AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	08/22/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
07/02/2025		07/29/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Account #5 (H)				
2. Fort Worth Tex Wtr & Swr 5% (3495155D4)	Buy	07/02/2025	08/05/2025	$15,001 - $50,000
3. Forsyth County GA 5% (346593JL1)	Sold	07/29/2025	08/05/2025	$15,001 - $50,000
4. Account #4 (H)				
5. New York NY Transitional 5% (64972H6C1)	Redeemed	07/15/2025	08/05/2025	$1,000 - $15,000
6. New York City NY Genl Oblig 5% (64966MFH7)	Buy	07/17/2025	08/05/2025	$1,000 - $15,000
7. Maryland St 5% (574193VF2)	Sold	07/18/2025	08/05/2025	$15,001 - $50,000
8. Maryland St Local Facs 5% (574193UF3)	Buy	07/24/2025	08/05/2025	$15,001 - $50,000
9.				
10.				
11.				
12.				
13.				
14.				
15.				

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16.					
17.					
18.					
19.					
20.					
21.					
22.					
23.					
24.					
25.					
26.					
27.					
28.					
29.					
30.					
31.					
32.					
33.					
34.					
35.					
36.					
37.					
38.					
39.					
40.					

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
41.					
42.					
43.					
44.					
45.					
46.					
47.					
48.					
49.					
50.					
51.					
52.					
53.					
54.					
55.					
56.					
57.					
58.					
59.					
60.					
61.					
62.					
63.					
64.					
65.					

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
66.				
67.				
68.				
69.				
70.				
71.				
72.				
73.				
74.				
75.				
76.				
77				
78.				
79.				
80.				
81.				
82.				
83.				
84.				
85.				
86.				
87.				
88.				
89.				
90.				

First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Parenthetical numbers are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Assets with CUSIP numbers are bonds unless otherwise noted.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544