AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kobayashi,, Leslie E.	USDC, District of Hawaii	11/27/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 300 Ala Moana Blvd. Rm. C-423 Honolulu, Hawaii 96850		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Chair	Volunteer Ally (non-profit)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	State of Hawaii - Retirement (Pension)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Kobayashi,, Leslie E.	11/27/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	City Bank	Line of Credit	J
2.	First Hawaiian Bank	Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA ROLLOVER (H)									
2. - Morgan Stanley Bank cash account	A	Interest	K	T					
3. -Abcam PLC ADR		None			Buy	06/28/21	J		
4. -Adobe		None	J	T	Buy	05/03/21	J		
5.		None			Buy (add'l)	09/03/21	J		
6. -Adyen N V Unsponsred ADR		None	J	T					
7. -AIA Group Ltd Spon ADR	A	Dividend	J	T					
8. -Alcon Inc	A	Dividend	J	T					
9. -Alibaba Group Hldg Ltd		None			Sold	05/03/21	J	A	
10. -Amer Beacon AHL Mngd Fut Str Y		None			Sold	05/03/21	J	A	
11. -American Water Works Co	A	Dividend	J	T	Buy	05/03/21	J		
12. -Apple Inc	A	Dividend	J	T					
13. -Aptiv PLC		None	J	T	Sold (part)	05/18/21	J	A	
14. -Becton Dickson & Co		None	J	T	Buy	06/23/21	J		
15.					Buy (add'l)	09/03/21	J		
16. -Bio Rad Lab A		None					J		
17. -Brucker Corporation	A	Dividend	J	T	Buy (add'l)	05/03/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

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18.					Sold (part)	05/18/21	J	A	
19.					Sold (part)	05/21/21	J	A	
20. -Blackrock Global L/S Credit I		None			Sold	05/03/21	J	A	
21. -Blackrock Low Dur BD Inv Inst		None			Sold	05/03/21	J	A	
22. -Blackrock Alt Multi-Strat Inst		None			Sold	05/03/21	J	A	
23. BYD Company LTD		None	J	T	Buy	09/03/21	J		
24. -CALIX Networks Inc		None			Sold	11/08/21	J	A	
25. -CCM Community Impact BD Inst	A	Dividend	J	T	Buy	05/03/21	J		
26. -CHR Hansen Hldg A/S	A	Dividend	J	T	Buy	05/03/21	J		
27.					Sold (part)	09/08/21	J	A	
28. -Coinbase Global Inc CL		None	J	T	Buy	05/18/21	J		
29. -Causeway Emerging Mkts Inst		None			Sold	05/03/21	J		
30. -CRA Qualified Investment Instl		None			Sold	05/03/21	J	A	
31. -Coupang Inc CL A	A	Dividend			Buy	05/03/21	J		
32.					Sold	06/29/21	J		
33. -Cree Research Inc	A	Dividend			Buy (add'l)	05/18/21	J		
34.					Merged (with line 85)	10/05/21	J		

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35. -Ctr Coast Brkfld Mdstrm Foc Y		None			Sold	05/03/21	J	A	
36. -Danaher Corporation	A	Dividend	J	T					
37. -Danone Sponsored ADR		None			Sold	05/03/21	J	A	
38. -Dassault Systems SA ADS	A	Distribution	J	T					
39. -Deere & Co	A	Dividend	J	T	Buy	09/03/21	J		
40. -Ericsson LM Tel SA ADS		None	J	T	Buy	05/18/21	J		
41. -Erste Group Bank AG Spons ADR	A	Dividend	J	T					
42. -FANUC Corporation UNSP ADR	A	Dividend			Sold	05/03/21	J	A	
43. -Flextronics Intl Ltd		None	J	T					
44. -HDFC Bank Ltd ADR		None	J	T					
45. -HEXCEL Corp New		None	J	T	Buy	10/15/21	J		
46. -Icon PLC		None			Sold	05/03/21	J	A	
47. -Infineon Technologies AG		None	J	T					
48. -Koninkluke Phil EL SP ADR New	A	Dividend	J	T					
49. -Laboratory CP Amer Hldgs New		None	J	T					
50.					Sold (part)	09/03/21	J	A	
51. -London Stk Exchange Group ADR		None	J	T					

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52. -Lufax Holdng Ltd		None			Buy	05/18/21	J		
53.					Sold	07/26/21	J	A	
54. -Lumentum Hldgs Inc Com		None	J	T	Buy (add'l)	05/18/21	J		
55. -Microsoft Corp	A	Dividend	J	T					
56. Motorola Solutions Inc	A	Dividend	J	T	Buy	05/18/21	J		
57. -MSCI Inc Com	A	Dividend	J	T					
58. -Network Intl Holdings		None	J	T	Buy	10/15/21	J		
59. -Nexterra Energy Inc	A	Dividend	J	T					
60. -Nike Inc B	A	Dividend	J	T					
61. -NXP Seminconductors NV	A	Dividend	J	T	Buy	05/03/21	J		
62. -Orsted A/S Unsp ADR		None	J	T	Buy (add'l)	05/18/21	J		
63.					Buy (add'l)	09/03/21	J		
64. -Proctor & Gamble	A	Dividend	J	T					
65. -Proofpoint Inc		None			Sold	05/20/21	J	A	
66. -Recruit Holdings Co Ltd ADR	A	Dividend	J	T					
67.					Sold (part)	09/07/21	J	A	
68. -Roche Holdings ADR		None	J	T					

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2. Value Codes (See Columns C1 and D3)
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69. -Rockwell Automation Inc	A	Dividend	J	T					
70. -Royal DSM NV Sponsored ADR		None	J	T					
71. -Salesforce Com Inc		None			Sold	05/03/21	J	A	
72. -Schneider Elec SA Unsp ADR	A	Dividend	J	T					
73. -SMC Group Japan Sponsored ADR	A	Dividend	J	T					
74. -Square Inc Class A		None	J	T					
75. -Steris PLC	A	Dividend	J	T					
76. -SVB Fncl Grp		None	J	T					
77. -Tencent Holdgs Ltd Unspon ADR	A	Dividend			Sold	06/25/21	J	A	
78. -Tetra Tech Inc	A	Dividend	J	T	Sold (part)	11/05/21	J	A	
79. -Topbuild Corp Com		None	J	T	Buy (add'l)	06/29/21	J		
80. -Trex Company Inc		None	J	T					
81. -Twilio Inc CL A		None			Sold	12/21/21	J		
82. -Vestas Wind Systems ADA		None	J	T					
83. -Visa Inc CL A	A	Dividend	J	T					
84. -Waste Mgmt Inc (Dela)	A	Dividend		T	Buy (add'l)	05/21/21	J		
85. -West Pharmaceutical Svcs Inc		None			Sold	05/03/21	J	A	

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86. -Wolfspeed		None	J	T	Spinoff (from line 33)	10/05/21	J		
87. -Xylem Inc Com	A	Dividend	J	T	Sold (part)	05/18/21	J	A	
88. -Zendesk Inc		None			Sold	09/03/21	J	A	
89. COVERDELL ESA									
90. -MSIF Growth A	A	Dividend	J	T					
91. -Morgan Stanley Bank	A	Interest	J	T					
92. MORGAN STANLEY [redacted] ACCOUNT (H)									
93. -IShares Inc MSCI Japan ETF	A	Dividend	J	T					
94. -Vanguard Dividend Appreciation	A	Dividend	J	T					
95. -Wisdomtree TR Emerg Mkt High FD	A	Dividend	J	T					
96. -Walt Disney Co Hldg Co	A	Dividend	J	T					
97. - Morgan Stanley Bank cash account	A	Interest	J	T					
98. MORGAN STANLEY [redacted] ACCOUNT 2 (H)									
99. -Morgan Stanley Bank	A	Interest	J	T					
100. -Federated Prme Csh Obligtn WS	B	Dividend	J	T					
101. IRA ACCOUNT									
102. - Morgan Stanley Bank cash account	A	Interest	J	T					

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103. ANNUITIES AND INSURANCE (H)									
104. -VOYA Financial	A	Int./Div.							
105. -VENERABLE Insurance and Annuity Company	A	Int./Div.	N	T					
106. -Voya Intermediate Bond Portfolio	A	Int./Div.	K	T					
107. -Voya Russell Large Cap Value Index	A	Int./Div.	K	T					
108. -VY Morgan Stanley Global Franchise Portfolio	A	Int./Div.	L	T					
109. -Voya Retirement Moderate Growth	A	Int./Div.	M	T					
110. -Voya U.S. Stock Index Portfolio	A	Int./Div.	K	T					
111. COLLEGEBOUND 529 ACCOUNT									
112. -Invesco CollegeBound 2021-2022 Porfolio A	A	Int./Div.	J	T					
113. CASH ACCOUNTS (H)									
114. United States Savings Bonds Series EE		None			Redeemed	05/05/21	J		
115. Honolulu FCU savings and checking accounts	A	Interest	J	T					
116. BASIC SECURITIES ACCOUNT (H)									
117. -Morgan Stanley Bank	A	Interest	J	T					
118. -MS Multi Cap Growth TR A	A	Dividend			Sold	05/03/21	J	A	
119. -MS Insight A	A	Dividend	J	T	Buy (add'l)	05/03/21	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.	A	Dividend	J	T					
121. McDonald's Corp. (Common Stock)	A	Dividend	J	T					
122. ROTH IRA									
123. --Morgan Stanley Bank	A	Interest	J	T					
124. - MS Pathway Emerging Markets	A	Dividend	J	T	Buy	10/20/21	J		
125.					Buy (add'l)	12/09/21	J		
126. - MS Pathway International EQ	A	Dividend	J	T	Buy	10/20/21	J		
127.					Buy (add'l)	10/09/21	J		
128. -MS Pathway Large Cap Equity	A	Dividend	J	T	Buy	10/20/21	J		
129.					Buy (add'l)	12/09/21	J		
130. - MS Pathway Large Cap Equity		None	J	T	Buy	10/20/21	J		
131. - MS Pathway Small Mid Cap Equity	A	Dividend	J	T	Buy	10/20/21	J		
132.					Buy (add'l)	12/09/21	J		
133. ASSETMARK TRUST ROLLOVER IRA (H)									
134. -IShares 1-3 Treasury Bond ETF		None	J	T	Buy	01/04/21	J		
135. -Ishares TIPS Bond ETF		None	J	T	Buy	01/04/21	J		
136. -American Balanced CL F2		None	J	T	Buy	01/04/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. -American Strategic Bond CL F2		None	J	T	Buy	01/04/21	J		
138. -Bond Fund of America CL F2		None	J	T	Buy	01/04/21	J		
139. -Captial Income Buildrer CL		None	J	T	Buy	01/04/21	J		
140. -Capital World Growth & Income		None	J	T	Buy	01/04/21	J		
141. -Doubleline Shiller Enhanced		None	J	T	Buy	01/04/21	J		
142. -Growth Fund of America	A	Int./Div.	J	T	Buy	01/04/21	J		
143. -Investment Companyu of America	A	Int./Div.	J	T	Buy	01/04/21	J		
144. -JP Morgan Core Bond		None	J	T	Buy	01/04/21	J		
145. -JP Morgan Core Plus Bond		None	J	T	Buy	01/04/21	J		
146. -JP Morgan Emerging Market Research	A	Int./Div.	J	T	Buy	01/04/21	J		
147. - JP MOrgan Emerging Market Equity	A	Int./Div.	J	T	Buy	01/04/21	J		
148. - JP Morgan Equity Index	A	Int./Div.	J	T	Buy	01/04/21	J		
149. - JP Morgan Europe Dynamic	A	Int./Div.	J	T	Buy	01/04/21	J		
150. - JP Morgan Growth Advantage	A	Int./Div.	J	T	Buy	01/04/21	J		
151. - JP Morgan Income	A	Int./Div.	J	T	Buy	01/04/21	J		
152. - JP MOrgan Intl Equity	A	Int./Div.	J	T	Buy	01/04/21	J		
153. - JP Morgan Intl Focus	A	Int./Div.	J	T	Buy	01/04/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. - JP Morgan Intl Research Enchanced Quality	A	Int./Div.	J	T	Buy	01/04/21	J		
155. - JP Morgan Large Cap Growth	A	Int./Div.	J	T	Buy	01/04/21	J		
156. - JP Morgan Large Cap Value	A	Int./Div.	J	T	Buy	01/04/21	J		
157. - JP Morgan Mid Cap Equity	A	Int./Div.	J	T	Buy	01/04/21	J		
158. - JP Morgan Large Cap Core Plus	A	Int./Div.	J	T	Buy	01/04/21	J		
159. - JP Morgan Value Advantage	A	Int./Div.	J	T	Buy	01/04/21	J		
160. - Smallcap World CL	A	Int./Div.	J	T	Buy	01/04/21	J		
161. - Undiscovered Managers Behavioral Value	A	Int./Div.	J	T	Buy	01/04/21	J		
162.									
163.									
164.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

CollegeBound 529 is not self-directed.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leslie E. Kobayashi,

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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