AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kleeh, Thomas S.	United States District Court, NDWV	08/06/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Article III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
500 West Pike Street P.O. Box 2408 Clarksburg, WV 26302		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Guest Lecturer	West Virginia University College of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	West Virginia Supreme Court of Appeals, salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Kleeh, Thomas S.	08/06/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	Credit Card	J
2.	MVB Bank	Credit Card	J
3.	Apple Card	Credit Card	K
4.	Best Buy	Credit Card	J
5.	Nordstrom	Credit Card	J

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	MVB Cash Account - Checking		None	J	T					
2.	MVB Cash Account - Savings	A	Interest	J	T					
3.	SMART 529 Age Based Portfolio 15-16D	C	Dividend	K	T					
4.	SMART 529 Age Based Portfolio 11-12D	C	Dividend	J	T					
5.	SMART 529 Age Based Porfolio 7-8D	A	Dividend	J	T					
6.	Northwestern Mutual 65 Whole Life #1	A	Dividend	J	T					
7.	Northwestern Mutual 65 Whole Life #2	A	Dividend	J	T					
8.	Northwestern Mutual 65 Whole Life #3	A	Dividend	J	T					
9.	Select UMA Retirement Account (H)									
10.	- Cash - Morgan Stanley Bank N.A.	A	Interest	J	T					
11.	-Hewitt Packard Enterprises Co 10/01/24	C	Int./Div.	L	T	Buy	12/23/23	L		
12.	- Goldman Sachs Group Inc 03/21/28	C	Int./Div.	L	T	Buy	05/15/23	L		
13.	- System Energy Resources, Inc.	C	Int./Div.	L	T	Buy	05/24/23	L		
14.	Energy Transfer LP 3/15/25	B	Dividend	K	T					
15.	- US Treasury Note 12/31/23	B	Interest	L	T	Buy	05/02/23	L		
16.	- US Treasury Note 01/31/24	A	Interest	L	T	Buy	10/18/23	L		
17.	- US Treasury Note 03/31/24	B	Interest	L	T	Buy	11/16/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - US Treasury Note	B	Int./Div.	L	T	Buy	08/15/23	L		
19. Ambassador 6 IRA (H)									
20. - Cash - Raymond James Bank	A	Interest	J	T					
21. - JP Morgan Liquid Assets Money Market FD Capital CL M/M	B	Interest	K	T					
22. - JP Morgan Mid Cap Value FUnd Class L N/L	A	Dividend	K	T					
23. - Loomis Sayles Invmt GDE BD FD CL Y N/L Natixis Adv	A	Dividend	K	T					
24. = Loomis Sayles Core Plus Bond FD CL Y N/L Natixis Adv	A	Dividend	K	T					
25. - Abrdn Dynamic Dividend FD Instl CL N/L	A	Dividend	K	T					
26. - JP MOrgan Core Bond FUnd Class I N/L	A	Dividend	J	T					
27. - JP Morgan Equity Income Fund Class I N/L	A	Dividend	K	T					
28. - Neuberger Berman Genesis Fund Advisor Class C/L		None	K	T					
29. - Pimco Income Fund Class 12 N/L	A	Dividend	K	T					
30. - Pimco Long Term US Government Fund CL 12 N/L	A	Dividend	L	T					
31. - Shelton Equity Income Fund Direct CL N/L	C	Dividend	K	T					
32. - Virtus SGA Emerging Markets Oppor Fund CLS 1 N/L		None	J	T					
33. - Voya Mid Cap Opportunities Fund Class I N/L		None	J	T					
34. - Glenmeade Quantitative US LRG CP GR EQ Port Instl CL N/L	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)
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N =$250,001 - $500,000
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35. - First Trust Morningstar Dividend Leaders Index Fund (FDL)	B	Dividend	K	T					
36. - Virtus Vontobel Foreign Opportunities Fund CL I N/L	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas S. Kleeh

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544