AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| KERN, TERENCE C. | USDC/NDOK | 04/20/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge (Senior) | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021 **to** 12/31/2021 |
| **7. Chambers or Office Address** | | |
| The Federal Building<br>224 South Boulder Ave., Rm 241<br>Tulsa, OK 74103 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. Dewey County, OK (H) | | | | | | | | | |
| 2. [redacted] | | None | J | W | | | | | |
| 3. [redacted] | | None | J | W | | | | | |
| 4. [redacted] | | None | J | W | | | | | |
| 5. [redacted], Blake Production (Putnam Oswego) | | None | J | W | | | | | |
| 6. [redacted], Encino Operating (Branham) | A | Royalty | J | W | | | | | |
| 7. Garvin County, OK (H) | | | | | | | | | |
| 8. [redacted], MacKellar, Enerwest, Rimrock (Eva, Mundy-Walters, Grimes) | A | Royalty | J | W | | | | | |
| 9. [redacted] | | None | J | W | | | | | |
| 10. [redacted] | | None | J | W | | | | | |
| 11. [redacted] | | None | J | W | | | | | |
| 12. [redacted] | | None | J | W | | | | | |
| 13. [redacted], ONEOK, Sunoco (Curry, Pecan) | A | Royalty | J | W | | | | | |
| 14. [redacted], Vitol (Tuley Deese) | A | Royalty | J | W | | | | | |
| 15. [redacted] | | None | J | W | | | | | |
| 16. [redacted] | | None | J | W | | | | | |
| 17. [redacted], Red Sky (Petrie) | A | Royalty | J | W | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. [redacted] | | None | J | W | | | | | |
| 19. [redacted], Citation (Floyd) | A | Royalty | J | W | | | | | |
| 20. [redacted], Shell (Crump-Muncrief) | A | Royalty | J | W | | | | | |
| 21. [redacted] | | None | J | W | | | | | |
| 22. Logan County, OK (H) | | | | | | | | | |
| 23. [redacted] | | None | J | W | | | | | |
| 24. McClain County, OK (H) | | | | | | | | | |
| 25. [redacted], Encana (Larry) | C | Royalty | J | W | | | | | |
| 26. Murray County, OK (H) | | | | | | | | | |
| 27. [redacted] | | None | J | W | | | | | |
| 28. [redacted], American Petroleum (N Davis Basal) | A | Royalty | J | W | | | | | |
| 29. [redacted] | | None | J | W | | | | | |
| 30. [redacted] | | None | J | W | | | | | |
| 31. Seminole County, OK (H) | | | | | | | | | |
| 32. 27-10N-7E | | None | J | W | | | | | |
| 33. Stephens County, OK (H) | | | | | | | | | |
| 34. [redacted], Jack Oil (Crump-Wildcat) | A | Royalty | J | W | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. [redacted] | | None | J | W | | | | | |
| 36. BROKERAGE ACCOUNT #2 (H) | | | | | | | | | |
| 37. UBS Bank USA Deposit Acct(Active Asset Money Fund) | A | Interest | L | T | | | | | |
| 38. Enterprise Products Partner LP MLP | B | Distribution | K | T | | | | | |
| 39. USA Compression Partners | C | Distribution | K | T | | | | | |
| 40. Apple Inc | A | Dividend | L | T | | | | | |
| 41. AT&T Inc. | B | Distribution | K | T | | | | | |
| 42. Amazon (X) | A | Dividend | J | T | Buy | 08/17/21 | J | | |
| 43. Qualcomm QCOM (X) | A | Dividend | K | T | Buy | 03/04/21 | K | | |
| 44. Taiwan Semiconductor TSM (X) | A | Dividend | | | Buy | 03/24/21 | K | | |
| 45. | | | | | Sold | 12/20/21 | K | A | |
| 46. BROKERAGE ACCOUNT #3 (H) | | | | | | | | | |
| 47. Fidelity Investment Fund Deposit Acct (Active Asset Money Fund) | A | Interest | M | T | | | | | |
| 48. AT&T Inc | A | Dividend | | | Sold (part) | 05/27/21 | J | A | |
| 49. | | | | | Sold | 06/02/21 | J | A | |
| 50. Brookfield Real Assets | A | Dividend | J | T | | | | | |
| 51. Ladder Cap Corp (LADR) | A | Dividend | | | Sold (part) | 03/15/21 | J | A | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. | | | | | Sold | 05/15/21 | J | A | |
| 53. Great Ajax Corp (AJX) | A | Dividend | | | Sold | 01/17/21 | J | A | |
| 54. Cypress Energy Partners (CELP) | A | Dividend | J | T | | | | | |
| 55. Abbvie Inc | B | Dividend | L | T | Sold (part) | 01/25/21 | K | B | |
| 56. AGNC Invt Corp | A | Dividend | | | Sold | 01/12/21 | J | A | |
| 57. Alpine Income | A | Dividend | J | T | | | | | |
| 58. Applied Materials Inc | E | Dividend | K | T | Buy (add'l) | 05/06/21 | J | | |
| 59. Ares Coml Real Estate | A | Dividend | J | T | Buy (add'l) | 04/21/21 | J | | |
| 60. | | | | | Sold (part) | 08/21/21 | J | A | |
| 61. Big Lots Inc | A | Dividend | | | Buy (add'l) | 01/04/21 | J | | |
| 62. | | | | | Sold | 01/08/21 | J | A | |
| 63. Bristo.-Myers Squibb | B | Dividend | | | Buy (add'l) | 01/29/21 | J | | |
| 64. | | | | | Buy (add'l) | 02/09/21 | J | | |
| 65. | | | | | Buy (add'l) | 02/11/21 | J | | |
| 66. | | | | | Sold | 05/06/21 | K | B | |
| 67. Cambium Networks | C | Dividend | | | Buy (add'l) | 02/01/21 | J | | |
| 68. | | | | | Sold (part) | 02/17/21 | J | B | |

| | | | | | |
|---|---|---|---|---|---|
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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|---|---|---|---|---|---|---|---|---|---|
| 69. | | | | | Buy (add'l) | 09/14/21 | J | | |
| 70. | | | | | Sold (part) | 11/10/21 | J | C | |
| 71. | | | | | Sold (part) | 11/12/21 | J | A | |
| 72. | | | | | Sold | 11/15/21 | J | B | |
| 73. Catalyst Pharmaceuticals | A | Dividend | | | Sold | 01/07/21 | J | A | |
| 74. Celldex Therapeutics | B | Dividend | | | Buy (add'l) | 01/13/21 | J | | |
| 75. | | | | | Sold (part) | 02/17/21 | J | A | |
| 76. | | | | | Sold (part) | 03/31/21 | J | A | |
| 77. | | | | | Sold | 04/05/21 | J | B | |
| 78. Centene Corp | A | Dividend | | | Sold (part) | 01/15/21 | J | A | |
| 79. | | | | | Sold | 01/20/21 | J | A | |
| 80. COHU Inc | B | Dividend | | | Buy (add'l) | 01/28/21 | J | | |
| 81. | | | | | Sold (part) | 04/23/21 | J | B | |
| 82. | | | | | Sold | 04/27/21 | J | A | |
| 83. Corning Inc | A | Dividend | J | T | Buy (add'l) | 01/26/21 | J | | |
| 84. | | | | | Buy (add'l) | 02/11/21 | J | | |
| 85. Energy Transfer | A | Distribution | J | T | Buy (add'l) | 03/15/21 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | Buy (add'l) | 11/12/21 | J | | |
| 87. Fifth Third Bancorp | B | Dividend | | | Sold | 02/26/21 | J | B | |
| 88. Fulgent Genetics | E | Dividend | | | Sold (part) | 01/12/21 | J | A | |
| 89. | | | | | Sold (part) | 02/02/21 | J | D | |
| 90. | | | | | Sold (part) | 02/03/21 | J | D | |
| 91. | | | | | Sold | 02/11/21 | J | D | |
| 92. Genmab | A | Dividend | | | Buy (add'l) | 01/14/21 | J | | |
| 93. | | | | | Sold (part) | 02/25/21 | J | A | |
| 94. | | | | | Buy (add'l) | 03/02/21 | J | | |
| 95. | | | | | Sold | 04/21/21 | J | A | |
| 96. Global Med | A | Dividend | | | Sold | 01/15/21 | J | A | |
| 97. Horizon Pharma | B | Dividend | | | Sold (part) | 01/25/21 | J | A | |
| 98. | | | | | Sold | 04/05/21 | J | B | |
| 99. Issuer Direct | A | Dividend | J | T | Sold (part) | 01/15/21 | J | A | |
| 100. | | | | | Buy (add'l) | 02/02/21 | J | | |
| 101. | | | | | Sold (part) | 03/09/21 | J | A | |
| 102. | | | | | Buy (add'l) | 03/24/21 | J | | |

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| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | | | | Buy (add'l) | 06/29/21 | J | | |
| 104. | | | | | Buy (add'l) | 08/11/21 | J | | |
| 105. | | | | | Buy (add'l) | 06/29/21 | J | | |
| 106. | | | | | Buy (add'l) | 08/11/21 | J | | |
| 107. JP Morgan Chase | A | Dividend | J | T | | | | | |
| 108. Kroger Co | A | Dividend | | | Sold (part) | 02/02/21 | J | A | |
| 109. | | | | | Sold | 03/29/21 | J | A | |
| 110. Limbach Hldgs | A | Dividend | | | Buy (add'l) | 01/29/21 | J | | |
| 111. | | | | | Sold (part) | 02/12/21 | J | | |
| 112. | | | | | Buy (add'l) | 02/25/21 | J | | |
| 113. | | | | | Buy (add'l) | 03/08/21 | J | | |
| 114. | | | | | Buy (add'l) | 03/30/21 | J | | |
| 115. | | | | | Sold | 04/27/21 | J | A | |
| 116. Merck & Co | A | Dividend | | | Sold | 01/12/21 | J | A | |
| 117. Microsoft Corp | A | Dividend | M | T | | | | | |
| 118. New York Mortgage (NYMT) | A | Dividend | J | T | | | | | |
| 119. Optimizerx Corp | C | Dividend | | | Sold (part) | 01/27/21 | J | B | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. | | | | | Sold | 02/16/21 | J | B | |
| 121. Quarterhill Inc | A | Dividend | | | Sold (part) | 01/14/21 | J | A | |
| 122. | | | | | Sold | 02/04/21 | J | A | |
| 123. Raytheon Technologies | B | Dividend | | | Sold | 01/15/21 | J | B | |
| 124. Sorrento Therapeutics | A | Dividend | | | Sold (part) | 01/12/21 | J | A | |
| 125. | | | | | Sold | 01/20/21 | J | A | |
| 126. Trevena Inc | A | Dividend | J | T | Sold (part) | 01/15/21 | J | A | |
| 127. | | | | | Sold (part) | 04/19/21 | J | A | |
| 128. Trinity Biotech | B | Dividend | J | T | Buy (add'l) | 01/05/21 | J | | |
| 129. | | | | | Buy (add'l) | 01/19/21 | J | | |
| 130. | | | | | Sold (part) | 03/29/21 | J | A | |
| 131. | | | | | Sold (part) | 03/30/21 | J | B | |
| 132. | | | | | Sold (part) | 04/06/21 | J | A | |
| 133. | | | | | Sold (part) | 06/08/21 | J | A | |
| 134. Ultra Clean Hldgs | D | Dividend | J | T | Sold (part) | 02/18/21 | J | C | |
| 135. | | | | | Sold (part) | 04/07/21 | J | D | |
| 136. | | | | | Buy (add'l) | 12/08/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. | | | | | Buy (add'l) | 12/08/21 | J | | |
| 138. United Micr Elctrns | A | Dividend | J | T | Sold (part) | 02/01/21 | J | B | |
| 139. | | | | | Sold (part) | 03/24/21 | J | B | |
| 140. | | | | | Sold (part) | 10/05/21 | J | | |
| 141. | | | | | Buy (add'l) | 10/06/21 | J | | |
| 142. ViacomCBS Inc | D | Dividend | | | Sold (part) | 03/15/21 | J | D | |
| 143. | | | | | Sold | 03/16/21 | J | C | |
| 144. Vici Pptys Inc | A | Dividend | J | T | Buy (add'l) | 09/27/21 | J | | |
| 145. | | | | | Buy (add'l) | 12/21/21 | J | | |
| 146. Virtus | A | Dividend | | | Sold | 03/29/21 | J | A | |
| 147. Vodafone Group | A | Dividend | | | Buy (add'l) | 01/05/21 | J | | |
| 148. | | | | | Buy (add'l) | 01/14/21 | J | | |
| 149. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 150. | | | | | Sold (part) | 05/27/21 | J | A | |
| 151. | | | | | Sold (part) | 06/01/21 | J | A | |
| 152. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 153. | | | | | Sold | 05/27/21 | J | A | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
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| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. Aberdeen Asia-Pacific (X) | A | Dividend | | | Buy | 05/17/21 | J | | |
| 155. | | | | | Buy (add'l) | 06/11/21 | J | | |
| 156. | | | | | Sold | 06/22/21 | J | A | |
| 157. Accuray Inc (X) | A | Dividend | | | Buy | 03/31/21 | J | | |
| 158. | | | | | Sold | 07/30/21 | J | A | |
| 159. Alcoa Corp (X) | D | Dividend | J | T | Buy | 07/19/21 | J | | |
| 160. | | | | | Buy (add'l) | 07/20/21 | J | | |
| 161. | | | | | Buy (add'l) | 10/29/21 | J | | |
| 162. Amazon (X) | A | Dividend | J | T | Buy | 08/21/21 | J | | |
| 163. Arcelormittal NY (X) | A | Dividend | J | T | Buy | 06/21/21 | J | | |
| 164. | | | | | Sold (part) | 07/12/21 | J | A | |
| 165. | | | | | Buy (add'l) | 07/20/21 | J | | |
| 166. | | | | | Sold (part) | 09/21/21 | J | A | |
| 167. | | | | | Buy (add'l) | 09/30/21 | J | | |
| 168. | | | | | Buy (add'l) | 10/05/21 | J | | |
| 169. | | | | | Buy (add'l) | 10/06/21 | J | | |
| 170. | | | | | Buy (add'l) | 10/07/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 171. ASE Technology (X) | A | Dividend | | | Buy | 08/26/21 | J | | |
| 172. | | | | | Buy (add'l) | 09/21/21 | J | | |
| 173. | | | | | Buy (add'l) | 10/01/21 | J | | |
| 174. | | | | | Sold (part) | 11/16/21 | J | A | |
| 175. | | | | | Sold | 11/23/21 | J | A | |
| 176. Aviat Networks (X) | B | Dividend | J | T | Buy | 03/26/21 | J | | |
| 177. | | | | | Buy (add'l) | 03/29/21 | J | | |
| 178. | | | | | Buy (add'l) | 04/22/21 | J | | |
| 179. | | | | | Buy (add'l) | 05/10/21 | J | | |
| 180. | | | | | Sold (part) | 05/17/21 | J | A | |
| 181. | | | | | Sold (part) | 05/25/21 | J | A | |
| 182. | | | | | Sold (part) | 05/27/21 | J | A | |
| 183. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 184. | | | | | Sold (part) | 09/22/21 | J | A | |
| 185. | | | | | Buy (add'l) | 11/26/21 | J | | |
| 186. Big 5 Sporting Goods (X) | C | Dividend | J | T | Buy | 02/04/21 | J | | |
| 187. | | | | | Buy (add'l) | 02/10/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 188. | | | | | Buy (add'l) | 02/19/21 | J | | |
| 189. | | | | | Buy (add'l) | 02/22/21 | J | | |
| 190. | | | | | Buy (add'l) | 02/25/21 | J | | |
| 191. | | | | | Buy (add'l) | 03/02/21 | J | | |
| 192. | | | | | Buy (add'l) | 03/05/21 | J | | |
| 193. | | | | | Buy (add'l) | 03/08/21 | J | | |
| 194. | | | | | Sold (part) | 06/04/21 | J | C | |
| 195. | | | | | Buy (add'l) | 06/09/21 | J | | |
| 196. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 197. | | | | | Buy (add'l) | 07/21/21 | J | | |
| 198. | | | | | Buy (add'l) | 12/02/21 | J | | |
| 199. | | | | | Buy (add'l) | 12/07/21 | J | | |
| 200. | | | | | Buy (add'l) | 12/30/21 | J | | |
| 201. Bluelinx Hldgs (X) | D | Dividend | | | Buy | 05/17/21 | J | | |
| 202. | | | | | Buy (add'l) | 05/18/21 | J | | |
| 203. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 204. | | | | | Buy (add'l) | 06/07/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 205. | | | | | Buy (add'l) | 06/10/21 | J | | |
| 206. | | | | | Buy (add'l) | 07/19/21 | J | | |
| 207. | | | | | Sold (part) | 08/06/21 | J | A | |
| 208. | | | | | Sold | 12/14/21 | K | D | |
| 209. Cemex (X) | A | Dividend | | | Buy | 05/25/21 | J | | |
| 210. | | | | | Buy (add'l) | 05/27/21 | J | | |
| 211. | | | | | Sold | 07/07/21 | J | A | |
| 212. Commercial Vehicle (X) | A | Dividend | | | Buy | 06/29/21 | J | | |
| 213. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 214. | | | | | Buy (add'l) | 07/01/21 | J | | |
| 215. | | | | | Sold | 08/23/21 | J | A | |
| 216. Comstock Mng Inc (X) | A | Dividend | J | T | Buy | 03/31/21 | J | | |
| 217. | | | | | Sold (part) | 04/08/21 | J | A | |
| 218. | | | | | Buy (add'l) | 05/06/21 | J | | |
| 219. | | | | | Buy (add'l) | 07/20/21 | J | | |
| 220. | | | | | Buy (add'l) | 07/26/21 | J | | |
| 221. Container Store (X) | A | Dividend | J | T | Buy | 03/01/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 222. | | | | | Buy (add'l) | 03/18/21 | J | | |
| 223. | | | | | Buy (add'l) | 03/26/21 | J | | |
| 224. | | | | | Buy (add'l) | 05/06/21 | J | | |
| 225. | | | | | Sold (part) | 05/25/21 | J | A | |
| 226. | | | | | Sold (part) | 06/09/21 | J | A | |
| 227. | | | | | Sold (part) | 08/03/21 | J | A | |
| 228. Cowen Inc (X) | A | Dividend | | | Buy | 07/15/21 | J | | |
| 229. | | | | | Buy (add'l) | 07/21/21 | J | | |
| 230. | | | | | Sold (part) | 09/24/21 | J | A | |
| 231. | | | | | Sold (part) | 09/27/21 | J | A | |
| 232. | | | | | Sold | 10/01/21 | J | A | |
| 233. Danaos Corp (X) | A | Dividend | J | T | Buy | 03/31/21 | J | | |
| 234. | | | | | Buy (add'l) | 04/01/21 | J | | |
| 235. | | | | | Buy (add'l) | 04/06/21 | J | | |
| 236. | | | | | Buy (add'l) | 07/19/21 | J | | |
| 237. Daqo New Energy (X) | A | Dividend | | | Buy | 04/20/21 | K | | |
| 238. | | | | | Buy (add'l) | 05/06/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 239. | | | | | Buy (add'l) | 05/17/21 | J | | |
| 240. | | | | | Sold (part) | 07/07/21 | J | A | |
| 241. | | | | | Sold | 07/15/21 | K | A | |
| 242. EDAP TMS (X) | A | Dividend | | | Buy | 04/14/21 | J | | |
| 243. | | | | | Buy (add'l) | 04/19/21 | J | | |
| 244. | | | | | Buy (add'l) | 04/21/21 | J | | |
| 245. | | | | | Sold (part) | 09/02/21 | J | A | |
| 246. | | | | | Sold | 09/23/21 | J | A | |
| 247. Enova International (X) | A | Dividend | | | Buy | 07/07/21 | J | | |
| 248. | | | | | Buy (add'l) | 07/12/21 | J | | |
| 249. | | | | | Sold | 10/05/21 | J | A | |
| 250. Euroseas Ltd (X) | A | Dividend | J | T | Buy | 06/30/21 | J | | |
| 251. | | | | | Buy (add'l) | 07/01/21 | J | | |
| 252. | | | | | Sold (part) | 09/09/21 | J | A | |
| 253. | | | | | Buy (add'l) | 10/12/21 | J | | |
| 254. | | | | | Buy (add'l) | 10/14/21 | J | | |
| 255. | | | | | Buy (add'l) | 10/15/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 256. | | | | | Sold (part) | 12/21/21 | J | A | |
| 257. Finvolution Group (X) | A | Dividend | J | T | Buy | 06/14/21 | J | | |
| 258. | | | | | Buy (add'l) | 08/12/21 | J | | |
| 259. | | | | | Buy (add'l) | 08/27/21 | J | | |
| 260. | | | | | Buy (add'l) | 09/30/21 | J | | |
| 261. | | | | | Buy (add'l) | 12/30/21 | J | | |
| 262. Franklin Wireless (X) | A | Dividend | | | Buy | 01/19/21 | J | | |
| 263. | | | | | Sold (part) | 01/25/21 | J | A | |
| 264. | | | | | Sold | 01/29/21 | J | A | |
| 265. Grayscale Ethereum (X) | A | Dividend | | | Buy | 05/25/21 | J | | |
| 266. | | | | | Sold | 05/27/21 | J | A | |
| 267. Green Brick Partners (X) | A | Dividend | | | Buy | 03/22/21 | J | | |
| 268. | | | | | Buy (add'l) | 03/24/21 | J | | |
| 269. | | | | | Buy (add'l) | 03/29/21 | J | | |
| 270. | | | | | Sold (part) | 05/27/21 | J | A | |
| 271. | | | | | Sold | 09/17/21 | J | A | |
| 272. Grindrod Shipping (X) | A | Dividend | J | T | Buy | 09/22/21 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 273. | | | | | Buy (add'l) | 09/28/21 | J | | |
| 274. | | | | | Sold (part) | 11/23/21 | J | A | |
| 275. | | | | | Buy (add'l) | 12/16/21 | J | | |
| 276. | | | | | Buy (add'l) | 12/28/21 | J | | |
| 277. Hibbett Sports (X) | B | Dividend | | | Buy | 03/08/21 | K | | |
| 278. | | | | | Buy (add'l) | 03/09/21 | J | | |
| 279. | | | | | Sold (part) | 06/22/21 | J | B | |
| 280. | | | | | Sold | 07/06/21 | J | D | |
| 281. Himax Technologies (X) | A | Dividend | | | Buy | 03/26/21 | J | | |
| 282. | | | | | Buy (add'l) | 03/29/21 | J | | |
| 283. | | | | | Buy (add'l) | 04/14/21 | J | | |
| 284. | | | | | Buy (add'l) | 04/19/21 | J | | |
| 285. | | | | | Sold (part) | 06/02/21 | J | A | |
| 286. | | | | | Buy (add'l) | 12/02/21 | J | | |
| 287. | | | | | Sold | 12/16/21 | J | A | |
| 288. Impala Platinum (X) | A | Dividend | J | T | Buy | 04/13/21 | J | | |
| 289. | | | | | Buy (add'l) | 04/22/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 290. | | | | | Buy (add'l) | 06/29/21 | J | | |
| 291. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 292. | | | | | Buy (add'l) | 08/27/21 | J | | |
| 293. Information Svcs Group (X) | A | Dividend | | | Buy | 05/27/21 | J | | |
| 294. | | | | | Buy (add'l) | 06/22/21 | J | | |
| 295. | | | | | Buy (add'l) | 07/06/21 | J | | |
| 296. | | | | | Sold | 08/06/21 | J | A | |
| 297. Infosys Ltd (X) | A | Dividend | | | Buy | 02/11/21 | J | | |
| 298. | | | | | Buy (add'l) | 02/19/21 | J | | |
| 299. | | | | | Buy (add'l) | 03/02/21 | J | | |
| 300. | | | | | Sold | 03/29/21 | J | A | |
| 301. ING Groep (X) | A | Dividend | | | Buy | 06/08/21 | J | | |
| 302. | | | | | Buy (add'l) | 06/11/21 | J | | |
| 303. | | | | | Buy (add'l) | 06/22/21 | J | | |
| 304. | | | | | Sold | 09/23/21 | J | A | |
| 305. Inovio Pharmaceuticals (X) | A | Dividend | | | Buy | 02/02/21 | J | | |
| 306. | | | | | Sold | 02/05/21 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 307. Intest Corp (X) | A | Dividend | | | Buy | 06/22/21 | J | | |
| 308. | | | | | Buy (add'l) | 07/16/21 | J | | |
| 309. | | | | | Buy (add'l) | 08/12/21 | J | | |
| 310. | | | | | Sold (part) | 11/19/21 | J | A | |
| 311. | | | | | Sold | 11/29/21 | J | A | |
| 312. Joint Corp (X) | A | Dividend | | | Buy | 03/08/21 | J | | |
| 313. | | | | | Buy (add'l) | 03/11/21 | J | | |
| 314. | | | | | Sold | 03/23/21 | J | A | |
| 315. Kimball Electronics (X) | A | Dividend | | | Buy | 03/23/21 | J | | |
| 316. | | | | | Buy (add'l) | 03/25/21 | J | | |
| 317. | | | | | Buy (add'l) | 03/31/21 | J | | |
| 318. | | | | | Buy (add'l) | 04/26/21 | J | | |
| 319. | | | | | Sold (part) | 05/17/21 | J | A | |
| 320. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 321. | | | | | Sold (part) | 08/09/21 | J | A | |
| 322. | | | | | Sold | 08/26/21 | J | A | |
| 323. Lumen Technologies (X) | A | Dividend | | | Buy | 04/13/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 324. | | | | | Buy (add'l) | 04/14/21 | J | | |
| 325. | | | | | Buy (add'l) | 04/15/21 | J | | |
| 326. | | | | | Sold | 04/23/21 | J | A | |
| 327. Meridian Bioscience (X) | B | Dividend | | | Buy | 03/08/21 | J | | |
| 328. | | | | | Buy (add'l) | 03/15/21 | J | | |
| 329. | | | | | Sold (part) | 03/30/21 | J | A | |
| 330. | | | | | Sold | 04/05/21 | J | B | |
| 331. | | | | | Buy (add'l) | 04/09/21 | J | | |
| 332. | | | | | Sold | 04/13/21 | J | A | |
| 333. | | | | | Buy (add'l) | 04/27/21 | J | | |
| 334. | | | | | Buy (add'l) | 05/11/21 | J | | |
| 335. | | | | | Sold (part) | 05/27/21 | J | A | |
| 336. | | | | | Sold | 07/01/21 | J | A | |
| 337. Micron Technology (X) | A | Dividend | | | Buy | 05/17/21 | J | | |
| 338. | | | | | Buy (add'l) | 06/22/21 | J | | |
| 339. | | | | | Sold | 07/12/21 | K | A | |
| 340. | | | | | Buy | 08/16/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 341. | | | | | Sold | 08/25/21 | J | A | |
| 342. Navios Maritime (X) | A | Dividend | | | Buy | 04/06/21 | J | | |
| 343. | | | | | Buy (add'l) | 04/07/21 | J | | |
| 344. | | | | | Buy (add'l) | 06/01/21 | J | | |
| 345. | | | | | Sold (part) | 06/09/21 | J | A | |
| 346. | | | | | Sold | 07/28/21 | J | A | |
| 347. Omega Healthcare (X) | A | Dividend | | | Buy (add'l) | 12/21/21 | J | | |
| 348. | | | | | Sold | 12/28/21 | J | A | |
| 349. Owens & Minor (X) | A | Dividend | | | Buy | 05/19/21 | J | | |
| 350. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 351. | | | | | Buy (add'l) | 08/05/21 | J | | |
| 352. | | | | | Sold | 10/18/21 | J | A | |
| 353. Pacific Biosciences of CA (X) | A | Dividend | | | Buy | 01/25/21 | J | | |
| 354. | | | | | Buy (add'l) | 01/28/21 | J | | |
| 355. | | | | | Buy (add'l) | 02/02/21 | J | | |
| 356. | | | | | Sold (part) | 02/22/21 | J | B | |
| 357. | | | | | Sold (part) | 02/26/21 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 358. | | | | | Sold | 03/11/21 | J | A | |
| 359. PayPal (X) | A | Dividend | K | T | Buy | 11/12/21 | K | | |
| 360. | | | | | Buy (add'l) | 11/19/21 | J | | |
| 361. | | | | | Buy (add'l) | 11/22/21 | J | | |
| 362. | | | | | Buy (add'l) | 11/23/21 | J | | |
| 363. | | | | | Buy (add'l) | 12/02/21 | J | | |
| 364. Safe Bulkers Inc (X) | A | Dividend | | | Buy | 07/15/21 | J | | |
| 365. | | | | | Buy (add'l) | 07/26/21 | J | | |
| 366. | | | | | Sold (part) | 08/25/21 | J | A | |
| 367. | | | | | Buy (add'l) | 09/25/21 | J | | |
| 368. | | | | | Buy (add'l) | 11/16/21 | J | | |
| 369. | | | | | Buy (add'l) | 11/18/21 | J | | |
| 370. | | | | | Sold | 12/17/21 | J | A | |
| 371. Schnitzer Steel (X) | B | Dividend | | | Buy | 04/22/21 | J | | |
| 372. | | | | | Sold | 06/30/21 | J | B | |
| 373. SID Nacional (X) | A | Dividend | J | T | Buy | 08/30/21 | J | | |
| 374. | | | | | Buy (add'l) | 09/02/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 375. | | | | | Buy (add'l) | 09/14/21 | J | | |
| 376. Sibanye Stillwater (X) | A | Dividend | J | T | Buy | 03/05/21 | J | | |
| 377. | | | | | Buy (add'l) | 03/08/21 | J | | |
| 378. | | | | | Sold (part) | 04/15/21 | J | A | |
| 379. | | | | | Buy (add'l) | 05/14/21 | J | | |
| 380. | | | | | Buy (add'l) | 07/12/21 | J | | |
| 381. | | | | | Buy (add'l) | 08/27/21 | J | | |
| 382. Star Bulk Carriers (X) | B | Dividend | K | T | Buy | 06/16/21 | J | | |
| 383. | | | | | Buy (add'l) | 09/20/21 | J | | |
| 384. | | | | | Buy (add'l) | 09/22/21 | J | | |
| 385. | | | | | Buy (add'l) | 12/16/21 | J | | |
| 386. Streamline Health (X) | A | Dividend | | | Buy | 03/11/21 | J | | |
| 387. | | | | | Buy (add'l) | 03/19/21 | J | | |
| 388. | | | | | Sold (part) | 03/29/21 | J | A | |
| 389. | | | | | Sold | 03/30/21 | J | A | |
| 390. Tecnoglass Inc (X) | A | Dividend | | | Buy | 06/02/21 | J | | |
| 391. | | | | | Buy (add'l) | 06/04/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 392. | | | | | Buy (add'l) | 06/07/21 | J | | |
| 393. | | | | | Buy (add'l) | 07/21/21 | J | | |
| 394. | | | | | Sold | 08/09/21 | J | A | |
| 395. Teradata Corp (X) | B | Dividend | | | Buy | 03/15/21 | J | | |
| 396. | | | | | Buy (add'l) | 03/26/21 | J | | |
| 397. | | | | | Sold (part) | 05/17/21 | J | A | |
| 398. | | | | | Buy (add'l) | 07/20/21 | J | | |
| 399. | | | | | Sold | 09/14/21 | J | B | |
| 400. Ternium SA (X) | A | Dividend | J | T | Buy | 03/18/21 | J | | |
| 401. | | | | | Buy (add'l) | 03/19/21 | J | | |
| 402. | | | | | Buy (add'l) | 03/25/21 | J | | |
| 403. | | | | | Buy (add'l) | 05/07/21 | J | | |
| 404. | | | | | Sold (part) | 07/12/21 | J | A | |
| 405. | | | | | Buy (add'l) | 11/19/21 | J | | |
| 406. Textainer Group (X) | A | Dividend | | | Buy | 03/08/21 | J | | |
| 407. | | | | | Sold (part) | 03/24/21 | J | A | |
| 408. | | | | | Sold | 08/09/21 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **KERN, TERENCE C.** | 04/20/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 409. Timkensteel Corp (X) | A | Dividend | J | T | Buy | 06/16/21 | J | | |
| 410. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 411. | | | | | Buy (add'l) | 07/08/21 | J | | |
| 412. | | | | | Buy (add'l) | 07/21/21 | J | | |
| 413. | | | | | Sold (part) | 08/06/21 | J | A | |
| 414. | | | | | Sold (part) | 08/27/21 | J | A | |
| 415. | | | | | Buy (add'l) | 10/05/21 | J | | |
| 416. Tri Pointe Homes (X) | A | Dividend | | | Buy | 07/07/21 | J | | |
| 417. | | | | | Buy (add'l) | 07/08/21 | J | | |
| 418. | | | | | Sold (part) | 08/06/21 | J | A | |
| 419. | | | | | Sold | 10/01/21 | J | A | |
| 420. Tronox Holdings (X) | A | Dividend | J | T | Buy | 07/12/21 | J | | |
| 421. | | | | | Buy (add'l) | 07/21/21 | J | | |
| 422. | | | | | Sold (part) | 08/06/21 | J | A | |
| 423. Turtle Beach Corp (X) | A | Dividend | J | T | Buy | 03/31/21 | J | | |
| 424. | | | | | Buy (add'l) | 04/07/21 | J | | |
| 425. | | | | | Sold (part) | 05/10/21 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 426. | | | | | Buy (add'l) | 06/22/21 | J | | |
| 427. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 428. | | | | | Buy (add'l) | 07/06/21 | J | | |
| 429. | | | | | Buy (add'l) | 07/19/21 | J | | |
| 430. | | | | | Sold (part) | 11/16/21 | J | A | |
| 431. Vale S.A. (X) | A | Dividend | J | T | Buy | 08/09/21 | J | | |
| 432. | | | | | Buy (add'l) | 09/03/21 | J | | |
| 433. | | | | | Buy (add'l) | 09/10/21 | J | | |
| 434. | | | | | Buy (add'l) | 09/30/21 | J | | |
| 435. Viatris Inc (X) | A | Dividend | | | Buy | 01/19/21 | J | | |
| 436. | | | | | Sold | 02/05/21 | J | | |
| 437. Viper Energy (X) | A | Dividend | J | T | Buy | 12/28/21 | J | | |
| 438. Widepoint Corp (X) | A | Dividend | | | Buy | 02/03/21 | J | | |
| 439. | | | | | Sold | 02/17/21 | J | A | |
| 440. Zedge Inc (X) | A | Dividend | | | Buy | 07/29/21 | J | | |
| 441. | | | | | Sold | 08/09/21 | J | A | |
| 442. BROKERAGE ACCOUNT #4 - IRA (H) | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 443. UBS Bank USA Dep Acct | A | Interest | J | T | | | | | |
| 444. Apple, Inc. | B | Dividend | M | T | | | | | |
| 445. Plains All American Pipeline | B | Dividend | K | T | Buy (add'l) | 05/06/21 | J | | |
| 446. Crowdstrike Hldgs-CRWD (X) | A | Dividend | K | T | Buy | 01/28/21 | K | | |
| 447. | | | | | Buy (add'l) | 02/25/21 | J | | |
| 448. Bank of America-BAC (X) | A | Dividend | J | T | Buy | 02/02/21 | J | | |
| 449. Pfizer Inc-PFE (X) | A | Dividend | K | T | Buy | 02/08/21 | K | | |
| 450. | | | | | Sold (part) | 12/22/21 | J | B | |
| 451. Amazon.Com-AMZN (X) | A | Dividend | K | T | Buy | 02/25/21 | K | | |
| 452. | | | | | Buy (add'l) | 07/19/21 | J | | |
| 453. | | | | | Buy (add'l) | 08/16/21 | J | | |
| 454. BROKERAGE ACCOUNT #5-TDA (H) (X) | | | | | | | | | |
| 455. TDA Deposit Account (X) | C | Interest | J | T | Open | 05/06/21 | J | | |
| 456. Aberdeen Asia-FAX (X) | A | Dividend | J | T | Buy | 05/13/21 | J | | |
| 457. | | | | | Buy (add'l) | 05/28/21 | J | | |
| 458. | | | | | Buy (add'l) | 06/09/21 | J | | |
| 459. | | | | | Buy (add'l) | 06/30/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 460. AllianceBernstein-AWF (X) | A | Dividend | J | T | Buy | 05/18/21 | J | | |
| 461. | | | | | Buy (add'l) | 06/18/21 | J | | |
| 462. Arbor Realty-ABR (X) | A | Dividend | J | T | Buy | 05/19/21 | J | | |
| 463. | | | | | Buy (add'l) | 05/25/21 | J | | |
| 464. | | | | | Buy (add'l) | 06/01/21 | J | | |
| 465. | | | | | Buy (add'l) | 06/18/21 | J | | |
| 466. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 467. | | | | | Buy (add'l) | 07/08/21 | J | | |
| 468. ARES-ARCC (X) | A | Dividend | J | T | Buy | 12/01/21 | J | | |
| 469. AXA-AXAHY (X) | A | Dividend | J | T | Buy | 05/25/21 | J | | |
| 470. | | | | | Buy (add'l) | 06/09/21 | J | | |
| 471. BNP Paribas-BNPQY (X) | A | Dividend | J | T | Buy | 06/17/21 | J | | |
| 472. | | | | | Buy (add'l) | 06/18/21 | J | | |
| 473. | | | | | Buy (add'l) | 07/28/21 | J | | |
| 474. Caretrust Reit-CTRE (X) | A | Dividend | | | Buy | 05/13/21 | J | | |
| 475. | | | | | Buy (add'l) | 05/18/21 | J | | |
| 476. | | | | | Buy (add'l) | 06/03/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 477. | | | | | Buy (add'l) | 07/15/21 | J | | |
| 478. | | | | | Sold | 12/02/21 | J | A | |
| 479. Eaton Vance-EXG (X) | A | Dividend | | | Buy | 08/17/21 | J | | |
| 480. | | | | | Buy (add'l) | 10/29/21 | J | | |
| 481. | | | | | Sold | 11/09/21 | J | A | |
| 482. Exxon Mobil-XOM (X) | A | Dividend | J | T | Buy | 05/13/21 | J | | |
| 483. | | | | | Buy (add'l) | 06/09/21 | J | | |
| 484. | | | | | Buy (add'l) | 07/16/21 | J | | |
| 485. Medical Properties-MPW (X) | A | Dividend | J | T | Buy | 05/13/21 | J | | |
| 486. | | | | | Buy (add'l) | 05/28/21 | J | | |
| 487. | | | | | Buy (add'l) | 06/03/21 | J | | |
| 488. | | | | | Sold (part) | 06/03/21 | J | B | |
| 489. | | | | | Buy (add'l) | 06/17/21 | J | | |
| 490. | | | | | Buy (add'l) | 06/30/21 | J | | |
| 491. | | | | | Buy (add'l) | 07/08/21 | J | | |
| 492. | | | | | Buy (add'l) | 07/14/21 | J | | |
| 493. MGM Growth Properties-MGP (X) | A | Dividend | J | T | Buy | 06/03/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 494. | | | | | Buy (add'l) | 07/15/21 | J | | |
| 495. New Residential Invt-NRZ (X) | A | Dividend | J | T | Buy | 07/15/21 | J | | |
| 496. | | | | | Buy (add'l) | 07/23/21 | J | | |
| 497. | | | | | Buy (add'l) | 07/28/21 | J | | |
| 498. Sculptor Cap-SCU (X) | A | Dividend | J | T | Buy | 05/13/21 | J | | |
| 499. | | | | | Buy (add'l) | 05/13/21 | J | | |
| 500. | | | | | Buy (add'l) | 05/19/21 | J | | |
| 501. | | | | | Buy (add'l) | 05/25/21 | J | | |
| 502. | | | | | Buy (add'l) | 07/08/21 | J | | |
| 503. Transglobe Energy-TGA (X) | A | Dividend | | | Buy | 11/10/21 | J | | |
| 504. | | | | | Sold | 12/10/21 | J | A | |
| 505. Western Asset-EMD (X) | A | Dividend | | | Buy | 08/16/21 | J | | |
| 506. | | | | | Sold | 12/23/21 | J | A | |
| 507. Williams-WMB (X) | A | Dividend | J | T | Buy | 09/03/21 | J | | |
| 508. BROKERAGE ACCOUNT #6 (H) | | | | | | | | | |
| 509. Fidelity Money Market (SK) | A | Interest | J | T | | | | | |
| 510. Accuray Inc (X) | A | Dividend | | | Buy | 03/22/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 511. | | | | | Sold | 05/14/21 | J | A | |
| 512. Arbor Realty (X) | A | Dividend | J | T | Buy | 07/02/21 | J | | |
| 513. | | | | | Buy (add'l) | 12/17/21 | J | | |
| 514. Arcelormittal NY (X) | A | Dividend | J | T | Buy | 09/30/21 | J | | |
| 515. | | | | | Buy (add'l) | 10/08/21 | J | | |
| 516. Ase Technology (X) | A | Dividend | J | T | Buy | 09/19/21 | J | | |
| 517. | | | | | Buy (add'l) | 10/01/21 | J | | |
| 518. Asensus Surgical (X) | A | Dividend | | | Buy | 03/17/21 | J | | |
| 519. | | | | | Buy (add'l) | 03/22/21 | J | | |
| 520. | | | | | Sold | 06/09/21 | J | A | |
| 521. AT&T (X) | A | Dividend | | | Buy | 01/21/21 | J | | |
| 522. | | | | | Buy (add'l) | 04/15/21 | J | | |
| 523. | | | | | Buy (add'l) | 04/23/21 | J | | |
| 524. | | | | | Sold | 05/20/21 | J | A | |
| 525. Cemex (X) | A | Dividend | | | Buy | 05/25/21 | J | | |
| 526. | | | | | Sold | 07/26/21 | J | A | |
| 527. Comstock Mng (X) | A | Dividend | J | T | Buy | 07/26/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 528. Crescent PT Energy (X) | A | Dividend | | | Buy | 10/29/21 | J | | |
| 529. | | | | | Sold | 12/08/21 | J | A | |
| 530. CrossAmerica (X) | A | Distribution | J | T | Buy | 12/28/21 | J | | |
| 531. Finvolution Group (X) | A | Dividend | J | T | Buy | 06/09/21 | J | | |
| 532. | | | | | Buy (add'l) | 09/30/21 | J | | |
| 533. | | | | | Buy (add'l) | 12/30/21 | J | | |
| 534. Himax (X) | A | Dividend | | | Buy | 03/22/21 | J | | |
| 535. | | | | | Buy (add'l) | 04/14/21 | J | | |
| 536. | | | | | Buy (add'l) | 05/24/21 | J | | |
| 537. | | | | | Sold | 06/09/21 | J | A | |
| 538. Impala Platinum (X) | A | Dividend | | | Buy | 04/13/21 | J | | |
| 539. | | | | | Sold | 04/27/21 | J | A | |
| 540. Information Svcs (X) | A | Dividend | J | T | Buy | 05/27/21 | J | | |
| 541. | | | | | Buy (add'l) | 06/01/21 | J | | |
| 542. Navient Corp (X) | A | Dividend | | | Buy | 04/06/21 | J | | |
| 543. | | | | | Sold | 04/22/21 | J | A | |
| 544. Ovid Therapeutics (X) | A | Dividend | | | Buy | 08/05/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 545. | | | | | Sold | 09/03/21 | J | A | |
| 546. Petroleo Brasileiro (X) | A | Dividend | | | Buy | 09/30/21 | J | | |
| 547. | | | | | Sold | 10/26/21 | J | A | |
| 548. Safe Bulkers (X) | A | Dividend | J | T | Buy | 07/26/21 | J | | |
| 549. | | | | | Buy (add'l) | 09/22/21 | J | | |
| 550. | | | | | Buy (add'l) | 11/16/21 | J | | |
| 551. Sid Nacional (X) | A | Dividend | | | Buy | 09/15/21 | J | | |
| 552. | | | | | Sold | 10/26/21 | J | A | |
| 553. Societe Gen (X) | A | Dividend | J | T | Buy | 05/11/21 | J | | |
| 554. Starbulk Carriers (X) | A | Dividend | J | T | Buy | 06/16/21 | J | | |
| 555. | | | | | Buy (add'l) | 10/15/21 | J | | |
| 556. | | | | | Sold (part) | 12/23/21 | J | A | |
| 557. Tecnoglass Inc (X) | A | Dividend | | | Buy | 06/01/21 | J | | |
| 558. | | | | | Sold | 06/22/21 | J | A | |
| 559. Transglobe Energy (X) | A | Dividend | | | Buy | 11/15/21 | J | | |
| 560. | | | | | Sold | 12/30/21 | J | A | |
| 561. Vodofone Group (X) | A | Dividend | | | Buy | 05/20/21 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 562. | | | | | Sold | 06/01/21 | J | A | |
| 563. OK 529 College Savings Plan Aggressive Managed Allocat-no control | A | Interest | J | T | | | | | |
| 564. Bank of Oklahoma cash-equivalent accounts | A | Interest | K | T | | | | | . |
| 565. Mabrey Bank cash-equivalent accounts | A | Interest | L | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **KERN, TERENCE C.** | 04/20/2022 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| KERN, TERENCE C. | 04/20/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: S/ TERENCE C. KERN**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544