AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kelly, Jane	8th Circuit	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - active status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 111 7th Avenue SE, Box 20 Cedar Rapids, Iowa 52401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1993	Illinois SURS-traditional benefit plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kelly, Jane	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Trust (H)									
2. --Vanguard 500 Index Fund	E	Dividend	L	T					
3. --shares in Cox-Kelly Farms (subchapter S corporation)	G	Distribution	O	W					
4. --Fidelity Core Account	A	Interest	K	T					
5. --Fidelity 500 Index Fund	G	Dividend	O	T					
6. TIAA CREF fixed rate annuity	E	Distribution	K	T					
7. U.S. Bank, cash accounts	A	Interest	O	T					
8. ACE Limited, now Chubb Limited, stock	B	Dividend	K	T					
9. Accenture stock	B	Dividend	K	T	Sold (part)	05/05/21	J	C	
10. Amphenol Corp. stock	B	Dividend	K	T	Sold (part)	05/05/21	J	B	
11.					Sold (part)	12/07/21	J	C	
12. Apple Inc stock (identified as sold in full last year in error)	C	Dividend	L	T					
13. CSX Corp stock	A	Dividend	K	T					
14. First Republic Bank stock	B	Dividend	K	T					
15. Google Inc. A now Alphabet Inc.- Class A stock	B	Dividend	K	T					
16. Alphabet Inc. -Class C stock	B	Dividend	K	T	Sold (part)	12/07/21	J	D	
17. Ishares Russell 2000 Growth ETF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Kelly, Jane	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. Mastercard Inc stock	B	Dividend	K	T					
19. Nextera Energy stock	A	Dividend	K	T					
20. Skyworks Solutions stock	A	Dividend	K	T					
21. TJX Companies stock	B	Dividend	K	T	Sold (part)	05/05/21	J	C	
22. United Technologies Corp (name change to Raytheon) stock	A	Dividend	J	T					
23. Univ of Iowa Bond (3 bonds,no additional detail available)	A	Interest	K	T					
24. Vanguard CRSP Growth ETF	A	Dividend	L	T					
25. Vanguard CRSP Value ETF	A	Dividend	K	T					
26. Des Moines IA Bond (no additional description available)	A	Interest			Sold	11/22/21	J	A	
27. Higher Dimension Research stock		None	J	W					
28. Cedar Rapids, Iowa bond (failed to report redeemed on 2020 report)	A	Interest			Redeemed	6/30/20	K	A	
29. Comcast Corporation, Class A	A	Dividend	J	T					
30. American Funds, The Growth Fund of America	A	Dividend	J	T					
31. American Funds, The New Economy Fund	A	Dividend	J	T					
32. American Funds, The New Perspective Fund	A	Dividend	J	T					
33. American Funds, The SmallCap World Fund	A	Dividend	J	T					
34. Bank of the West cash account		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. JP Morgan Chase Bond (no additonal detail available)	A	Interest	K	T					
36. Abbott Labs stock	B	Dividend	K	T					
37. Cedar Rapids Iowa Bond (exp June 2031) 'sold' is error on 2020 report	A	Interest	K	T					
38. Lockheed Martin Corp stock	A	Dividend	K	T					
39. Johnson and Johnson stock	B	Dividend	K	T					
40. Alibaba Group Holding	A	Dividend			Sold	07/19/21	K	D	
41. Thermo Fisher Scientific stock	B	Dividend	K	T	Sold (part)	12/07/21	J	B	
42. PayPal Holdings stock	B	Dividend	K	T					
43. Highwoods Realty Bond (no additional detail available)	A	Interest	K	T					
44. College IA Community bond (formerly Univ of Iowa Bond exp June 2025)	A	Interest	K	T					
45. Mondelez International stock	A	Dividend	K	T					
46. Air Products and Chemicals stock	A	Dividend	J	T					
47. Valero Energy Corp stock	A	Dividend			Sold	03/25/21	J	A	
48. Bank of America, common stock	A	Dividend	K	T					
49. Lam Research Group stock	A	Dividend	K	T	Sold (part)	12/07/21	J	C	
50. Constellation Brands stock	A	Dividend	J	T					
51. Schwab Value Advantage, money market account	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. SPDR Investment Grade Floating ETF	A	Dividend			Sold (part)	01/06/21	J	A	
53.					Sold	12/07/21	J	A	
54. Davenport, Iowa bond (exp June 2028 no additional detail available)	A	Interest	J	T					
55. Prudential Financial Inc. stock	A	Dividend	J	T					
56. Verizon Communications stock	A	Dividend	J	T	Sold (part)	12/07/21	J	A	
57. Amazon.com Inc stock	C	Dividend	K	T	Sold (part)	12/07/21	J	B	
58. Crown Castle International Corp stock	A	Dividend	K	T	Sold (part)	12/07/21	J	A	
59. Facebook Inc. stock	B	Dividend	K	T					
60. Merck and Co. Inc. stock	A	Dividend	J	T					
61. Microsoft Corp. stock	B	Dividend	K	T	Sold (part)	12/07/21	J	D	
62. PRA Health Sciences Inc. stock	A	Dividend			Sold (part)	05/05/21	J	A	
63.					Sold	07/02/21	K	D	
64. Xylem Inc. stock	A	Dividend	J	T	Sold (part)	01/11/21	J	B	
65. Grifols SA stock	A	Dividend			Sold	03/15/21	J	A	
66. Koninklijke Ahold stock	A	Dividend	K	T					
67. Novartis AG -ADR stock	A	Dividend	K	T					
68. Pepsico Inc stock	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69. Ankeny, Iowa bond (no additional detail available)	A	Interest	J	T					
70. Bank of America bond	A	Interest	K	T					
71. Cal Atlantic Group bond	A	Interest	J	T					
72. KB Home bond	A	Interest	K	T					
73. Otis Worldwide Corp stock	A	Dividend	J	T	Sold (part)	05/05/21	J	B	
74.					Sold (part)	12/07/21	J	C	
75. Nike Inc stock	A	Dividend	J	T					
76. Target Corp stock	B	Dividend	K	T	Sold (part)	05/05/21	J	D	
77.					Sold (part)	12/07/21	J	B	
78. Enersys stock	B	Dividend			Sold	10/05/21	J	A	
79. Hasbro Inc stock	B	Dividend	K	T	Buy (add'l)	05/05/21	J		
80. Nucor Corp stock	B	Dividend	K	T	Buy (add'l)	05/05/21	J		
81.					Sold (part)	12/07/21	J	C	
82. Akamai Technologies stock	B	Dividend	J	T					
83. Allegion PLC stock	B	Dividend			Sold	02/05/21	J	A	
84. Lumentum Holdings stock	A	Dividend			Sold	07/05/21	J	A	
85. Taiwan SemiConductor Mfg stock	D	Dividend	K	T	Sold (part)	05/05/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86. Vodafone Group PLC stock	A	Dividend	J	T					
87. Canadian Solar Inc stock	A	Dividend	J	T	Buy	05/05/21	J		
88.					Sold (part)	12/07/21	J		
89. Carrier Global stock (X) (mistakenly omitted on previous report)	A	Dividend	K	T					
90.					Sold (part)	05/05/21	J	B	
91.					Sold (part)	12/07/21	J	D	
92. ICON PLC stock	A	Dividend	K	T	Buy	07/02/21	K		
93. Waukee IA bond (no additional detail available)	A	Interest	J	T	Buy	07/13/21	J		
94. Ford Motor Co. stock	A	Dividend	K	T	Buy	02/05/21	K		
95. Simon Property Group stock	A	Dividend	J	T	Buy	11/23/21	J		
96.					Buy (add'l)	12/07/21	J		
97. Oshkosh Corp. stock	A	Dividend	K	T	Buy	10/06/21	K		
98. Catalent Inc. stock	A	Dividend	K	T	Buy	07/20/21	K		
99. Fleetcor Technologies stock	A	Dividend	J	T	Buy	07/21/21	J		
100. Teledyne Technologies stock	A	Dividend	K	T	Buy	07/21/21	K		
101. Enbridge Inc. stock	A	Dividend	J	T	Buy	11/22/21	J		
102.					Buy (add'l)	12/07/21	J		

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103. Sony Group Corp stock	A	Dividend	J	T	Buy	05/05/21	J		
104. Toronto-Dominion Bank stock	A	Dividend	K	T	Buy	11/19/21	K		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kelly, Jane	05/09/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII Investments and Trusts, listed are two Cedar Rapids Iowa bonds (no additional detail available for either), one to expire June 2021, and one to expire June 2031. On my 2020 report, I mistakenly reported the June 2031 bond was redeemed/sold. Instead, in 2020, it was the June 2021 bond that was redeemed/sold. The 2031 bond remains. I tried to clarify this in the asset description. As to the Apple stock, I erroneously identified it as sold in full on my 2020 report when it was only sold in part. It remained at all relevant times on my recusal list.

Name of Person Reporting	Date of Report
Kelly, Jane	05/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jane Kelly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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