AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	05/16/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
04/01/2025		04/28/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Account #4 (H)				
2. WAKE CNTY N C 5.0% BOND (930864KJ1)	Buy	04/01/2025	05/05/2025	$15,001 - $50,000
3. FORSYTH CNTY GA 5.0% BOND (346593JM9)	Buy	04/01/2025	05/05/2025	$15,001 - $50,000
4. MASSACHUSETTS ST DEV FIN AGY RE 5% (57585BGG7)	Buy	04/03/2025	05/05/2025	$15,001 - $50,000
5. CLARK CNTY WASH SCH DIST NO 11 4% (181234S30)	Buy	04/07/2025	05/05/2025	$15,001 - $50,000
6. TEXAS WTR DEV BRD REV 5% (88285AHH4)	Buy	04/10/2025	05/05/2025	$15,001 - $50,000
7. MASSACHUSETTS ST DEV FIN AGY 5% (57585BGD4)	Sold	04/21/2025	05/05/2025	$15,001 - $50,000
8. ANNE ARUNDEL CNTY MD 5% (03588JLM2)	Buy	04/22/2025	05/05/2025	$15,001 - $50,000
9. Account #5 (H)				
10. FORSYTH CNTY GA 5.0% BOND (346593JL1)	Buy	04/01/2025	05/05/2025	$15,001 - $50,000
11. WAKE CNTY N C 5.0% BOND (930864JL8)	Buy	04/08/2025	05/05/2025	$1,000 - $15,000
12. HOWARD CNTY MD 5.0% BOND (44256P5K5)	Buy	04/09/2025	05/05/2025	$15,001 - $50,000
13. PENNSYLVANIA ST 5% (70914P3Y6)	Sold	04/09/2025	05/05/2025	$1,000 - $15,000
14. MARYLAND ST 5% (574193VH8)	Buy	04/09/2025	05/05/2025	$50,001 - $100,000
15. TOOELE CNTY UTAH SALES TAX REV 5% (890345BF7)	Sold	04/15/2025	05/05/2025	$15,001 - $50,000

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First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. NEW YORK NY TRANS (64972JJR0)	Sold	04/15/2025	05/05/2025	$15,001 - $50,000
17. PORTLAND ME 5% (736560WA7)	Buy	04/15/2025	05/05/2025	$50,001 - $100,000
18. Account #6 (H)				
19. WAKE CNTY NC (930864JL8)	Buy	04/08/2025	05/05/2025	$50,001 - $100,000
20. HOWARD CNTY MD (44256P5K5)	Buy	04/09/2025	05/05/2025	$15,001 - $50,000
21. HOLLY SPRINGS NC 5 (436083GQ6)	Sold	04/15/2025	05/05/2025	$50,001 - $100,000
22. ELMSFORD N Y UN (290011BC8)	Sold	04/15/2025	05/05/2025	$15,001 - $50,000
23. MAINE ST TPK AUTH (560551NW9)	Sold	04/15/2025	05/05/2025	$1,000 - $15,000
24. PORTLAND ME (736560WB5)	Buy	04/15/2025	05/05/2025	$50,001 - $100,000
25. LOS ANGELES CALIF (5444455T2)	Buy	04/16/2025	05/05/2025	$15,001 - $50,000
26. GASTON CITY NC (367298ZS8)	Buy	04/17/2025	05/05/2025	$15,001 - $50,000
27. INDIANA ST FIN AUTH (45506EKR3)	Sold	04/23/2025	05/05/2025	$50,001 - $100,000
28. Account #7 (H)				
29. FORSYTH CNTY GA (346593JN7)	Buy	04/01/2025	05/05/2025	$15,001 - $50,000
30. OHIO ST 5.0% BOND (677523KL5)	Buy	04/01/2025	05/05/2025	$15,001 - $50,000
31. ROUND ROCK TEX (779240RF9)	Sold	04/24/2025	05/05/2025	$1,000 - $15,000
32. PORT AUTH NY & NJ (73358WRR7)	Sold	04/28/2025	05/05/2025	$15,001 - $50,000
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First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Parenthetical numbers are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Entries with CUSIP numbers are bonds unless otherwise noted.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544