AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kaplan, Lewis A.	U.S. District Court, Southern District of New York	06/16/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Daniel Patrick Moynihan United States Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are listed in Part VII)
2.	Trustee	Trust #2 (no reportable assets)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	Pension and retirement plan pmts-pension plans for benefit of retirees of Random House, Inc.
2.	2024	Newspaper Guild NY - NY Times pension
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kaplan, Lewis A.	06/16/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	INDIVIDUAL ASSETS (H)									
2.	CITIBANK (cash)	A	Interest	J	T					
3.	JP MORGAN CHASE (cash)	A	Interest	K	T					
4.	COMMUNITY BANK (cash)	A	Interest	J	T					
5.	MORGAN STANLEY BANK (cash)	E	Interest	N	T					
6.	NY LIFE INS. (whole life policies)	B	Dividend	L	T					
7.	ACCOUNT #1 (H)									
8.	MORGAN STANLEY PRIVATE BANK NA (cash)	A	Interest	K	T					
9.	ISHARES RUSSELL 1000 GRW ETF (IWF)	B	Dividend	N	T	Sold (part)	12/06/24	L	E	
10.	ISHARES RUSSELL 1000 VALUE ETF (IWD)	D	Dividend	N	T					
11.	VANGUARD FTSE DEVELOPED MKTS E (VEA)	C	Dividend	M	T					
12.	VANGUARD MID-CAP ETF INDEX (VO)	C	Dividend	M	T					
13.	LORD ABBETT SHT DURATION INC I (LLDYX)	E	Dividend	O	T					
14.	WESTERN ASSET CORE PLUS BD I (WACPX)	G	Dividend	P1	T	Sold (part)	12/06/24	M	A	
15.	ACCOUNT #2 (H)									
16.	MORGAN STANLEY PRIVATE BANK NA (cash)	A	Interest	J	T					
17.	ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	L	T	Sold (part)	12/06/24	J	C	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Kaplan, Lewis A.	06/16/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. ISHARES RUSSELL 1000 VALUE ETF (IWD)	B	Dividend	L	T					
19. VANGUARD FTSE DEVELOPED MKTS E (VEA)	C	Dividend	K	T					
20. VANGUARD MID-CAP ETF INDEX (VO)	A	Dividend	K	T					
21. LORD ABBETT SHT DURATION INC I (LLDYX)	D	Dividend	M	T					
22. WESTERN ASSET CORE PLUS BD I (WACPX)	E	Dividend	N	T	Sold (part)	12/06/24	K		
23. ACCOUNT #3 (H)									
24. JPM CORE BOND FD (WOBDX) (WASPGBOX)	A	Dividend	J	T					
25. JPM EQUITY INCOME FD (HLIEX)	B	Dividend	K	T	Sold (part)	12/06/24	J	C	
26. JPM UNCONSTRAINED DEBT FD (JSISX)	A	Dividend	J	T					
27. ACCOUNT #4 (H)									
28. MORGAN STANLEY PRIVATE BANK NA (cash)	A	Interest	J	T					
29. NY CITY NY TRANSITIONAL FIN AUTH BLDG AID REV (64972H6C1)	A	Interest	J	T					
30. NY CITY NY TRANSITIONAL FIN AUTH BLDG AID REV (64972H6D9)	A	Interest	K	T					
31. NY ST DORM AUTH ST PERSNL INC TAX (64990ENF0)	A	Interest			Redeemed	02/15/24	K		
32. SOUTH-WESTERN CITY SCH DIST OH FRANKLIN & PICKWAY CNTY (840658NM6)	A	Interest	K	T					
33. PORT AUTH N Y & N J (73358XKS0)	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
34.	FRISCO TEX ID (358776YU0)	A	Interest	K	T					
35.	CHAMBERS CNTY TEX ID (157789MM7)	B	Interest			Sold	11/20/24	K		
36.	CYPRESS-FAIRBANKS TEX INDPT SCH DIST (232769MS5)	A	Interest	J	T					
37.	MARYLAND ST (574193VF2)	B	Interest	K	T					
38.	RALEIGH N C COMB ENTERPRISE SY (751100MX3)	B	Interest	K	T					
39.	NY NY FISCAL 2020-SER B-1 (64966QFC9)	A	Interest			Sold	05/07/24	K		
40.	MINNESOTA PUB FACS AUTH ST REVOLVING FD (604115DW2)		None			Sold	01/18/24	K		
41.	NEW CANEY TEX INDPT SCH DIST (643154LH8)		None	K	T	Buy	12/18/24	K		
42.	MASSACHUSETTS ST (57582RY75)		None	K	T	Buy	06/07/24	K		
43.	PRINCE GEORGES CNTY MD (741702BY9)		None	K	T	Buy	06/05/24	K		
44.	OHIO ST (677523HC9)		None			Sold	01/17/24	K	A	
45.	OHIO ST WTR DEV AUTH WTR POLLUTN CTL REV GREEN (67766WM25)		None			Sold	04/01/24	K	A	
46.	KING CNTY WASH SCH DIST (495098ZS7)	A	Interest	K	T					
47.	CLARK CNTY WASH SCH DIST NO 11 (181234P66)		None			Sold	03/20/24	K	A	
48.	SIOUX CITY IOWA (829458PW2)		None			Sold	02/02/24	K	A	
49.	UNITED STATES TREASURY NOTES	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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50.	ACCOUNT #5 (H)									
51.	MORGAN STANLEY BANK (cash)	A	Interest	K	T					
52.	NY CTY NY TR CULTURAL RES REF - MUSEUM OF MODERN ART (649717SZ9)	A	Interest	K	T					
53.	NY ST DORM AUTH ST PERSNL INCOME TAX REV REFUNDED-SER A (64990FZ64)	A	Interest			Redeemed	02/15/24	J		
54.	NY ST DORM AUTH ST PERSNL INCOME TAX REV UNFUNDED SER A (64990FZ72)	A	Interest			Redeemed	02/15/24	J		
55.	ATLANTA GA URBAN REDEV AGY REV 5.0% BOND (047851CT5)		None	K	T	Buy	11/13/24	K		
56.	MONROE CNTY NY INDL DEN CORP REV REF - U OF ROCHESTER PR (61075TRY6)	B	Interest	K	T					
57.	COLONY TEX ID 09-01-24 5% BOND (19624KVU2)		None	J	T	Buy	10/03/24	J		
58.	NY ST DORM AUTH REVS GREAT NECK (649907Z39)	A	Interest			Redeemed	05/01/24	K		
59.	FAIRFAX CNTY VA COUPON RATE 5.000% (30382ANB4)	A	Interest			Buy	02/08/24	K		
60.						Redeemed	10/01/24	K		
61.	FAIRFAX CNTY VA COUPON RATE 5.000% (30382ANJ7)		None			Buy	02/08/24	K		
62.						Sold	05/06/24	K		
63.	SALES TAX ASSET RECEIVABLE CORP NY (794665FM6)	B	Interest			Redeemed	10/15/24	K		
64.	ARLINGTON NY CENTRAL SCH DIST REF UNLTD TAX (041717NR6)	A	Interest			Sold	07/15/24	K		
65.	LEWISVILLE TEX INDPT SCH DIST 5.0% BOND (52882PGQ5)		None			Buy	10/02/24	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
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		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
66.						Sold	10/28/24	J		
67.	UNIV PITTSBURGH OF THE COMWLTH SYS OF HI (91335VKY1)	C	Interest	L	T					
68.	RALEIGH N C COMB ENTERPRISE SY (751100MX3)	C	Interest	L	T					
69.	CHAMBERS CNTY TEX ID (157789MQ8)	C	Interest	L	T					
70.	NEW YORK ST (649791TJ9)	B	Interest	K	T					
71.	FLORIDA ST BRD ED PUB ED CAP (34153QER3)	B	Interest	K	T					
72.	KING CNTY WASH SCH DIST (495206QF4)	C	Interest	L	T					
73.	AMARILLO TEX INDPT SCH DIST (023051WB7)		None			Sold	01/17/24	L		
74.	MINNESOTA PUB FACS AUTH ST REVOLVING FD (604115DW2)	A	Interest			Sold	01/18/24	K		
75.	VIRGINIA ST RES AUTH 5.0% BOND (92818PG49)		None	K	T	Buy	11/19/24	K		
76.	GREENE CNTY OHIO VOCATIONAL SC (39466HAN7)	B	Interest	L	T					
77.	NY CITY NY TRANSITIONAL FIN AUTH (64972H6Z0)	A	Interest			Sold	01/26/24	K		
78.	TOOELE CNTY UTAH SALES TAX REV (890345BF7)	B	Interest	K	T					
79.	PENNSYLVANIA ST (70914P3Y6)		None	J	T	Buy	10/28/24	J		
80.	NEW YORK NY CITY TRANS FIN AUTH (64972JJR0)	A	Interest	K	T	Buy	07/22/24	K		
81.	UNITED STATES TREASURY NOTES	D	Interest	M	T					
82.	ACCOUNT #6 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
83.	MORGAN STANLEY BANK (cash)	A	Interest	J	T					
84.	NY ST REF SER C UNLTD TAX (649791ME7)	A	Interest			Redeemed	04/15/24	K		
85.	NY CITY NY TRANSITIONALFIN AUTH BLDG (64972H7H9)	A	Interest			Redeemed	07/15/24	J		
86.	ELMSFORD NY UN FREE SCH DIST (290011BC8)	B	Interest	K	T					
87.	MAINE ST TPK AUTH REF REF (560551NW9)		None	J	T	Buy	08/26/24	J		
88.	UTIL DEBT SECURITIZATION AUTH NY (91802RBZ1)	A	Interest			Redeemed	06/17/24	K		
89.	FRISCO TEX (358776YU0)	C	Interest	L	T					
90.	HUDSON YDS INFRASTRUCTURE CORP NY 2ND INDENT (44420RAM2)	A	Interest			Sold	06/17/24	K		
91.	CYPRESS-FAIRBANKS TEX INDPT SCH DIST (232769MS5)	B	Interest	L	T					
92.	CARROLLTON TEX FMRS BRH INDPT SC (145628N66)	B	Interest	K	T					
93.	CHAMBERS CNTY (157789MQ8)	C	Interest	L	T					
94.	TOOELE CNTY UTAH SALES TAX REV (890345BC4)	B	Interest	K	T					
95.	KING CNTY WASH SCH DIST (495206QF4)	B	Interest	K	T					
96.	CHESTERFIELD CNTY VA (166394DJ2)		None	J	T	Buy	08/13/24	J		
97.	NEW YORK ST (649791ST8)	B	Interest	K	T					
98.	SIOUX CITY IOWA (829458PV4)	C	Interest	L	T					
99.	INDIANA ST FIN AUTH REV GREEN (45506EKR3)		None	L	T	Buy	07/11/24	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Kaplan, Lewis A.	06/16/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
100. CLARK CNTY WASH SCH DIST (181234P66)		None			Sold	03/20/24	K	A	
101. WASHINGTON ST (93974D7P7)		None			Sold	02/22/24	L		
102. UNITED STATES TREASURY NOTES	D	Interest	M	T					
103. ACCOUNT #7 (H)									
104. MORGAN STANLEY BANK (cash)	A	Interest	J	T					
105. CLARKSTOWN NY CENTRL SCH DIST (182324UU5)		None			Sold	02/07/24	K		
106. NY ST DORM AUTH REVS NON ST (649907Z39)		None			Sold	02/07/24	K		
107. SOUTH-WESTERN CITY SCH DIST OH FRANKLIN & PICKWAY CNTY (840658NM6)	A	Interest	K	T					
108. PORT AUTH OF NY & NJ NY CONSOL-ONE (73358WRR7)	B	Interest	K	T					
109. UTIL DEBT SECURITIZATION AUTH NY (91802RBZ1)	A	Interest			Redeemed	06/17/24	K		
110. HUDSON YDS INFRASTRUCTURE CORP NY 2ND INDENT (44420RAM2)	A	Interest			Sold	06/17/24	K		
111. CHAMBERS CNTY (157789MM7)	A	Interest	K	T					
112. DUTCHESS CNTY NY PUB IMPT SER B (2670397K5)	A	Interest			Sold	06/20/24	K		
113. CYPRESS-FAIRBANKS TEX INDPT SCH DIST ID (232769MS5)	B	Interest	K	T					
114. TYLER TEX JR COLLEGE DIST (902299CN4)	A	Interest	K	T					
115. NYACK NY UN FREE SCH DIST (670658KE2)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Kaplan, Lewis A.	06/16/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
116. RALEIGH N C COMB ENTERPRISE (751100MX3)	B	Interest	K	T					
117. RALEIGH N C LTD OBLIG (751120FW1)	A	Interest	K	T	Buy	07/09/24	K		
118. SAN ANTONIO TEX ELEC & GAS REVRE (79625GBJ1)	A	Interest	K	T					
119. CORPUS CHRISTI TEX INDPT SCH DIST REF PSF-GTD ID (2201477D8)	A	Interest	J	T					
120. OH ST REF-COMMON SCH-SER B UNLTD (6775227Y4)		None			Sold	01/23/24	K		
121. CLARK CNTY WASH SCH DIST (181234P66)	B	Interest	K	T					
122. INDIANA ST FIN AUTH REV GREEN (45506EKR3)		None	K	T	Buy	07/11/24	K		
123. SIOUX CITY IOWA (829458PW2)		None			Sold	02/02/24	K	A	
124. OHIO ST WTR DEV AUTH REV (67765QNR3)		None			Sold	01/26/24	K		
125. UNITED STATES TREASURY NOTE (X)	C	Interest	M	T					
126. UNITED STATES TREASURY BILL (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Parenthetical numbers in Part VII are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information. Assets with CUSIP numbers are bonds unless otherwise noted.

Part VII, line 62: This transaction was previously reported under CUSIP 30382ANB4 and is amended herein.

Name of Person Reporting	Date of Report
Kaplan, Lewis A.	06/16/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lewis A. Kaplan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
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