AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lewis	A.	Kaplan	
*5. Title	*6. District/Court/Unit		*7. Circuit
United States District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2025	10/17/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
09/04/2025		09/24/2025	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1.	Account #4 (H)				
2.	Anne Arundel County MD 5% Municipal (03588JLM2)	Sold	09/17/2025	10/05/2025	$15,001 - $50,000
3.	Illinois St Toll Hwy Auth 5% (452252MT3)	Buy	09/17/2025	10/05/2025	$15,001 - $50,000
4.	Account #5 (H)				
5.	Wake County NC 5% Municipal (930864JL8)	Sold	09/16/2025	10/05/2025	$1,000 - $15,000
6.	Greene County Ohio Vocational SC 5% (39466HAN7)	Sold	09/24/2025	10/05/2025	$50,001 - $100,000
7.	Washington St Var Purp Gen 5% (93974EJL1)	Buy	09/24/2025	10/05/2025	$50,001 - $100,000
8.	Account #6 (H)				
9.	Chesterfield County VA 5% Municipal (166394DJ2)	Sold	09/24/2025	10/05/2025	$1,000 - $15,000
10.	Washington St Var Purp Gen 5% (93974EJL1)	Buy	09/24/2025	10/05/2025	$1,000 - $15,000
11.	Account #7 (H)				
12.	Forsyth County GA 5% Municipal (346593JN7)	Sold	09/04/2025	10/05/2025	$15,001 - $50,000
13.	Washington St 5% Municipal (93974EJM9)	Buy	09/04/2025	10/05/2025	$15,001 - $50,000
14.					
15.					

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16.					
17.					
18.					
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix
Lewis	A.	Kaplan	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
41.					
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lewis	Middle Initial A.	Last Name Kaplan	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
66.				
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First Name Lewis	Middle Initial A.	Last name Kaplan	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Parenthetical numbers are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Assets with CUSIP numbers are bonds unless otherwise noted.

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lewis A. Kaplan

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544