AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2023	08/04/2023	☐
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
06/20/2023		06/29/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. KING CNTY WASH SCH DIST (495206QF4)	Buy	06/20/2023	07/05/2023	$50,001 - $100,000
2. GREENE CNTY OHIO VOCATIONAL SC (39466HAN7)	Buy	06/20/2023	07/05/2023	$50,001 - $100,000
3. AMARILLO TEX INDPT SCH DIST (023051WB7)	Buy	06/21/2023	07/05/2023	$50,001 - $100,000
4. NEW YORK ST ENVIR FACS CORP (64986AZ61)	Redeemed	06/29/2023	07/05/2023	$15,001 - $50,000
5. KING CNTY WASH SCH DIST (495206QF4)	Buy	06/20/2023	07/05/2023	$15,001 - $50,000
6. NEW YORK ST ENVIR FACS CORP (64986AZ61)	Redeemed	06/29/2023	07/05/2023	$15,001 - $50,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544