AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lake, Simeon T.	United States District Court	02/16/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U.S. District Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Courthouse 515 Rusk Street, Room 9535 Houston, Texas 77002		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lake, Simeon T.	02/16/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Rental Property #1-Houston, TX	A	Rent	O	W					
2.	Rental Property #2-Houston, TX	A	Rent	L	W					
3.	Rental Property #3-Houston, TX	B	Rent	L	W					
4.	Property-Washington Cnty, TX	G	Rent	O	W					
5.	Bank of Texas Account	A	Interest	K	T					
6.	Royalty Interest, Washington Cnty, TX	G	Royalty	M	W					
7.	Merrill Lynch Money Mkt Acct (Bank of America)	E	Interest	O	T					
8.	AT&T Inc. Common Stock (IRA)	B	Dividend	K	T					
9.	Novartis ADR (IRA)	B	Dividend	M	T					
10.	IBM Corp. Common Stock (IRA)	C	Dividend	L	T					
11.	Sandoz Group ADR (IRA)		None	J	T	Spinoff (from line 9)	10/04/23	J	A	
12.	Intel Corp. Common Stock (IRA)	A	Dividend	K	T					
13.	Nestle Corp. ADR (IRA)	C	Dividend	M	T					
14.	Dr. Reddy's Lab ADR (IRA)	A	Dividend	L	T					
15.	Johnson & Johnson Inc. Common Stock (IRA)	B	Dividend	L	T					
16.	Procter & Gamble Inc. Common Stock (IRA)	C	Dividend	M	T					
17.	Microsoft Common Stock (IRA)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Lake, Simeon T.	02/16/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Glaxo Smith Kline PLC Common Stock (IRA)	B	Dividend	L	T					
19. McCormick Inc. Non-Voting Common Stock (IRA)	B	Dividend	L	T					
20. Pfizer Inc. Common Stock (IRA)	C	Dividend	L	T					
21. Unilever PLC ADR (IRA)	A	Dividend	K	T					
22. 3M Company Common Stock (IRA)	B	Dividend	K	T					
23. Blackrock Liquidity Fund T Fund Instl CL (IRA)	D	Dividend	M	T	Sold (part)	11/14/23	K	A	
24. SPDR Portfolio S&P 500G (IRA)	A	Dividend	M	T					
25. ISHARES Core S&P ETF (IRA) (IVV)	B	Dividend	M	T					
26. Viatris Inc. (IRA)	B	Dividend	K	T					
27. Haleon PLC (IRA)	A	Dividend	K	T					
28. Exxon Common Stock	G	Dividend	P1	T					
29. AT&T Inc. Common Stock	C	Dividend	L	T					
30. Abbott Labs Common Stock	C	Dividend	M	T					
31. Abbvie Inc. Common Stock	E	Dividend	N	T					
32. Mondelez International, Inc. Common Stock	B	Dividend	M	T					
33. Cisco Systems Inc.	C	Dividend	M	T					
34. TRUST #2									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. --Exxon Corp. Common Stock	G	Dividend	P1	T					
36. --Abbott Labs Common Stock	A	Dividend	K	T					
37. --Abbvie Inc. Common Stock	C	Dividend	L	T					
38. PPL Corp. Commom Stock	B	Dividend	L	T					
39. Coca Cola Company Common Stock	D	Dividend	M	T					
40. Kraft Heinz Co. Common Stock	B	Dividend	L	T					
41. Intel Corp. Common Stock	A	Dividend	L	T					
42. Johnson & Johnson Common Stock	B	Dividend	L	T					
43. Microsoft Corp.	C	Dividend	N	T					
44. SPDR Dow Jones Industrial Avg ETF Trust	C	Dividend	M	T					
45. Caterpillar Inc.	C	Dividend	M	T					
46. Procter & Gamble Co.	C	Dividend	M	T					
47. Apple Inc.	E	Dividend	P2	T					
48. Bristol-Meyers Squib Common Stock	D	Dividend	M	T					
49. Conagra Foods Inc. Common Stock	C	Dividend	L	T					
50. Neogenomics, Inc. Common Stock		None	M	T					
51. PPL Corp.	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
52.	Lamb Weston Holdings	A	Dividend	K	T					
53.	XCEL Energy Inc. Common Stock	B	Dividend	L	T					
54.	Invesco Advantage Municipal Income Trust II	A	Dividend	J	T					
55.	Invesco High Yield Municipal Fund C	A	Dividend	L	T					
56.	Invesco Municipal Opportunity Trust	A	Dividend	J	T					
57.	Nuveen Municipal Value Fund	C	Dividend	L	T					
58.	Nuveen Tax Free Advantage Municipal Fund	A	Dividend	K	T					
59.	Putnam Tax Free High Yield Fund Class A	C	Dividend	M	T					
60.	Allspring Municipal Bond	A	Dividend	J	T					
61.	Bluebird Bio Inc. Common Stock		None	J	T					
62.	Sangamo Therapeutics Inc.		None	J	T					
63.	Fidelity Money Mkt Account	C	Interest	L	T					
64.	Gilead Sciences Common Stock	B	Dividend	K	T					
65.	Biogen Idec B11B Common Stock		None	L	T					
66.	Verizon Communications	C	Dividend	K	T					
67.	Invesco QQQ Tr Unit Series 1 (ETF)	B	Dividend	M	T					
68.	Amazon Com. Inc.		None	M	T					

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69. I Shares Trust Core Dividend Growth (DGRO)	B	Dividend	L	T					
70. I Shares S&P 500 Growth ETF (IVW)	A	Dividend	L	T					
71. I Shares S&P 500 (IVV)	B	Dividend	M	T					
72. Visa Inc.	A	Dividend	L	T					
73. Qualcomm Incorporated	A	Dividend	K	T					
74. Warner Bros Discovery Inc. (IRA)		None			Sold	12/04/23	J	A	
75. Warner Bros Discovery, Inc.		None			Sold	12/04/23	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Lake, Simeon T.	02/16/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Simeon T. Lake

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544