AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Kronstadt, John A. | U.S. District Court for the Central District of California | 02/19/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Senior United States District Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| 350 West 1st Street<br>Los Angeles, CA 90012 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director and Member of Executive Committee | Teach Democracy, formerly called Constitutional Rights Foundation |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2023 | California Court of Appeal, Salary and Benefits |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Kronstadt, John A.** | 02/19/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | University of California Savings Fund | A | Int./Div. | | | Closed | 04/27/22 | K | A | |
| 2. | University of Calfornia Equity Fund | A | Int./Div. | | | Closed | 04/27/22 | M | A | |
| 3. | TIAA CREF Stock | A | Int./Div. | J | T | | | | | |
| 4. | TIAA CREF Global Equities | A | Int./Div. | J | T | | | | | |
| 5. | TIAA CREF Growth | A | Int./Div. | J | T | | | | | |
| 6. | TIAA CREF Equity Index | A | Int./Div. | J | T | | | | | |
| 7. | Vanguard Windsor II VWNFX Fund Investor Share | A | Int./Div. | J | T | | | | | |
| 8. | INVESCO QQQ ETF [Formerly PWRSH - PowerShares QQQ Trust] | C | Int./Div. | N | T | Donated (part) | | | | |
| 9. | ABT - Abbott Lab | A | Int./Div. | K | T | | | | | |
| 10. | AXP - American Express | A | Int./Div. | K | T | | | | | |
| 11. | CVX - Chevron | A | Int./Div. | K | T | | | | | |
| 12. | Cigna Corp, Formerly Express Scripts | A | Int./Div. | J | T | | | | | |
| 13. | MSFT - Microsoft | A | Int./Div. | K | T | | | | | |
| 14. | PG - Proctor Gamble | A | Int./Div. | J | T | | | | | |
| 15. | UPS | A | Int./Div. | K | T | | | | | |
| 16. | WMT - Wal Mart | A | Int./Div. | K | T | | | | | |
| 17. | QCOM - Qualcomm | A | Int./Div. | K | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Kronstadt, John A.** | 02/19/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. Vanguard [Formerly Windsor II VWNFX] Wellington VWELX | A | Int./Div. | J | T | | | | | |
| 19. Vanguard Money Market VMMXX | C | Int./Div. | M | T | | | | | |
| 20. US Bancorp, formerly Union Bank, Investment Services Sweep Account | A | Int./Div. | N | T | | | | | |
| 21. Bruce Fund Mutual Fund | A | Int./Div. | L | T | | | | | |
| 22. Northwest Mutual Whole Life Insurance | C | Int./Div. | M | T | | | | | |
| 23. Lincoln Financial Group American Legacy II Variable Annuity | | None | N | T | | | | | |
| 24. Putnam Hartford Capital Manager Variable Annuity | | None | M | T | | | | | |
| 25. US Bancorp, formerly Union Bank of California, Cash Account | A | Int./Div. | L | T | | | | | |
| 26. Wellsley Income Fund VWINX | A | Int./Div. | K | T | | | | | |
| 27. Vanguard 500 Index Fund VFIAX | A | Int./Div. | O | T | | | | | |
| 28. - Round Hill Partnership (Real Estate) Appraisal 12/30/2009 | D | Distribution | M | Q | | | | | |
| 29. - Potomac Place Assoc. Part. (Real Est.) Appraisal 12/30/09 | D | Distribution | L | Q | | | | | |
| 30. MetLife Insurance (H) | | None | J | T | | | | | |
| 31. _ Brighthouse Funds Trust II | | None | J | T | | | | | |
| 32. _MetLife Russell 2000 | | None | J | T | | | | | |
| 33. _Fidelity Manager Portfolio | | None | J | T | | | | | |
| 34. _Fidelity Asset Manager Growth Portfolio | | None | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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|---|---|---|---|---|---|---|---|---|---|
| 35. _Fidelity Equity Income Portfolio | | None | J | T | | | | | |
| 36. _Fidelity Investment Grade Bond Portfolio | | None | J | T | | | | | |
| 37. _Fidelity VIP Growth Portfolio | | None | J | T | | | | | |
| 38. _Fidelity Index 500 Portfolio | | None | J | T | | | | | |
| 39. _Fidelity High Income Portfolio | | None | J | T | | | | | |
| 40. _Fidelity Real Estate Portfolio | | None | J | T | | | | | |
| 41. _Fidelity Gov't Money Market Portfolio | | None | J | T | | | | | |
| 42. _MFS Global Equity Series | | None | J | T | | | | | |
| 43. _MFS Growth Series | | None | J | T | | | | | |
| 44. Brighthouse[Formerly Met Life] Variable Annuity Balance Plus Portfolio | | None | O | T | | | | | |
| 45. One America Asset Care Long Term Care | D | Distribution | L | T | Distributed (part) | 08/29/23 | J | D | |
| 46. ASPCX - Alger Spectra | D | Dividend | M | T | | | | | |
| 47. TEGBX - Templeton Global Bond | A | Dividend | | | Sold | 01/30/23 | L | B | |
| 48. ODVCX - INVESCO [Formerly Oppenheimer] Developing Markets | C | Dividend | K | T | | | | | |
| 49. Virtus IFS Int'l Value Fund [formerly AFJCX - Allianz GI NFL] | A | Dividend | L | T | | | | | |
| 50. MCLVX - Black Rock Large Cap | C | Dividend | M | T | Distributed (part) | 12/08/23 | J | A | |
| 51. HFOCX - Henderson Int'l Opportunity [Janus] | A | Dividend | L | T | Distributed (part) | 06/15/23 | J | A | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. Variable Pacific Life Annuity - American Funds AFIS Asset Allocation | | None | P1 | T | | | | | |
| 53. Intel | A | Int./Div. | K | T | | | | | |
| 54. Abbvie | B | Int./Div. | K | T | | | | | |
| 55. Kopernik GLB Allcap Institutional | D | Int./Div. | M | T | Distributed (part) | 12/22/23 | J | A | |
| 56. Pimco Income P | A | Int./Div. | M | T | Buy (add'l) | 01/30/23 | L | | |
| 57. VCTXX CA Muni Money Market Fund | A | Int./Div. | J | T | | | | | |
| 58. American Cent Emerg Mkts | A | Int./Div. | K | T | | | | | |
| 59. John Hancock Intl Growth | A | Int./Div. | L | T | | | | | |
| 60. AIP Alt Lending Placement | A | Int./Div. | L | T | | | | | |
| 61. Loomis Sayles Bond Inst | A | Int./Div. | K | T | | | | | |
| 62. BREIT CL S [formerly Blackstone Reit] | E | Int./Div. | N | T | | | | | |
| 63. Ameren Corporation | A | Int./Div. | J | T | | | | | |
| 64. American Electric Power | B | Int./Div. | K | T | | | | | |
| 65. Chevron Corporation | C | Int./Div. | L | T | | | | | |
| 66. Exxon Mobil Corporation | D | Int./Div. | M | T | | | | | |
| 67. IBM | A | Int./Div. | J | T | | | | | |
| 68. Nextera Energy Inc. | C | Int./Div. | M | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. National Fuel Gas Company | A | Int./Div. | K | T | | | | | |
| 70. Pepsico Inc Common Stock | A | Int./Div. | K | T | | | | | |
| 71. Schlumberger Ltd | A | Int./Div. | K | T | | | | | |
| 72. 3M Company | B | Int./Div. | K | T | | | | | |
| 73. Texas Instruments | C | Int./Div. | M | T | | | | | |
| 74. Union Pacific Corporation | D | Int./Div. | M | T | | | | | |
| 75. Verizon Communications | B | Int./Div. | K | T | | | | | |
| 76. Pfizer | A | Int./Div. | J | T | | | | | |
| 77. Vodofone | A | Int./Div. | J | T | | | | | |
| 78. Proctor and Gamble | D | Int./Div. | N | T | | | | | |
| 79. Dupont | B | Int./Div. | L | T | | | | | |
| 80. Dow | B | Int./Div. | K | T | | | | | |
| 81. Cortiva | A | Int./Div. | K | T | | | | | |
| 82. Blackrock Debt Strategies Fund | A | Int./Div. | J | T | | | | | |
| 83. Kyndryl Hldgs Inc. Common Stock [Spin-off from IBM] | A | Int./Div. | J | T | | | | | |
| 84. Viatris Inc Comm | A | Int./Div. | J | T | | | | | |
| 85. [American Beacon] AHLIX AHL MGD FUTS Strategy R5 | A | Int./Div. | L | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. Blackrock Ev Driven Eqty Fund | B | Int./Div. | L | T | | | | | |
| 87. Blackrock Strat Inc Opps CL | A | Int./Div. | K | T | | | | | |
| 88. Columbia Contrarian Core CL Y | B | Int./Div. | M | T | | | | | |
| 89. CS Floating Rate High Incm | A | Int./Div. | L | T | | | | | |
| 90. DFA Commodity Strategy Institutional | A | Int./Div. | | | Sold | 08/14/23 | J | A | |
| 91. DFA Large Cap Int'l Port | A | Int./Div. | M | T | | | | | |
| 92. Dodge & Cox Int'l Stock Fund | C | Int./Div. | M | T | | | | | |
| 93. Doubleline Core Fixed Inc CL | A | Int./Div. | M | T | | | | | |
| 94. Hartford Schroders Emrg Mkt Class F | A | Int./Div. | M | T | Sold (part) | 01/10/23 | J | A | |
| 95. IShares Gold Tr | A | Int./Div. | | | Sold | 08/11/23 | K | A | |
| 96. IShares Tr Core S&P 500 ETF | B | Int./Div. | M | T | | | | | |
| 97. MFS Int'l Growth | A | Int./Div. | M | T | | | | | |
| 98. Pimco High Yield | A | Int./Div. | K | T | | | | | |
| 99. Pimco Total Return | A | Int./Div. | M | T | Buy (add'l) | 01/10/23 | J | | |
| 100. Prudential [PGIM] Total Retn BD CL Q | A | Int./Div. | M | T | | | | | |
| 101. Undiscovered Mgrs Behavl Value | A | Int./Div. | L | T | Buy (add'l) | 01/10/23 | J | | |
| 102. Vanguard Core Bond Admiral | A | Int./Div. | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kronstadt, John A.** | 02/19/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. Vanguard Gro & Inc Admiral | B | Int./Div. | L | T | | | | | |
| 104. Vanguard Growth Index Fund ETF | B | Int./Div. | N | T | | | | | |
| 105. Vanguard Mid-Cap | A | Int./Div. | K | T | | | | | |
| 106. Vanguard Real Estate | A | Int./Div. | L | T | | | | | |
| 107. Vanguard Small Cap Index Fund | A | Int./Div. | L | T | | | | | |
| 108. Vanguard Value Trust Index Fund | D | Int./Div. | M | T | | | | | |
| 109. Victory RS Sm Cap Grow | A | Int./Div. | | | Sold | 01/10/23 | L | A | |
| 110. NUVEEN CA HI Yield Muni BD | A | Int./Div. | | | Sold | 12/26/23 | N | A | |
| 111. Blackrock Tactical Opportunities K Income | A | Int./Div. | M | T | Buy (add'l) | 01/10/23 | J | | |
| 112. Pimco Foreign BD US DLR Hedged | B | Int./Div. | L | T | | | | | |
| 113. AQR Style Premia ALT CL R6 | A | Int./Div. | K | T | | | | | |
| 114. Franklin CA High Yld Muni A | A | Int./Div. | N | T | Buy | 12/26/23 | N | | |
| 115. Emerald Growth Institutional | D | Int./Div. | L | T | Buy | 01/10/23 | L | | |
| 116. IShares Core SP Mid Cap ETF | C | Int./Div. | M | T | Buy | 07/25/23 | L | | |
| 117. | | | | | Buy (add'l) | 08/15/23 | L | | |
| 118. IShares Core Msci Emerging Markets ETF | A | Int./Div. | K | T | Buy | 07/25/23 | K | | |
| 119. IShares Core U.S. Aggregate Bond ETF | A | Int./Div. | L | T | Buy | 07/25/23 | L | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Kronstadt, John A.** | 02/19/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. IShares MBS ETF | A | Int./Div. | L | T | Buy | 01/11/23 | L | | |
| 121. Stoneridge HY Reinsur I | A | Int./Div. | K | T | Buy | 07/25/23 | K | | |
| 122. SPDR Gold Shares ETF | C | Int./Div. | K | T | Buy | 08/15/23 | K | | |
| 123. Vanguard Cash Reserves Fedl Money Market Admiral CL VMRXX | A | Int./Div. | K | T | Buy | 08/29/23 | K | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 1 and 2: Should have been reported CLOSED as a rollover of funds from one entity to another with different investments on 04/27/2022.

Line30-43: MetLife has changed the underlying investment funds the policy holds and is not able to provide individual values for these assets; the value is listed as a percentage of the total.

| Name of Person Reporting | Date of Report |
|---|---|
| **Kronstadt, John A.** | 02/19/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ John A. Kronstadt**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
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