCIX)		None	J	T					
55. Louisiana START #1-Vanguard Mid-Cap Idx (VLISX)		None	J	T					
56. Vanguard 401k (H)									
57. ----PIMCO Total Return Inst	A	Dividend	K	T	Buy (add'l)	03/31/23	J		
58.					Buy (add'l)	06/30/23	J		
59.					Buy (add'l)	09/30/23	J		
60. ----AmerFundsEuroPacificGrR6	B	Dividend	K	T	Buy (add'l)	03/31/23	J		
61.					Buy (add'l)	06/30/23	J		
62.					Buy (add'l)	09/30/23	J		
63. ----Vanguard Federal Money Mkt Fund	A	Dividend	K	T	Buy (add'l)	03/31/23	J		
64.					Buy (add'l)	06/30/23	J		
65.					Buy (add'l)	09/30/23	J		
66. ----Vanguard Target Retire 2045 TR		None			Sold	12/31/23	J	A	
67. ----Dodge & Cox Stock	A	Dividend			Buy (add'l)	03/31/23	J		
68.					Buy (add'l)	06/30/23	J		

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69.						Buy (add'l)	09/30/23	J		
70.						Sold	12/31/23	J	A	
71.	----ClearBridge Sm Cap Gr IS		None			Sold	12/31/23	J		
72.	----TRP Blue Chip Growth I CI	A	Dividend	K	T	Buy (add'l)	03/31/23	J		
73.						Buy (add'l)	06/30/23	J		
74.						Buy (add'l)	09/30/23	J		
75.	----JPMorgan SmallCap ValueR6	A	Dividend	J	T					
76.	----JPM MidCapValue R6		None			Sold	12/31/23	J	A	
77.	Louisiana START #1- Age-Based Moderate Track Fund		None	J	T					
78.	White Farm Properties, LLC		None	M	W					
79.	Morgan Stanley #2 (H)									
80.	---Morgan Stanley Private Bank		None			Closed	01/19/23	K		
81.	---MSBNA Preferred Savings		None			Closed	01/13/23	J		
82.	Charles Schwab #2- ARSIX		None	J	T	Buy	01/18/23	K		
83.						Sold (part)	05/23/23	J		
84.	Charles Schwab #2- ANGIX	A	Dividend	J	T	Buy	01/18/23	J		
85.						Sold (part)	05/23/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86.	Charles Schwab #2- BSIIX	A	Dividend	J	T	Buy	01/18/23	J		
87.						Sold (part)	05/23/23	J		
88.	Charles Schwab #2- Cash		None	J	T	Open	01/03/23	J		
89.	Charles Schwab #2- DSTL	A	Dividend	K	T	Buy	01/18/23	K		
90.						Buy (add'l)	03/20/23	J		
91.						Sold (part)	05/23/23	K	A	
92.	Charles Schwab #2- DBLSX	A	Dividend	J	T	Buy	01/18/23	J		
93.						Sold (part)	05/23/23	J		
94.	Charles Schwab #2- DNSMX		None	J	T	Buy	01/18/23	K		
95.						Sold (part)	05/23/23	J	A	
96.	Charles Schwab #2- FPACX		None	J	T	Buy	01/18/23	J		
97.						Sold (part)	05/23/23	J	A	
98.	Charles Schwab #2- GSIMX		None	J	T	Buy	01/18/23	J		
99.						Sold (part)	05/23/23	J	A	
100.	Charles Schwab #2- INFL		None	J	T	Buy	01/18/23	K		
101.						Sold (part)	05/23/23	J		
102.	Charles Schwab #2- BIVIX		None	J	T	Buy	01/24/23	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.						Sold (part)	05/23/23	J	A	
104.	Charles Schwab #2- BSCQ	A	Dividend	J	T	Buy	01/18/23	K		
105.						Sold (part)	05/23/23	J		
106.	Charles Schwab #2- PDBC		None	J	T	Buy	01/18/23	J		
107.						Sold (part)	05/23/23	J		
108.	Charles Schwab #2- PVCMX		None	J	T	Buy	01/18/23	J		
109.						Sold (part)	05/23/23	J	A	
110.	Charles Schwab #2- RMLPX	A	Dividend	J	T	Buy	01/18/23	J		
111.						Sold (part)	05/23/23	J		
112.	Charles Schwab #2- SNSXX	D	Dividend	N	T	Buy	06/05/23	N		
113.	Charles Schwab #2- CEF		None	J	T	Buy	01/18/23	J		
114.						Sold (part)	05/23/23	J	A	
115.	Charles Schwab #2- INIYX		None	J	T	Buy	01/18/23	J		
116.						Sold (part)	05/23/23	J	A	
117.	Charles Schwab #2- VEA		None	J	T	Buy	01/18/23	J		
118.						Sold (part)	05/23/23	J	A	
119.	Charles Schwab #2- VWIUX	B	Dividend	L	T	Buy	01/18/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	02/08/23	J		
121.					Sold (part)	05/23/23	L		
122. Charles Schwab #2- VOO	A	Dividend	K	T	Buy	01/18/23	K		
123.					Buy (add'l)	03/20/23	J		
124.					Sold (part)	05/23/23	K	A	
125. Charles Schwab #2- VGSH	A	Dividend	J	T	Buy	01/18/23	J		
126.					Sold (part)	05/23/23	J		
127. Charles Schwab #2- VWSUX	B	Dividend	L	T	Buy	01/18/23	M		
128.					Buy (add'l)	01/24/23	J		
129.					Sold (part)	05/23/23	L		
130. Charles Schwab #2- AFNIX		None			Buy	01/03/23	L		
131.					Sold	01/18/23	L	D	
132. Charles Schwab #2- ARSQX		None			Buy	01/03/23	L		
133.					Sold	01/18/23	L	D	
134. Charles Schwab #2- EIHYX		None			Buy	01/03/23	L		
135.					Sold	01/18/23	L		
136. Charles Schwab #2- IISIX		None			Buy	01/03/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137.					Sold	01/18/23	L		
138. Charles Schwab #2- IVFIX		None			Buy	01/03/23	L		
139.					Sold	01/18/23	L	D	
140. Charles Schwab #2- MTBIX	A	Dividend			Buy	01/03/23	M		
141.					Sold	01/18/23	M		
142. Charles Schwab #2- NMVLX		None			Buy	01/03/23	K		
143.					Sold	01/18/23	K		
144. Charles Schwab #2- VEIPX		None			Buy	01/18/23	K		
145.					Sold	05/23/23	K		
146. Charles Schwab SEP IRA #1- BSCQ	A	Dividend	J	T	Buy	01/24/23	J		
147. Charles Schwab SEP IRA #1- BSIIX	A	Dividend	J	T	Buy	01/24/23	J		
148. Charles Schwab SEP IRA #1- CEF		None	J	T	Buy	01/24/23	J		
149. Charles Schwab SEP IRA #1- DSTL	B	Dividend	L	T	Buy	01/24/23	L		
150. Charles Schwab SEP IRA #1- GDX		None	J	T	Buy	01/24/23	J		
151. Charles Schwab SEP IRA #1- GSST	B	Dividend	K	T	Buy	01/24/23	K		
152. Charles Schwab SEP IRA #1- IBTD	A	Dividend			Buy	01/24/23	J		
153.					Sold	12/22/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154. Charles Schwab SEP IRA #1- IEFA	A	Dividend	K	T	Buy	01/24/23	K		
155. Charles Schwab SEP IRA #1- IJR	A	Dividend	K	T	Buy	01/24/23	K		
156. Charles Schwab SEP IRA #1- INFL	A	Dividend	J	T	Buy	01/24/23	J		
157. Charles Schwab SEP IRA #1- MDY	A	Dividend	K	T	Buy	01/24/23	K		
158. Charles Schwab SEP IRA #1- MLPX	A	Dividend	J	T	Buy	01/24/23	J		
159. Charles Schwab SEP IRA #1- NEAR	B	Dividend	K	T	Buy	01/24/23	K		
160. Charles Schwab ROTH IRA #2- BND		None	J	T	Buy	01/27/23	J		
161. Charles Schwab ROTH IRA #2- DSTL	A	Dividend	K	T	Buy	01/24/23	J		
162. Charles Schwab ROTH IRA #2- GSST		None	J	T	Buy	01/24/23	J		
163. Charles Schwab ROTH IRA #2- IEFA		None	J	T	Buy	01/24/23	J		
164. Charles Schwab ROTH IRA #2- IJR		None	J	T	Buy	01/24/23	J		
165. Charles Schwab ROTH IRA #2- INFL		None	J	T	Buy	01/24/23	J		
166. Charles Schwab ROTH IRA #2- MDY		None	J	T	Buy	01/24/23	J		
167. Charles Schwab ROTH IRA #2- MLPX		None	J	T	Buy	01/24/23	J		
168. Charles Schwab ROTH IRA #2- NEAR		None	J	T	Buy	01/24/23	J		
169. Charles Schwab ROTH IRA #2- GDX		None	J	T	Buy	01/24/23	J		
170. Vanguard 401k (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. ---ClearBridge Sm C Grwth R2		None	J	T	Buy	12/31/23	J		
172. ---Dodge & Cox Stock Fund X	A	Dividend	K	T	Buy	12/31/23	K		
173. ---AS Sp Mdcp VI CIT E1		None	J	T	Buy	12/31/23	J		
174. ---Vanguard Target Retire 2045 Tr P		None	J	T	Buy	12/31/23	J		
175. Charles Schwab (H)									
176. ---IShares 0 to 3 Mnth SGOV	A	Dividend	K	T	Buy	06/12/23	K		
177. Charles Schwab ROTH IRA- IShares 0 to 3 Mnth SGOV	A	Dividend	J	T	Buy	06/12/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#1 - #5, #18 - #20, #42, #51, #53 - Asset description has been updated from Greensquare IRA #1 to Charles Schwab SEP IRA #1
#21 - #25, #43, #48, #52 - Asset description has been updated from Greensquare IRA #2 to Charles Schwab ROTH IRA #2

#26 - #34 Assets were transferred in kind from Morgan Stanley account to Charles Schwab account #2 #130 - #142 on 1/3/2023. Transfer has been reported as sold and corresponding buy with no gain or loss.

Name of Person Reporting	Date of Report
Joseph, David C.	05/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David C. Joseph

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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