AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Krause, Cheryl A.	United States Court of Appeals for the Third Circuit	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Court of Appeals Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Court of Appeals for the Third Circuit 601 Market Street Philadelphia, PA 19106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor of Law	University of Pennsylvania Law School
2.	Board Member	American Inns of Court
3.	Board Member	National Constitution Center
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Self-employed (real estate agent)
2.	2023	Spotlight Labs (salary)
3.	2023	American Airlines (salary)
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Yale Law School	February 8, 2023	New Haven, CT	Panelist	Transportation, meals
2.	National Constitution Center	February 23-26, 2023	Miami, FL	Panelist, Moderator	Transportation, meals, lodging
3.	UCLA Law School	March 2-4, 2023	Los Angeles, CA	Moot Court Finals	Transportation, meals, lodging
4.	American Bar Association	August 3-4, 2023	Denver, CO	Panelist	Transportation, meals, lodging
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	New Residential	Mortgage on Rental Property, Absecon, NJ	M
2.	Wells Fargo	Mortgage on Rental Property, Ventnor City, NJ	M
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Krause, Cheryl A.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Bank of America (cash)	A	Interest	J	T					
3. USAA Accounts (cash)	A	Interest	L	T					
4. Airspace Systems, Inc.		None	L	T					
5. Greyscale AI		None	L	V					
6. Rental Property, Absecon, NJ	B	Rent	M	W					
7. Rental Property, Ventor, NJ	A	Rent	M	W					
8. Reciprocity Health, Inc.		None	L	U	Buy	03/01/23	L		
9. Account #1 (H)									
10. Wells Fargo Bank (cash)	B	Interest	L	T					
11. Amarin Corporation plc (AMRN)		None	J	T					
12. Apple, Inc. (AAPL)	A	Dividend			Sold	06/05/23	L		
13. AT&T, Inc. (T)	B	Dividend	K	T	Buy (add'l)	10/19/23	K		
14. Bausch Health Companies Inc (BHC)		None	K	T	Buy	09/20/23	K		
15. CEL-SCI Corporation (CVM)		None	J	T					
16. CVS Health Corporation (CVS)	B	Dividend			Buy	03/24/23	K		
17.					Buy (add'l)	05/04/23	K		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Krause, Cheryl A.	08/13/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold	12/07/23	L		
19. DraftKings, Inc. (DKNG)		None			Sold (part)	04/21/23	K		
20.					Sold	05/05/23	K		
21. Dupont De Nemours Inc (DD)		None			Sold	01/17/23	L		
22. Energy Transfer LP (ET)	C	Dividend			Sold	09/19/23	L		
23. Enterprise Products Partners LP (EPD)	B	Dividend			Sold	04/11/23	L		
24. JPMorgan Equity TR ETF Premium Income FD (JEPI)	D	Dividend	M	T					
25. Newell Brands Inc (NWL)	A	Dividend	K	T	Buy	03/24/23	K		
26. Newmont Corp (NEM)	B	Dividend	K	T	Buy	04/21/23	K		
27. Root Inc CL A (ROOT)		None			Buy	07/11/23	K		
28.					Sold	07/19/23	K		
29. Suro Capital Corp (SSSS)		None			Sold	07/11/23	K		
30. Teva Pharmaceutical Industries Ltd (TEVA)		None	K	T					
31. Verizon Communications, Inc. (VZ)	A	Dividend	K	T	Buy	09/07/23	K		
32. Walgreens Boots Alliance, Inc. (WBA)	A	Dividend	K	T	Buy	10/12/23	K		
33. Warner Bros Discovery Inc Ser A (WBD)		None	K	T	Sold	02/02/23	J		
34.					Buy	04/14/23	K		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. Wisdometree Fltg Rate ETF Treas FD New (USFR)	D	Dividend	N	T	Buy (add'l)	10/27/23	M		
36. Fidelity Advisor Floating Rate High Inc CL I (FFRIX)	D	Dividend	M	T	Buy	01/24/23	L		
37. Fidelity Investments Money Market Funds Instl (FNSXX) (cash eq.)	B	Dividend			Sold (part)	03/24/23	K		
38.					Sold	05/04/23	L		
39. Lord Abbett Floating Rate Fund Class I (LFRIX)	D	Dividend	M	T					
40. John Hancock Financial Opportunity Fund (BTO)		None			Buy	05/04/23	K		
41.					Sold	06/07/23	K		
42. RiverNorth/DoubleLine Strategic Opportunity Fund (OPP)	B	Dividend	K	T	Buy	07/12/23	K		
43. Account #2 (H)									
44. Wells Fargo Bank (cash)	A	Interest	J	T	Open	09/25/23	L		
45. AT&T, Inc. (T)	A	Dividend	J	T	Buy	09/28/23	J		
46. Bausch Health Companies, Inc. (BHC)		None	J	T	Buy	09/28/23	J		
47. Verizon Communications, Inc. (VZ)	A	Dividend	K	T	Buy	09/28/23	J		
48. Warner Bros Discovery, Inc. Ser A (WBD)		None	J	T	Buy	09/28/23	J		
49. Wisdometree Fltg Rate ETF Treas Fund New (USFR)	A	Dividend	K	T	Buy	09/28/23	K		
50.					Buy (add'l)	11/20/23	K		
51. RiverNorth/DoubleLine Strategic Opportunity Fund (OPP)	A	Dividend	J	T	Buy	09/28/23	J		

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52. Account #3 (H)									
53. Fidelity Government Cash Reserves (FDRXX) (cash eq.)	A	Dividend			Buy	01/06/23	J		
54.					Sold	01/27/23	J		
55.					Buy	02/13/23	J		
56.					Sold	02/24/23	J		
57.					Buy	09/13/23	J		
58.					Buy (add'l)	09/18/23	L		
59.					Sold (part)	09/18/23	L		
60.					Buy (add'l)	09/19/23	J		
61.					Sold	09/22/23	M		
62.					Buy	10/11/23	J		
63.					Sold	12/28/23	J		
64. Fidelity Money Market Fund: Premium Class (FZDXX) (cash eq.)	D	Dividend	M	T	Sold (part)	01/27/23	J		
65.					Buy (add'l)	02/24/23	J		
66.					Sold (part)	03/02/23	M		
67.					Sold (part)	03/06/23	J		
68.					Sold (part)	03/13/23	J		

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69.					Sold (part)	09/05/23	J		
70.					Sold (part)	09/18/23	L		
71.					Sold (part)	09/19/23	J		
72.					Buy (add'l)	09/22/23	M		
73.					Sold (part)	09/25/23	L		
74.					Buy (add'l)	12/28/23	J		
75. American Airlines Group Inc. (AAL)		None	K	T					
76. Evolution Pete Corp Com (EPM)	A	Dividend	J	T	Buy	09/18/23	J		
77. LSI Industries, Inc. (LYTS)	A	Dividend	K	T	Sold (part)	02/13/23	J	B	
78.					Sold (part)	10/11/23	J	B	
79. Nokia Oyj (NOK)	A	Dividend	J	T					
80. Obsidian Energy Ltd (OBE)		None	J	T	Sold (part)	01/06/23	J		
81.					Buy (add'l)	09/05/23	J		
82. Precision Optics Corp (POCI)		None	J	T	Buy	03/06/23	J		
83.					Buy (add'l)	03/13/23	J		
84. Retractable Technologies, Inc. (RVP)		None	K	T	Buy (add'l)	03/06/23	J		
85.					Buy (add'l)	09/05/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. TETRA Technologies, Inc. (TTI)		None	K	T	Buy (add'l)	01/27/23	J		
87.					Buy (add'l)	03/06/23	J		
88.			O		Sold (part)	09/13/23	J	B	
89. United Airlines Holdings, Inc. (UAL)		None	K	T					
90. Vodafone Group Public Limited Company (VOD)	B	Dividend	J	T					
91. Account #4 (H)									
92. Fidelity Target Date 2035		None	K	T					
93. Account #5 (H)									
94. Wells Fargo Bank (cash)	A	Interest	J	T					
95. Amarin Corporation plc (AMRN)		None	J	T	Sold (part)	12/14/23	J		
96. AT&T, Inc. (T)		None	K	T	Buy	10/19/23	K		
97. Bausch Health Companies, Inc. (BHC)		None	K	T	Buy	09/20/23	K		
98. CVS Health Corporation (CVS)	B	Dividend			Buy	08/17/23	K		
99.					Sold	12/05/23	K	B	
100. DraftKings, Inc. (DKNG)	C	Dividend			Sold (part)	04/19/23	K		
101.					Sold	05/05/23	J		
102. Dupont De Nemours Inc (DD)	C	Dividend			Sold	01/17/23	K	C	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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103. Energy Transfer LP (ET)	A	Dividend			Sold	09/19/23	K	D	
104. Newell Brands, Inc. (NWL)	A	Dividend	J	T	Buy	03/24/23	J		
105. Newmont Corp (NEM)	A	Dividend	K	T	Buy	04/24/23	K		
106. Root, Inc. CL A (ROOT)	C	Dividend			Buy	07/12/23	K		
107.					Sold	07/19/23	K	C	
108. Suro Capital Corp (SSSS)		None			Sold	07/11/23	J		
109. Teva Pharmaceutical Industries Ltd (TEVA)		None	K	T					
110. Walgreens Boots Alliance, Inc. (WBA)	B	Dividend	K	T	Buy	03/30/23	K		
111. Warner Bros Discovery Inc Ser A (WBD)		None	K	T	Buy	09/01/23	K		
112. Fidelity Advisor Floating Rate High, Inc. CL I (FFRIX)	B	Dividend	K	T	Buy	01/24/23	K		
113.					Sold (part)	08/21/23	K	A	
114. John Hancock Financial Opportunity Fund (BTO)	D	Dividend			Buy	05/11/23	K		
115.					Sold	06/07/23	K	D	
116. RiverNorth/DoubleLine Strategic Opportunity Fund (OPP)	B	Dividend	K	T	Buy	07/12/23	K		
117. Wisdomtree Fltg Rate ETF Treas Fund New (USFR)	B	Dividend	K	T	Sold (part)	05/11/23	J	A	
118.					Sold (part)	09/01/23	K		
119.					Buy (add'l)	10/27/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Buy (add'l)	12/07/23	K		
121. Account #6 (H)									
122. Wells Fargo Bank (cash)	A	Interest	J	T					
123. Account #7 (H)									
124. Wells Fargo Bank (cash)	B	Interest	L	T					
125. Amarin Corporation PLC ADR (AMRN)		None	J	T	Sold (part)	12/14/23	J		
126. Apple, Inc. (AAPL)	A	Dividend			Sold	06/05/23	L	D	
127. AT&T, Inc. (T)	B	Dividend	K	T	Buy (add'l)	10/19/23	K		
128. Bausch Health Companies, Inc. (BHC)		None	K	T	Buy	09/20/23	K		
129. CVS Health Corporation (CVS)	B	Dividend			Buy	03/24/23	K		
130.					Buy (add'l)	05/04/23	K		
131.					Sold	12/07/23	L	C	
132. Draftkings, Inc. New CL A (DKNG)		None			Sold (part)	04/21/23	K		
133.					Sold	05/05/23	K		
134. Dupont De Nemours Inc (DD)		None			Sold	01/17/23	L	D	
135. Energy Transfer LP (ET)	B	Dividend			Sold	09/19/23	K	D	
136. Enterprise Products Partners (EPD)	A	Dividend			Sold	04/11/23	L	C	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. JPMorgan Equity TR ETF Premium Income FD (JEPI)	D	Dividend	M	T					
138. Newell Brands, Inc. (NWL)	A	Dividend	K	T	Buy	03/24/23	K		
139. Newmont Corp (NEM)	B	Dividend	K	T	Buy	04/21/23	K		
140. Root, Inc. CL A (ROOT)		None			Buy	07/11/23	K		
141.					Sold	07/19/23	K	C	
142. Suro Capital Corp (SSSS)		None			Sold	07/11/23	J		
143. Teva Pharmaceutical Adr Inds Ltd (TEVA)		None	K	T					
144. Verizon Communications, Inc. (VZ)	A	Dividend	K	T	Buy	09/07/23	K		
145. Walgreens Boots Alliance, Inc. (WBA)	A	Dividend	K	T	Buy	10/12/23	K		
146. Warner Bros Discovery, Inc. Ser A (WBD)		None	L	T	Buy	04/14/23	K		
147.					Buy (add'l)	09/01/23	K		
148. Wisdomtree Fltg Rate ETF Treas Fund New (USFR)	C	Dividend	M	T	Sold (part)	04/21/23	K	A	
149.					Buy (add'l)	10/27/23	K		
150. Fidelity Advisor Floating Rate High, Inc. CL I (FFRIX)	C	Dividend	K	T	Buy	01/24/23	L		
151.					Sold (part)	05/04/23	K		
152. Fidelity Investments Money Market Funds Instl (FNSXX) (cash eq.)	A	Dividend			Sold	03/24/23	K		
153. John Hancock Financial Opportunity Fund (BTO)		None			Buy	05/04/23	K		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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154.					Sold	06/07/23	K	D	
155. RiverNorth/DoubleLine Strategic Opportunity Fund (OPP)	B	Dividend	K	T	Buy	07/12/23	K		
156. Account #8 (H)									
157. Wells Fargo Expanded Bank Deposit (cash)	B	Interest	J	T	Open	05/23/23	N		
158. Fidelity Government Money Market Fund (SPAXX) (cash equiv.)		None			Sold	05/23/23	N		
159. AT&T, Inc. (T)		None	K	T	Buy	10/19/23	K		
160. CVS Health Corp (CVS)	A	Dividend	K	T	Buy	08/10/23	K		
161. Energy Transfer LP Unit (ET)	A	Dividend	J	T	Buy	08/10/23	J		
162. JPMorgan Equity Premium Income ETF (JEPI)	B	Dividend	L	T	Buy	08/10/23	L		
163.					Buy (add'l)	10/05/23	K		
164. JPMorgan Nasdaq Equity Premium Income ETF (JEPQ)	A	Dividend			Buy	10/05/23	L		
165.					Sold	11/20/23	L	C	
166. United Airlines Holdings, Inc (UAL)		None	L	T					
167. Warner Bros Discovery, Inc. (WBD)		None	K	T	Buy	09/18/23	K		
168. WisdomTree Floating Rate Treasury Fund (USFR)	A	Dividend	L	T	Buy	11/20/23	L		
169. Fidelity Advisor Total Bond Fund Class I (FEPIX)	A	Dividend	L	T	Buy	10/05/23	K		
170. Allspring Funds Trust Money Market Fund (WMPXX) (cash eq.)	B	Dividend	K	T	Buy	08/10/23	M		

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B =$1,001 - $2,500
C =$2,501 - $5,000
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H1 =$1,000,001 - $5,000,000
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M =$100,001 - $250,000
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171.					Sold (part)	10/05/23	M		
172.					Sold (part)	11/20/23	J		
173. RiverNorth/DoubleLine Strategic Opportunity Fund (OPP)	C	Dividend	L	T	Buy	08/10/23	L		
174. Account #9 (H)									
175. NJ Better EDL SVGS Clearbridge Intl (prev. FKLN) Grw 529 Port CL A		None	L	T					
176. Account #10 (H)									
177. NJ Better EDL SVGS Franklin Grw 529 PRT A		None	L	T	Buy (add'l)	12/18/23	J		
178. Account #11 (H)									
179. Fidelity Advisor Growth Opportunities Fund Class A (FAGAX)		None	K	T					
180. BNY Mellon Dynamic Value Fund Class A (DAGVX)	A	Dividend	J	T	Buy	02/01/23	J		
181. Account #12 (H)									
182. Bitcoin (BTC) (X)		None	J	T					
183. Ethereum (ETH) (X)		None	J	T					
184. Polygon (MATIC) (X)		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A: Although I remained an adjunct professor at the University of Pennsylvania Law School, I was not teaching and therefore did not have any non-investment income from the Law School.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Part VII, Column C: Value method "V" indicates the sum that has been invested in this company.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cheryl A. Krause

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544