AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kasubhai, Mustafa T.	U.S. District Court -- Oregon	7/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Magistrate Judge -- Full Time	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2023 **to** 12/31/2023
7. Chambers or Office Address		
5400 Unites States Courthouse 405 East Eighth Avenue Eugene, Oregon 97401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2007	State of Oregon -- Judicial Pension under the Judicial Public Employees Retirement System
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	5/23-8/23	Univeristy of Oregon School of Law -- Litigation Lab Summer Workshop	$4,375.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kasubhai, Mustafa T.	7/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Umpqua Bank Checking #1 (Cash account)		None	K	T					
2.	Umpqua Bank Checking #2 (Cash account)		None	J	T					
3.	Umpqua Bank Money Market (Cash account)	A	Interest	L	T					
4.	Property, Eugene, Oregon (purchased for $535,000)		None	O	R					
5.	TD Ameritrade Money Market (Cash account)	A	Interest	K	T					
6.	TD Ameritrade Cash Sweep Account (Partially invested in securities)	A	Interest	N	T					
7.	Oregon Growth Savings Plan (Active Fixed Income Option)		None	K	T					
8.	Oregon Growth Savings Plan (Life Path 2035 Fund)		None	J	T					
9.	Oregon Growth Savings Plan (Life Path 2040 Fund)		None	J	T					
10.	Oregon Growth Savings Plan (Large Co. Growth Stock Option)		None	J	T					
11.	Oregon Growth Savings Plan (International Stock Option)		None	J	T					
12.	Oregon Growth Savings Plan (Small Company Stock Option)		None	J	T					
13.	Oregon Growth Savings Plan (Stable Value Option)		None	M	T					
14.	Etrade Brokerage Account (Cash only)	A	Interest	J	T					
15.	Etrade IRA (Fully invested in securities-see transactions below)	A	Interest	M	T					
16.	Capitol One (Cash only)		None	J	T					
17.	Fedex (FDX) Etrade Brokerage	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Growth Fund of America (AGTHX) Etrade Brokerage	B	Distribution	K	T					
19. New Perspectives Fund (ANWPX) Etrade Brokerage	A	Distribution	K	T					
20. Advanced Micro Devices (AMD) Etrade Roth IRA		None	J	T					
21. Ariel Fund Investor (ARGFX) Etrade Roth IRA		None	J	T					
22. Ariel Appreciation Investor (CAAPX) Etrade Roth IRA		None	J	T					
23. Sleep Number Corp (SNBR) Etrade Roth IRA		None	J	T					
24. Sierra Wireless (SWIR) Etrade Roth IRA		None	J	T					
25. Air BnB stock (Etrade IRA)		None	J	T			J	A	
26. Dutch Brothers Corporation (Etrade IRA)		None	K	T			K	A	
27. Coinbase Corporation Stock (Etrade IRA)		None	J	T			J	A	
28. Crowdstrike Holdings Stock (Etrade IRA)		None	J	T			J	A	
29. DataDog Corporation Stock (Etrade IRA)		None	J	T			J	A	
30. Digital Oceans Corporation Stock (Etrade IRA)		None	J	T			J	A	
31. Docusign Corporation Stock (Etrade IRA)		None	J	T			J	A	
32. ETSY Corporation Stock (Etrade IRA)		None	J	T			J	A	
33. Expensify Corporation Stock (Etrade IRA)		None	J	T			J	A	
34. Fiverr International Corp. Stock (Etrade IRA)		None	J	T			J	A	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. Cloudflare Inc. Stock (Etrade IRA)		None	J	T			J	A	
36. Novocure LTD, Stock (Etrade IRA)		None	J	T			J	A	
37. Block Corporation Stock (Etrade IRA)		None	K	T			J	A	
38. The Trading Desk Stock (Etrade IRA)		None	K	T			J	A	
39. Twilio Corporation Stock (Etrade IRA)		None	J	T			J	A	
40. Unity Software Inc Stock (Etrade IRA)		None	J	T			J	A	
41. Upstart Holdings Inc. Stock (Etrade IRA)		None	K	T			J	A	
42. Doximity Corporation Stock (TD Ameritrade Cash Sweep-IRA)		None	K	T			J	A	
43. ROKU Corporation (TD Ameritrade Cash Sweep - IRA)		None	K	T			J	A	
44. Teledoc Health Corporation (TD Ameritrade Cash Sweep - IRA)		None	K	T			J	A	
45. Dutch Brothers Corporation(TD Ameritrade Cash Sweep - IRA)		None	K	T			J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, rows 16-24: Upon reviewing my financial records I determined that I had not previously disclosed [redacted] Etrade accounts (brokerage and Roth IRA). This amendment reflects this correction.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mustafa T. Kasubhai

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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