AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Kleeh, Thomas S. | Northern District of West Virginia | 03/17/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Active Article III | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021<br>**to**<br>12/31/2021 |
| **7. Chambers or Office Address** | | |
| 500 West Pike Street<br>P.O. Box 2408<br>Clarksburg, WV 26302 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Guest Lecturer | West Virginia University College of Law |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2021 | West Virginia Supreme Court of Appeals, salary |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | American Express | Credit Card | L |
| 2. | MVB Bank | Credit Card | J |
| 3. | Apple Card | Credit Card | K |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. MVB Cash Account - Checking | | None | J | T | | | | | |
| 2. MVB Cash Account - Savings | A | Interest | K | T | | | | | |
| 3. 529 #1 (H) | | | | | | | | | |
| 4. - Age Based Portfolio 13-14D | C | Dividend | K | T | | | | | |
| 5. 529 #2 (H) | | | | | | | | | |
| 6. - Age Based Portfolio 9-10D | C | Dividend | J | T | | | | | |
| 7. 529 #3 (H) | | | | | | | | | |
| 8. - Age Based Portfolio 4-6D | A | Dividend | J | T | | | | | |
| 9. Northwestern Mutual 65 Whole Life #1 | A | Dividend | J | T | | | | | |
| 10. Northwestern Mutual 65 Whole Life #2 | A | Dividend | J | T | | | | | |
| 11. Northwestern Mutual 65 Whole Life #3 | A | Dividend | J | T | | | | | |
| 12. Northwestern Mutual 65 Whole Life #4 | A | Dividend | K | T | | | | | |
| 13. Northwestern Mutual 65 Whole Life #5 | A | Dividend | K | T | | | | | |
| 14. Select UMA Retirement Account (H) | | | | | | | | | |
| 15. - Cash - Morgan Stanley Bank N.A. | A | Interest | K | T | | | | | |
| 16. - ABB LTD X | A | Int./Div. | J | T | | | | | |
| 17. -Adidas AG | A | Dividend | J | T | Buy | 02/09/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
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| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 18. - AIA Group LTD Spon ADR | A | Int./Div. | J | T | | | | | |
| 19. - Air Liquide ADR X | A | Int./Div. | J | T | | | | | |
| 20. - Airbus SE Unsponsored ADR | A | Int./Div. | J | T | | | | | |
| 21. - Allegion Pub LTD Co | A | Dividend | J | T | | | | | |
| 22. - Amer Intl GP Inc New | A | Int./Div. | J | T | | | | | |
| 23. -America Movil SA DE CD ADR L (AMX) | A | Dividend | J | T | Buy (add'l) | 11/04/21 | J | | |
| 24. - American Tower Reit Com | A | Int./Div. | J | T | | | | | |
| 25. - Anheuser Busch Inbev SA Spon | A | Int./Div. | J | T | | | | | |
| 26. - Ansys Inc | | | J | T | | | | | |
| 27. - AON PLC CL A X | A | Int./Div. | J | T | | | | | |
| 28. - Apollo Global Mgmt Inc | A | Int./Div. | J | T | | | | | |
| 29. - Apple Inc | A | Int./Div. | J | T | | | | | |
| 30. - Asahi Kaisei Corp ADR | A | Int./Div. | J | T | | | | | |
| 31. - ASML Holding NV NYReg New | A | Int./Div. | J | T | | | | | |
| 32. - Aspen Technology Inc | | | J | T | | | | | |
| 33. - ASSA Abloy AB Unsp ADR X | A | Int./Div. | J | T | | | | | |
| 34. -Assoc British FDS PLC ADR-NEW | A | Dividend | J | T | Buy | 06/15/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| 35. - Astrazeneca PLC ADS | A | Int./Div. | J | T | | | | | |
| 36. -Atlassian Corp PLC CL A | | | J | T | Buy | 02/19/21 | J | | |
| 37. - Bank of America Corp | A | Int./Div. | J | T | | | | | |
| 38. - B&M European Value Ret SA ADR X | A | Int./Div. | J | T | | | | | |
| 39. - Banco Bilbao Viz Arg SA ADS X | A | Int./Div. | J | T | | | | | |
| 40. -Bank of America Corp | A | Dividend | J | T | Buy | 04/15/21 | J | | |
| 41. - Bank of New York/Mellon Corp | A | Interest | J | T | Buy (add'l) | 05/06/21 | J | | |
| 42. - Becton Dickinson & Co | A | Dividend | J | T | | | | | |
| 43. - Beigene LTD X | A | Int./Div. | J | T | | | | | |
| 44. - Bentley Sys Inc Com Cl B | A | Dividend | J | T | | | | | |
| 45. - Berkley W R Corp | A | Int./Div. | J | T | | | | | |
| 46. - Blackrock Bats: Series C PTF | A | Distribution | K | T | | | | | |
| 47. - Blackrock Bats: Series M PTF | A | Distribution | K | T | | | | | |
| 48. -Blackrock Event Driven Eq Inst | A | Distribution | K | T | | | | | |
| 49. - Blackstone Inc | A | Int./Div. | J | T | | | | | |
| 50. -BNP Paribas SP ADR REPSTG | A | Dividend | J | T | Buy | 02/22/21 | J | | |
| 51. | | | | | Buy (add'l) | 03/15/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| 52. | | | | | Sold (part) | 07/08/21 | J | A | |
| 53. | | | | | Buy (add'l) | 11/05/21 | J | | |
| 54. - Boston Properties Inc | A | Dividend | J | T | | | | | |
| 55. - Bright Horizons Family Solutions | | | J | T | | | | | |
| 56. - British Amer TOB Spon ADR | A | Dividend | J | T | | | | | |
| 57. - Broadcom Inc | A | Dividend | J | T | | | | | |
| 58. - Carlsberg AS | A | Int./Div. | J | T | | | | | |
| 59. - CDW Corporation | A | Int./Div. | J | T | | | | | |
| 60. - Charles River Labs Intl Inc | | | J | T | | | | | |
| 61. - Chemed Corporation | A | Dividend | J | T | | | | | |
| 62. Cisco Sys Inc | A | Dividend | J | T | Buy | 05/07/21 | J | | |
| 63. | | | | | Buy (add'l) | 05/10/21 | J | | |
| 64. | | | | | Buy (add'l) | 05/11/21 | J | | |
| 65. | | | | | Buy (add'l) | 05/12/21 | J | | |
| 66. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 67. | | | | | Buy (add'l) | 08/19/21 | J | | |
| 68. | | | | | Buy (add'l) | 08/20/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 69. - Coca Cola Inc | A | Int./Div. | J | T | | | | | |
| 70. - Comcast Corp (New) Class A | A | Int./Div. | J | T | | | | | |
| 71. - Compagnie Fin Richemontag ADR X | A | Int./Div. | J | T | | | | | |
| 72. - Cooper Co Inc New | | | J | T | | | | | |
| 73. - Copart Inc | | | J | T | | | | | |
| 74. - Daiichi Sankyo Co Ltd Unspon ADR X | A | Int./Div. | J | T | | | | | |
| 75. - Daikin Inds Ltd Unspon ADR X | A | Int./Div. | J | T | | | | | |
| 76. - DBS Group Holdings Ltd SP X | A | Int./Div. | J | T | | | | | |
| 77. - Deutsche Boerse AG Unspon ADR X | A | Int./Div. | J | T | | | | | |
| 78. - Diageo PLC Spon ADR New | A | Int./Div. | J | T | | | | | |
| 79. -Diamond Hill Long Short I | C | Distribution | K | T | Buy | 12/15/21 | K | | |
| 80. - DNB ASA Sponsored ADR X | A | Int./Div. | J | T | | | | | |
| 81. - Docusign Inc | | | J | T | | | | | |
| 82. - DSV AS ADR | A | Int./Div. | J | T | | | | | |
| 83. -Duck Creek Technologies Inc | | | J | T | Buy | 02/23/21 | J | | |
| 84. | | | | | Buy (add'l) | 02/24/21 | J | | |
| 85. - Ecolab Inc | A | Int./Div. | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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| 86. - Edison Intl | A | Int./Div. | J | T | | | | | |
| 87. - Elanco Animal Health Inc | | | J | T | | | | | |
| 88. - Enbridge inc | A | Int./Div. | J | T | | | | | |
| 89. - Enel Societa Per Azioni ADR | A | Int./Div. | J | T | | | | | |
| 90. - Engie Spons ADR | | | J | T | | | | | |
| 91. -Epiroc Aktiebolag ADR | A | Dividend | J | T | Buy | 06/15/21 | J | | |
| 92. | | | | | Buy (add'l) | 06/16/21 | J | | |
| 93. - Essilorluxottica ADR | A | Int./Div. | J | T | | | | | |
| 94. - Equifax | A | Dividend | J | T | | | | | |
| 95. - Exponent Inc | A | Int./Div. | J | T | | | | | |
| 96. - Exxon Mobile Corp Y | A | Int./Div. | J | T | | | | | |
| 97. - Fair Issac & Co Inc | A | Int./Div. | J | T | | | | | |
| 98. - Flir Systems Inc | A | Int./Div. | | | Redeemed | 05/17/21 | J | B | |
| 99. - Flutter Entmt PLC ADR X | A | Int./Div. | J | T | | | | | |
| 100. - Galapagos NV ADR | | | J | T | | | | | |
| 101. - Genmab A S ADR | | | J | T | | | | | |
| 102. - Givaudan SA ADR | A | Int./Div. | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. - Grifols SA ADR X | A | Int./Div. | | | Sold | 08/13/21 | J | A | |
| 104. - HDFC Bank LTD ADR | A | Int./Div. | J | T | | | | | |
| 105. - Hermes Intl SCA Unspon ADR X | A | Int./Div. | J | T | | | | | |
| 106. - Home Depot Inc | A | Int./Div. | J | T | | | | | |
| 107. - Hong Kong Exchanges & Clearing | A | Int./Div. | J | T | | | | | |
| 108. - Hoya Corp Spons ADR | A | Int./Div. | J | T | | | | | |
| 109. -Hutchison China Meditech LTD | | | J | T | | | | | |
| 110. - Iberdrola SA Spon ADR | A | Int./Div. | J | T | | | | | |
| 111. - Industria De Diseno Textil IND | A | Dividend | J | T | | | | | |
| 112. -ING Groep NV ADR | A | Dividend | J | T | Buy | 03/15/21 | J | | |
| 113. - Interactive Brokers Group CL A | A | Int./Div. | J | T | | | | | |
| 114. - International Paper Co | A | Int./Div. | | | Sold (part) | 07/14/21 | J | A | |
| 115. | | | | | Sold (part) | 07/16/21 | J | A | |
| 116. | | | | | Sold (part) | 07/27/21 | J | A | |
| 117. | | | | | Sold (part) | 08/03/21 | J | A | |
| 118. | | | | | Sold (part) | 08/10/21 | J | A | |
| 119. | | | | | Sold (part) | 08/11/21 | J | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. | | | | | Sold (part) | 08/17/21 | J | A | |
| 121. | | | | | Sold (part) | 08/24/21 | J | A | |
| 122. | | | | | Sold (part) | 09/03/21 | J | A | |
| 123. | | | | | Sold | 09/07/21 | J | A | |
| 124. - Jack Henry & Assoc | A | Int./Div. | J | T | | | | | |
| 125. - Jardine Matheson Hldgs Ltd ADR X | A | Int./Div. | J | T | | | | | |
| 126. - Johnson & Johnson | A | Int./Div. | J | T | | | | | |
| 127. - JP Morgan Chase & Co FXD | A | Int./Div. | J | T | | | | | |
| 128. - Kering S A ADR New | A | Int./Div. | J | T | | | | | |
| 129. - Keyence Corp | A | Int./Div. | J | T | | | | | |
| 130. - Koninkluke Phil El SP ADR New | A | Int./Div. | J | T | | | | | |
| 131. - L Oreal Co ADR | A | Int./Div. | J | T | | | | | |
| 132. - Lennox Intl Inc | A | Int./Div. | J | T | | | | | |
| 133. - Linde PLC | A | Int./Div. | J | T | | | | | |
| 134. - Lloyds Banking Group Y | A | Dividend | J | T | | | | | |
| 135. - London Stock Exchange Group ADR | A | Int./Div. | J | T | | | | | |
| 136. -LPL FINL HLDGS INC COM | A | Dividend | J | T | Buy | 03/18/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 137. | | | | | Buy (add'l) | 03/22/21 | J | | |
| 138. | | | | | Buy (add'l) | 03/23/21 | J | | |
| 139. - LVMH Moet Hennessy Louis Vuitt | A | Int./Div. | J | T | | | | | |
| 140. - Mastercard Inc CL A | A | Int./Div. | J | T | | | | | |
| 141. - Mercadolibre Inc X | A | Int./Div. | J | T | | | | | |
| 142. - Merck & Co Inc New Com | A | Int./Div. | J | T | | | | | |
| 143. - MetLife Inc | A | Int./Div. | J | T | | | | | |
| 144. - Microsoft Corp | A | Int./Div. | J | T | | | | | |
| 145. - Mondelez Intl Inc Com | A | Int./Div. | J | T | | | | | |
| 146. - MSCI Inc Com | A | Int./Div. | J | T | | | | | |
| 147. - Murata Mfg Co Ltd | A | Int./Div. | J | T | | | | | |
| 148. - Nestle Spon ADR REP REG SHR | A | Int./Div. | J | T | | | | | |
| 149. -Netease Com Inc ADS | A | Dividend | J | T | Buy | 02/08/21 | J | | |
| 150. | | | | | Buy (add'l) | 06/14/21 | J | | |
| 151. | | | | | Sold (part) | 11/01/21 | J | A | |
| 152. - Nextera Energy Inc | A | Int./Div. | J | T | | | | | |
| 153. -Nice LTD ADR | | | J | T | Buy | 09/30/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. - Nidec Corp | A | Int./Div. | J | T | | | | | |
| 155. - Nippon Telegraph & Telephone ADS | A | Int./Div. | | | Sold | 12/03/21 | J | B | |
| 156. - Nitori Hldgs Co Ltd ADR X | A | Int./Div. | J | T | | | | | |
| 157. -Nokia CP ADR | | | J | T | Buy | 12/02/21 | J | | |
| 158. - Nordson CP | A | Int./Div. | J | T | | | | | |
| 159. - Northrop Grumman CP HLDG Co X | A | Int./Div. | J | T | | | | | |
| 160. - Novo Nordisk A/S ADR | A | Int./Div. | J | T | | | | | |
| 161. - Oracle Corp X | A | Int./Div. | J | T | | | | | |
| 162. -Orsted A/S UNSP ADR | A | Dividend | J | T | Buy | 08/13/21 | J | | |
| 163. - Otis Worldwide Corp | A | Int./Div. | J | T | Sold (part) | 10/22/21 | J | A | |
| 164. | | | | | Sold (part) | 10/25/21 | J | A | |
| 165. - Pernod Ricard SA Unspons ADR | A | Int./Div. | J | T | | | | | |
| 166. - Pfizer Inc | A | Int./Div. | J | T | | | | | |
| 167. -Pioneer Natural Resources Co | A | Dividend | J | T | Buy | 01/28/21 | J | | |
| 168. | | | | | Buy (add'l) | 01/29/21 | J | | |
| 169. | | | | | Buy (add'l) | 02/03/21 | J | | |
| 170. | | | | | Buy (add'l) | 02/12/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 171. | | | | | Buy (add'l) | 04/08/21 | J | | |
| 172. | | | | | Buy (add'l) | 04/13/21 | J | | |
| 173. | | | | | Buy (add'l) | 05/17/21 | J | | |
| 174. | | | | | Buy (add'l) | 05/20/21 | J | | |
| 175. | | | | | Buy (add'l) | 07/16/21 | J | | |
| 176. | | | | | Buy (add'l) | 07/20/21 | J | | |
| 177. - PNC Finl Svcs GP | A | Int./Div. | J | T | | | | | |
| 178. - Pool Corp | A | Int./Div. | J | T | | | | | |
| 179. - PPG Industries Inc | A | Int./Div. | J | T | | | | | |
| 180. - Proctor & Gamble | A | Int./Div. | J | T | | | | | |
| 181. - Prosus N V Sponsored ADR | A | Dividend | | | Sold (part) | 02/03/21 | J | A | |
| 182. | | | | | Sold | 06/15/21 | J | A | |
| 183. - Public Service Enterprise GP | A | Dividend | J | T | | | | | |
| 184. - Raytheon Co | A | Int./Div. | J | T | | | | | |
| 185. - Reckitt Benckiser PLC Spns ADR | A | Int./Div. | J | T | | | | | |
| 186. - Recruit Holdings Co Ltd ADR | A | Dividend | J | T | | | | | |
| 187. - Relx PLC Sponsored ADR | A | Int./Div. | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 188. - Rio Tinto PLC Spon ADR X | A | Int./Div. | J | T | | | | | |
| 189. - Rollins Inc | A | Int./Div. | J | T | | | | | |
| 190. - Royal KPN NV Spons ADR | A | Int./Div. | J | T | | | | | |
| 191. - Safran SA | A | Int./Div. | J | T | | | | | |
| 192. - SAP AG | A | Int./Div. | J | T | | | | | |
| 193. - Sempra Energy X | A | Int./Div. | J | T | | | | | |
| 194. - Shell International Finance BV Y | A | Dividend | | | Buy (add'l) | 05/06/21 | J | | |
| 195. | | | | | Sold | 05/18/21 | J | C | |
| 196. - Shin Etsu Chem Co Ltd ADR | A | Int./Div. | J | T | | | | | |
| 197. - Shionogi & Co LTD Unspons ADR | A | Dividend | J | T | | | | | |
| 198. - Siteone Landscape Supply Inc | | | J | T | | | | | |
| 199. - SMC Corp Common | A | Int./Div. | J | T | | | | | |
| 200. - Softbank Corp Unspons ADR | A | Int./Div. | | | Sold (part) | 06/29/21 | J | A | |
| 201. | | | | | Sold | 11/02/21 | J | A | |
| 202. - Stmicroelectronics NV | A | Int./Div. | J | T | | | | | |
| 203. - Straumann Hldg AG ADR | A | Int./Div. | J | T | | | | | |
| 204. - Suzuki Mtr Corp ADR X | A | Int./Div. | | | Sold | 05/10/21 | J | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 205. - Taiwan SMCNDCTR Mfg Co Ltd ADR | A | Int./Div. | J | T | | | | | |
| 206. -Teledyne Tech Inc | | | J | T | Buy | 05/17/21 | J | | |
| 207. - Tencent Holdings LTD | A | Dividend | J | T | Sold (part) | 02/19/21 | J | A | |
| 208. | | | | | Buy (add'l) | 03/26/21 | J | | |
| 209. - Teradyne Inc | A | Int./Div. | J | T | | | | | |
| 210. - Terumo Corp ADR Unspons | A | Dividend | J | T | | | | | |
| 211. - Texas Instruments | A | Int./Div. | J | T | | | | | |
| 212. - TFI Intl Inc X | A | Int./Div. | J | T | | | | | |
| 213. - The Scotts Miracle Gro Co | A | Int./Div. | J | T | | | | | |
| 214. - Thor Industries | A | Int./Div. | J | T | | | | | |
| 215. - Tokyo Electron LTD Unspon ADR X | A | Int./Div. | J | T | | | | | |
| 216. - Total S A ADR X | A | Dividend | J | T | Buy (add'l) | 02/08/21 | J | | |
| 217. -TotalEnergies SE Spon ADS (name change) | A | Dividend | J | T | Sold (part) | 11/01/21 | J | A | |
| 218. - Travelers Companies Inc Com | A | Int./Div. | J | T | | | | | |
| 219. - US Bancorp Com New | A | Int./Div. | J | T | | | | | |
| 220. - Unicharm Corp Unspon ADR | A | Int./Div. | J | T | | | | | |
| 221. - Unilever PLC X | A | Int./Div. | | | Sold | 03/15/21 | J | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 222. - Union Pacific Corp | A | Int./Div. | J | T | | | | | |
| 223. - United Parcel Ser Inc CL-B | A | Int./Div. | J | T | | | | | |
| 224. - Unitedhealth GP Inc | A | Int./Div. | J | T | | | | | |
| 225. - Verizon Communications | A | Int./Div. | J | T | | | | | |
| 226. - Visa Inc CL A | A | Int./Div. | J | T | | | | | |
| 227. - Vulcan Materials Co | A | Int./Div. | J | T | | | | | |
| 228. - Walt Disney Co Hldg Co | A | Int./Div. | J | T | | | | | |
| 229. - Waste Mgmt Inc | A | Int./Div. | J | T | | | | | |
| 230. - WEC Energy Group Inc Com | A | Int./Div. | | | Sold (part) | 04/26/21 | J | A | |
| 231. | | | | | Sold (part) | 05/12/21 | J | A | |
| 232. | | | | | Sold (part) | 06/02/21 | J | A | |
| 233. | | | | | Sold (part) | 06/30/21 | J | A | |
| 234. | | | | | Sold (part) | 07/19/21 | J | A | |
| 235. | | | | | Sold (part) | 07/29/21 | J | A | |
| 236. | | | | | Sold | 07/30/21 | J | A | |
| 237. - Wells Fargo & Co New Y | A | Int./Div. | J | T | | | | | |
| 238. - Williams Co Inc | A | Int./Div. | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 239. - Zebra Tech CL A | | | J | T | | | | | |
| 240. - Zoetis Inc Class A | A | Int./Div. | J | T | | | | | |
| 241. - Ishares Core MSCI Emerging | B | Dividend | L | T | | | | | |
| 242. - Ishares Iboxx HY Cor BD ETF | B | Dividend | K | T | | | | | |
| 243. - Ishares Inc MSCI Japan ETF | A | Dividend | K | T | | | | | |
| 244. - Ishares Micro-Cap ETF | A | Dividend | K | T | | | | | |
| 245. - Ishares Morningstar SML CP ETF | A | Dividend | K | T | | | | | |
| 246. - Ishares MSCI Frontier 100 ETF | A | Dividend | K | T | | | | | |
| 247. - American Express Bond | A | Interest | | | Buy (add'l) | 01/29/21 | J | | |
| 248. | | | | | Sold | 09/16/21 | J | D | |
| 249. - US Bancorp Bond | A | Int./Div. | J | T | | | | | |
| 250. - BP Capital Markets PLC Bond | A | Int./Div. | J | T | | | | | |
| 251. - Anheuser-Busch Inbev Finance Inc Bond | A | Int./Div. | J | T | | | | | |
| 252. -Citigroup Inc Fxd To 102023 | A | Int./Div. | J | T | Buy | 01/08/21 | J | | |
| 253. | | | | | Buy (add'l) | 05/06/21 | J | | |
| 254. -Bank of New York Mellon Corp | A | Int./Div. | J | T | Buy | 05/06/21 | J | | |
| 255. -JPMorgan Chase & Co Fxd To 042030 | A | Int./Div. | | | Buy | 11/19/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 256. | | | | | Sold | 12/22/21 | J | A | |
| 257. -Wells Fargo & Co | A | Int./Div. | J | T | Buy | 05/18/21 | J | | |
| 258. - US Treasury Note 10/31/22 | A | Interest | | | Buy | 01/08/21 | J | | |
| 259. - US Treasury Note 08/15/30 | A | Interest | J | T | Buy | 01/21/21 | J | | |
| 260. - US Treasury Bond 5/15/49 | A | Interest | J | T | Buy | 01/21/21 | J | | |
| 261. | | | | | Buy (add'l) | 06/16/21 | J | | |
| 262. | | | | | Sold (part) | 07/09/21 | J | B | |
| 263. -US Treasury Note 11/30/23 | A | Interest | J | T | Buy | 12/22/21 | J | | |
| 264. -US Treasury Note 9/15/24 | A | Interest | J | T | Buy | 09/16/21 | J | | |
| 265. -US Treasury Note 1/31/26 | A | Interest | J | T | Buy | 01/29/21 | J | | |
| 266. -US Treasury Note 2/28/26 | A | Interest | J | T | Buy | 05/06/21 | J | | |
| 267. -US Treasury Note 5/31/28 | A | Interest | J | T | Buy | 07/09/21 | J | | |
| 268. -US Treasury Bond 11/15/40 | A | Interest | J | T | Buy | 02/22/21 | J | | |
| 269. | | | | | Buy (add'l) | 03/12/21 | J | | |
| 270. - Fed Natl Mtg Assn | A | Int./Div. | J | T | | | | | |
| 271. - FHLMC 30 Yr Gold G08741 | A | Int./Div. | J | T | | | | | |
| 272. -FHLMC 30 Yr Gold SD8108 | A | Int./Div. | J | T | Buy | 01/22/21 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 273. Ambassador 6 IRA (H) | | | | | | | | | |
| 274. - Cash - Raymond James Bank | A | Interest | K | T | | | | | |
| 275. - First Eagle Overseas Fund Class I N/L | A | Dividend | K | T | Sold (part) | 01/20/21 | J | A | |
| 276. | | | | | Buy (add'l) | 09/24/21 | J | | |
| 277. - JP Morgan Mid Cap Value Fund Class L N/L | A | Dividend | J | T | Sold (part) | 09/23/21 | J | A | |
| 278. - Glenmede Quantitative US Lrg CP GR EQ Port Instl | A | Dividend | K | T | Buy (add'l) | 01/19/21 | J | | |
| 279. | | | | | Sold (part) | 09/23/21 | J | B | |
| 280. - Hartford Core Equity Fund Class 1 N/L | A | Dividend | K | T | Buy (add'l) | 01/20/21 | J | | |
| 281. | | | | | Sold (part) | 09/24/21 | J | B | |
| 282. -IShares Gold TR IShares New | | | K | T | Buy | 01/20/21 | K | | |
| 283. | | | | | Sold (part) | 06/03/21 | J | A | |
| 284. | | | | | Buy (add'l) | 09/24/21 | J | | |
| 285. - IShares TR MSCI USA MIN VOL | A | Dividend | K | T | Buy (add'l) | 01/20/21 | J | | |
| 286. | | | | | Sold (part) | 09/24/21 | J | A | |
| 287. - JP Morgan Core Bond Fund Class 1 N/L | A | Dividend | K | T | Buy (add'l) | 01/19/21 | J | | |
| 288. | | | | | Buy (add'l) | 09/23/21 | J | | |
| 289. - JP Morgan Equity Income Fund Class 1 N/L | A | Dividend | K | T | Sold (part) | 01/19/21 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 290. | | | | | Sold (part) | 09/23/21 | J | A | |
| 291. - Lord Abbett Short Duration INcome Fund Class F N/L | A | Dividend | J | T | Buy (add'l) | 01/20/21 | J | | |
| 292. | | | | | Buy (add'l) | 09/24/21 | J | | |
| 293. - Neuberger Berman Genesis Fund Advisor Class N/L | A | Distribution | J | T | Sold (part) | 01/19/21 | J | C | |
| 294. | | | | | Buy (add'l) | 09/23/21 | J | | |
| 295. - Pimco Stocksplus Small Fund Class I2 N/L | A | Dividend | J | T | Sold (part) | 01/19/21 | J | C | |
| 296. | | | | | Buy (add'l) | 09/23/21 | J | | |
| 297. - Pioneer Multi Asset Ultrashort Income Fund Class Y N/L | A | Dividend | | | Sold | 01/20/21 | J | C | |
| 298. -Shelton Equity Income Fund Direct CL N/L | C | Dividend | L | T | Buy | 01/04/21 | J | | |
| 299. | | | | | Sold (part) | 01/19/21 | J | A | |
| 300. | | | | | Buy (add'l) | 09/23/21 | J | | |
| 301. - Templeton Global Total Return Fund Advisor Class N/L | A | Dividend | | | Sold | 01/20/21 | J | C | |
| 302. - Touchstone International Growth Fund Class Y N/L | | | | | Sold | 01/20/21 | J | B | |
| 303. - Virtus Vontobel Foreign Opportunities Fund Class 1 N/L | A | Dividend | K | T | Buy (add'l) | 01/20/21 | J | | |
| 304. | | | | | Sold (part) | 09/24/21 | J | A | |
| 305. - Virtus Vontobel Emerging Markets Oppor Fund CLS 1 N/L | A | Dividend | J | T | Sold (part) | 01/20/21 | J | A | |
| 306. | | | | | Sold (part) | 09/24/21 | J | A | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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| Name of Person Reporting | Date of Report |
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| **Kleeh, Thomas S.** | 03/17/2022 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 307. - Voya Mid Cap Opportunities Fund Class 1 N/L | A | Distribution | J | T | Buy (add'l) | 01/20/21 | J | | |
| 308. | | | | | Sold (part) | 09/24/21 | J | A | |
| 309. -Voya GNMA Income Fund CL I N/L | A | Dividend | K | T | Buy | 01/20/21 | K | | |
| 310. | | | | | Buy (add'l) | 09/24/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Kleeh, Thomas S.** | 03/17/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Thomas S. Kleeh**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544