AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2024	03/18/2024	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
02/02/2024		02/22/2024	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. FAIRFAX CNTY VA (30382ANB4)	Buy	02/08/2024	03/05/2024	$15,001 - $50,000
2. FAIRFAX CNTY VA (30382ANJ7)	Buy	02/08/2024	03/05/2024	$15,001 - $50,000
3. SIOUX CITY IOWA (829458PW2)	Sold	02/02/2024	03/05/2024	$15,001 - $50,000
4. SIOUX CITY IOWA (829458PW2)	Sold	02/02/2024	03/05/2024	$15,001 - $50,000
5. CLARKSTOWN NY CENT SCH DIST NO1 (182324UU5)	Sold	02/07/2024	03/05/2024	$15,001 - $50,000
6. NEW YORK ST DORM AUTH REVS GR (649907Z39)	Sold	02/07/2024	03/05/2024	$15,001 - $50,000
7. NEW YORK ST DORM AUTH PERSONALIN (64990ENF0)	Redeemed	02/15/2024	03/05/2024	$15,001 - $50,000
8. WASHINGTON ST (93974D7P7)	Sold	02/22/2024	03/05/2024	$50,001 - $100,000
9. NEW YORK ST DORM AUTH ST PERS IN (64990FZ72)	Redeemed	02/15/2024	03/05/2024	$1,000 - $15,000
10. NEW YORK ST DORM AUTH ST PERS IN (64990FZ64)	Redeemed	02/15/2024	03/05/2024	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Mirrored transactions in separate accounts are reported separately.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544