AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kane, Yvette	U.S. District Court, Middle District of Pennsylvania	05/19/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. District Court Federal Building 228 Walnut Street, 9th Floor Harrisburg, PA 17101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Cleve J. Fredericksen Library
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kane, Yvette	05/19/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. PA State Employees Credit Union (PSECU) Account	A	Interest	M	T	Sold (part)	03/15/21	M	A	
2. First National Bank	A	Interest			Closed	04/01/21	M	A	
3. Vanguard Windsor	A	Dividend	M	T					
4. I Shares Russell 1000	A	Dividend	K	T	Buy	04/13/21	J		
5.					Buy	06/17/21	J		
6. SPDR Goldshares	A	Dividend	K	T	Buy	07/13/21	K		
7. Vanguard FTSE Emerging	A	Dividend	K	T	Buy	04/13/21	J		
8.					Buy	11/19/21	J		
9. Vanguard Intermediate	A	Dividend	J	T	Buy	08/23/21	J		
10. Vanguard Midcap	A	Dividend	J	T	Buy	11/19/21	J		
11.					Buy	04/13/21	J		
12. Vanguard Short Term	A	Dividend	J	T	Buy	08/23/21	J		
13. Vanguard Small Cap	A	Dividend	J	T	Buy	04/13/21	J		
14.					Buy	07/16/21	J		
15. Vanguard Value ETF IV	B	Dividend	K	T	Buy	06/17/21	J		
16.					Buy	11/19/21	J		
17. Oakmark International IN	A	Dividend	J	T	Buy	07/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Kane, Yvette	05/19/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. PIMCO Commodity	A	Dividend	J	T	Buy	09/09/21	J		
19. Oakmark International AD	A	Dividend			Sold	07/22/21	J	A	
20.					Buy	04/13/21	J		
21. Boeing		None			Buy	04/13/21	J		
22.					Sold	06/21/21	J		
23. CVS Health	A	Dividend			Buy	04/13/21	J		
24.					Sold	06/21/21	J	A	
25. Johnson & Johnson	A	Dividend			Buy	04/13/21	J		
26.					Sold	06/21/21	J	A	
27. Verizon		None			Buy	04/13/21	J		
28.					Sold	06/21/21	J		
29. Rollover IRA Number One (H)									
30. - Vanguard Windsor II Adm.	D	Dividend	M	T					
31. - Schwab Bank	A	Interest	J	T					
32. *Rollover Roth IRA Number Two (H)									
33. - I Shares Russell 1000	A	Dividend	J	T	Buy	10/04/21	J		
34. - SPDR S&P Biotech		None	J	T	Buy	12/07/21	J		

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35. - Vanguard FTSE Emerging	A	Dividend	J	T	Buy	08/23/21	J		
36. - Vanguard Midcap	A	Dividend	J	T	Buy	10/06/21	J		
37.					Buy	08/23/21	J		
38. - Vanguard Small Cap	A	Dividend	J	T	Buy	08/23/21	J		
39. - Vanguard Value ETF IV	A	Dividend	J	T	Buy	10/06/21	J		
40. - Oakmark International		None	K	T	Buy	08/20/21	K		
41. - Charles Schwab Bank	A	Interest	J	T	Open	08/04/21	M		
42.									
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*This account consists of funds held in TSP account and not previously reportable. All funds were transferred from TSP on 8/4/2021.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Yvette Kane

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544