AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	07/16/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
06/01/2023		06/15/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Mount Vernon NY City Sch Dist (623606C24)	Sold	06/01/2023	07/05/2023	$15,001 - $50,000
2. Three Vlg Cent Sch Dist NY (885766NW1)	Sold	06/01/2023	07/05/2023	$15,001 - $50,000
3. Riverhead NY Genl Oblig Ser-A (768738XQ4)	Sold	06/01/2023	07/05/2023	$15,001 - $50,000
4. Metropolitan Transn Auth NY (59261APX9)	Sold	06/02/2023	07/05/2023	$15,001 - $50,000
5. Raleigh NC Comb Enterprise Sys Rev Bd (751100MX3)	Buy	06/02/2023	07/05/2023	$50,001 - $100,000
6. Raleigh NC Comb Enterprise Sys Rev Bd (751100MX3)	Buy	06/02/2023	07/05/2023	$15,001 - $50,000
7. Raleigh NC Comb Enterprise Sys Rev Bd (751100MX3)	Buy	06/02/2023	07/05/2023	$15,001 - $50,000
8. Raleigh NC Comb Enterprise Sys Rev Bd (751100MY1)	Buy	06/02/2023	07/05/2023	$15,001 - $50,000
9. Long Is Pwr Auth NY Elec Sys Rev (542691EL0)	Sold	06/06/2023	07/05/2023	$15,001 - $50,000
10. Chambers County Tex ID (157789MM7)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000
11. Chambers County Tex ID (157789MM7)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000
12. Chambers County Tex ID (157789MQ8)	Buy	06/13/2023	07/05/2023	$50,001 - $100,000
13. Chambers County Tex ID (157789MQ8)	Buy	06/13/2023	07/05/2023	$50,001 - $100,000
14. West Bloomfield Mich Sch Dist (951452SJ1)	Buy	06/13/2023	07/05/2023	$50,001 - $100,000
15. West Bloomfield Mich Sch Dist (951452SJ1)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. Clark Cnty Wash Sch Dist (181234P66)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000
17. Clark Cnty Wash Sch Dist (181234P66)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000
18. Clark Cnty Wash Sch Dist (181234P66)	Buy	06/13/2023	07/05/2023	$15,001 - $50,000
19. King Cnty Wash Sch Dist (495098ZS7)	Buy	06/14/2023	07/05/2023	$15,001 - $50,000
20. Utility Debt Secur Auth (91802RBX6)	Redeemed	06/15/2023	07/05/2023	$15,001 - $50,000
21. Albany NY For Iss Bond (012429AE6)	Redeemed	06/15/2023	07/05/2023	$1,000 - $15,000
22. Albany NY For Iss Bond (012429AE6)	Redeemed	06/15/2023	07/05/2023	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Mirrored transactions in separate accounts are reported separately.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544