AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	04/17/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
03/03/2025		03/26/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Account #4 (H)				
2. MASSACHUSETTS ST DEV FIN AGY REV 5.0% BOND (57585BGD4)	Buy	03/03/2025	04/05/2025	$15,001 - $50,000
3. HAMPTON RDS VA TRANSN 5.0% BOND (40934TAQ6)	Buy	03/19/2025	04/05/2025	$15,001 - $50,000
4. ALABAMA FED AID HWY 5.0% BOND (010268DY3)	Buy	03/19/2025	04/05/2025	$15,001 - $50,000
5. Account #5 (H)				
6. NEW YORK N Y CITY TRANSFI 5.0% BOND (64972JQR2)	Buy	03/06/2025	04/05/2025	$1,000 - $15,000
7. MASSACHUSETTS ST DEV FIN AGY REV 5.0% BOND (57585BAL2)	Buy	03/26/2025	04/05/2025	$15,001 - $50,000
8. Account #6 (H)				
9. NEW YORK ST DORM AUTH 5.0% BOND (64990KGC1)	Buy	03/21/2025	04/05/2025	$50,001 - $100,000
10. HOLLY SPRINGS N C (436083GQ6)	Buy	03/19/2025	04/05/2025	$50,001 - $100,000
11. Account #7 (H)				
12. UNIVERSITY MASS BLDG AUTH 5.250% BOND (914440QV7)	Buy	03/14/2025	04/05/2025	$15,001 - $50,000
13. NEW YORK N Y 5.0% BOND (64966QYN4)	Buy	03/17/2025	04/05/2025	$1,000 - $15,000
14. NEW YORK ST DORM AUTH ST (64990KGC1)	Buy	03/21/2025	04/05/2025	$15,001 - $50,000
15.				

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Parenthetical numbers are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Entries with CUSIP numbers are bonds unless otherwise noted.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544