Periodic Transaction Report, Sep 16, 2026

Financial Disclosure Report (AO Form 10T)

Filer's Information
Kaplan, Lewis A.
U.S. District Court - New York Southern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

/s/ Kaplan, Lewis A. [electronically signed on Sep 16, 2026 10:59am by Kaplan, Lewis A. in JEFS]

Date Filed: Sep 16, 2026
Date of First Transaction on this Report: Jul 1, 2026
Date of Last Transaction on this Report: Jul 24, 2026

Transactions

DESCRIPTION

1. **(H) Account #4**

1-A. New York St Dorm Auth Revs Nonst 5% Municipal Bond

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 1, 2026	Aug 5, 2026	$15,001 - $50,000

2. **(H) Account #5**

2-A. New York City NY Transitional 5% Municipal Bond

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 24, 2026	Aug 5, 2026	$1,000 - $15,000

3. **(H) Account #6**

3-A. Texas A&M University Perm Fd 5% Municipal Bond

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 15, 2026	Aug 5, 2026	$50,001 - $100,000

3-B. New York City NY Transitional 5% Municipal Bond

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 24, 2026	Aug 5, 2026	$1,000 - $15,000

4. **(H) Account #7**

4-A. Chesterfield County VA 5% Municipal Bond

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 14, 2026	Aug 5, 2026	$1,000 - $15,000