AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kelly, Jr., Paul J.	U.S. Court of Appeals-10th Cir	04/04/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Post Office Box 10113 Santa Fe, New Mexico 87504-6113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors	Hondo Fire & Rescue, 501 (3)(C)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Kelly, Jr., Paul J.	04/04/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	State of New Mexico, Public Employees Retirement Assn. Volunteer Firefighter Pension	$1,500.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Kelly, Jr., Paul J.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. U.S. Bank, [redacted] (cash (equivalent)	A	Interest	J	T					
2. Misc. Oil & Gas Interests (Lea & Eddy Co., NM)(Wyo, Texas)	A	Royalty	J	W					
3. Rich Third Ltd.	B	Dividend	K	W					
4. First National Tower Ltd.	A	Dividend	L	W					
5. Northwestern Mutual Life (whole life)	D	Int./Div.	L	T					
6. Goldman Sachs Tr. Fnd. Treas. Int.	A	Dividend	J	T					
7. Invesco	A	Dividend	J	T	Sold (part)	07/19/23	J	A	
8. American Euro Pacific Gr. Cl. F-2	A	Dividend	J	T	Sold (part)	07/26/23	J	A	
9. US Bancorp	A	Dividend	J	T					
10. Harbor Fund Cap App. Inst. Cl.	A	Dividend	J	T					
11. MFS Ser. Tr X Emerging Mkts	A	Dividend	J	T					
12. Prinicipal Fds Inc Mid Cap	A	Dividend	J	T					
13. Principal Fds Inc H.Y. Inst. Cl.	A	Dividend	J	T					
14. Metropolitan West Fds Tot Return	A	Dividend			Sold	10/17/23	J	A	
15. Touchstone Div. Equity Fd. (FNA) (AIG Focused Div Strategy)	A	Dividend	M	T	Sold (part)	06/02/23	J	A	
16. AQR Managed Fut.Strat	A	Dividend	J	T					
17. Blackrock Fds Global Long/Short Gr.Fd	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.	MFS Ser. Tr. 1 Val. Fd. Cl. 1	A	Dividend	J	T					
19.	Prin Fds Global RE Sec	A	Dividend	J	T					
20.	Nuveen Inv. Fd RE Sec. Fd Cl 1	A	Dividend	J	T					
21.	Fidelity 500 Index Fd.	A	Dividend	J	T	Sold (part)	07/19/23	J	A	
22.	Black Rock Global Long - Short	A	Dividend	J	T					
23.	Baird Fds Agg Bd. Fd.	A	Dividend	J	T	Buy	07/26/23	J		
24.						Sold (part)	07/26/23	J	A	
25.	Wells Fargo Money Market	B	Interest	L	T					
26.	45 Mainstay Winslow Lg Cap Growth	A	Dividend	K	T	Sold (part)	07/19/23	J	A	
27.						Buy (add'l)	07/26/23	J		
28.	American Beacon Fds - AHL Managed Futures Strategy Fd Yd	A	Dividend	J	T					
29.	Abbey Cap. Futures Strategy Fd Cls 1	A	Dividend	J	T					
30.	All Spring Special Mid Cap Value Fd	A	Dividend	J	T					
31.	Pimco Fds Euro Pac. Clss F-2	A	Dividend	J	T					
32.	Pimco Fds Pac. Inv. Mgmt Total Ret.	A	Dividend	J	T					
33.	Pimco Commodity Real Ret. Strat. Fd.	A	Dividend	J	T					
34.	T. Rowe Price Overseas	A	Dividend	J	T	Buy	07/26/23	J		

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35. Delaware Sm. Cap.	A	Dividend	K	T					
36. T. Rowe Price Intnatl Fd.	A	Dividend	J	T	Sold (part)	07/26/23	J		
37. JP Morgan	A	Dividend	K	T	Buy	10/17/23	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul J. Kelly, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544