AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	06/16/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
05/01/2025		05/29/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Account #4 (H)				
2. South-Western City Sch Dist 4% (840658NM6)	Sold	05/06/2025	06/05/2025	$15,001 - $50,000
3. New York N Y City Transitional 5% (64972H6D9)	Sold	05/06/2025	06/05/2025	$15,001 - $50,000
4. District Columbia Income Tax RE 5% (25477GWH4)	Buy	05/15/2025	06/05/2025	$15,001 - $50,000
5. Massachusetts St Dev Fin Agy 5% (57585BGG7)	Sold	05/20/2025	06/05/2025	$15,001 - $50,000
6. Account #5 (H)				
7. New York N Y City Tr Cultural 4% (649717SZ9)	Sold	05/29/2025	06/05/2025	$15,001 - $50,000
8. Account #6 (H)				
9. Round Rock Tex Indpt Sch Dist 5% (779240RF9)	Sold	05/08/2025	06/05/2025	$1,000 - $15,000
10. Hawaii Cnty Hawaii 5% (4197223N1)	Buy	05/07/2025	06/05/2025	$15,001 - $50,000
11. Washington St 5% (93974FAJ2)	Buy	05/08/2025	06/05/2025	$15,001 - $50,000
12. North Carolina St Grant Antic 5% (658268FD4)	Buy	05/22/2025	06/05/2025	$1,000 - $15,000
13. Corpus Christi Tex Indpt Sch 5% (220148CX6]	Buy	05/01/2025	06/05/2025	$15,001 - $50,000
14. Account #7 (H)				
15. District Columbia 5% (25477GYA7)	Buy	05/01/2025	06/05/2025	$15,001 - $50,000

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. North Carolina St 5% (658268FD4)	Buy	05/22/2025	06/05/2025	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Parenthetical numbers in Part VII are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Assets with CUSIP numbers are bonds unless otherwise noted.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544