AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Simeon	T	Lake	III
*5. Title	*6. District/Court/Unit		*7. Circuit
Senior U.S. District Judge	TEXAS SOUTHERN		05
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	10/10/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
10/04/2023		10/04/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. 200 shares of Sandoz Group ADR	Buy	10/04/2023	10/05/2023	$1,000 - $15,000
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TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

First Name Simeon	Middle Initial T	Last Name Lake	Suffix III

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Simeon	Middle Initial T	Last Name Lake	Suffix III

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Simeon	Middle Initial T	Last Name Lake	Suffix III

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Simeon	Middle Initial T	Last name Lake	Suffix III

ADDITIONAL INFORMATION OR EXPLANATIONS

B. Transaction Type: Spinoff from Novartis ADR (IRA)

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Simeon T Lake III

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544